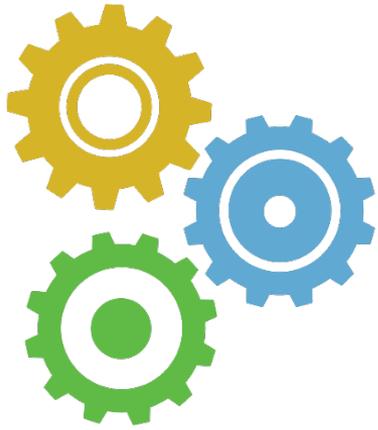


Viewing the Risk Assessment Process as a Network Needs Assessment

February 19, 2026



Agenda



Purpose of the Risk Assessment

What Should Be Evaluated

Using the Risk Assessment Data

State Spotlights

Wrap Up

Risk Assessment



- **Purpose – identify the extent of the risks**, both individually and collectively, in order to focus our attention on the most important threats and opportunities.
- **Risk assessments – identify, measure, and rank vulnerabilities** to guide monitoring and mitigation efforts.

Risk is never totally eliminated.

Risk Assessments are Required

§200.332 Requirements for pass-through entities.

Grantees, as pass-through entities, must:

- (c) Evaluate** each subrecipient's **fraud risk** and **risk of noncompliance** with a subaward to determine the appropriate subrecipient monitoring



Frequency Requirements

Uniform Guidance *requires* that risk assessments be completed to evaluate subawards.

ADDITIONALLY . . .

Risk Assessments are done to determine who needs to be monitored and the frequency.

SECTION 10: Monitoring, Corrective Action, and Fiscal Controls

Monitoring of Eligible Entities (Section 678B(a) of the CSBG Act)

10.1. Specify the proposed schedule for planned monitoring visits including: full on-site reviews; on-site reviews of newly designated entities; follow-up reviews – including return visits to entities that failed to meet state goals, standards, and requirements; and other reviews as appropriate.

This is an estimated schedule to assist states in planning. States may indicate “no review” for entities the state does not plan to monitor in the performance period.

Note: This information is associated with State Accountability Measure 45a(i); this response pre-populates the Annual Report, Module 1, Table H.1.

GUIDANCE: Monitoring that is specific to organizational standards should be referenced within Section 6, Item 6.3a.

Monitoring Schedule – Year One

CSBG Eligible Entity	Monitoring Type	Review Type	Target Quarter	Start Date of Last Full Onsite Review	End Date of Last Full Onsite Review	Brief Description of “Other”
[READ ONLY]	[Dropdown Options: Full On-Site Newly Designated Follow-up Other No Review	[Dropdown Options: Onsite Review Desk Review]	[Dropdown Options: FY1 Q1 FY1 Q2 FY1 Q3 FY1 Q4]	Select a Date	Select a Date	If “Other” is selected in Column 2, describe in this column [Narrative, 500 characters]

Monitoring Schedule – Year Two

CSBG Eligible Entity	Monitoring Type	Review Type	Target Quarter	Start Date of Last Full Onsite Review	End Date of Last Full Onsite Review	Brief Description of “Other”
[READ ONLY]	[Dropdown Options: Full On-Site Newly Designated Follow-up Other No Review	[Dropdown Options: Onsite Review Desk Review]	[Dropdown Options: FY2 Q1 FY2 Q2 FY2 Q3 FY2 Q4]	Select a Date	Select a Date	If “Other” is selected in Column 2, describe in this column [Narrative, 500 characters]

Risk Assessments and Monitoring



- Periodic monitoring is required and important to ensure that agencies are spending grant funds appropriately and complying with the terms and conditions of the grant.
- The extent, frequency, and type of monitoring will depend on the results of the risk assessments done by your office.
- Monitoring schedules should be determined by your office's policy and based on the results of the risk assessment.

State Requirements

- A state CSBG office is required to conduct the following reviews of a CAA:
 - Full on-site review at least once every 3 years;
 - On-site review of newly-designated entities after the first year;
 - Other reviews as appropriate; and/or
 - A prompt follow-up review if a CAA fails to meet goals, standards, and requirements established by the state.

[U.S.C. § 9914](#)





What Should Be Evaluated

Federal Requirements

[§ 2 CFR 200.332 \(c\)](#) provides **some factors** that pass-through entities should consider when evaluating a subrecipient's potential risk of noncompliance.

1. Prior Experience
2. Audit Results
3. New Personnel or new or changed systems
4. Results of Federal Monitoring

Information from all interactions with the subrecipient: monitoring, grant reviews, report submission, audits, etc.

Key Risk Assessment Factors & Categories

Financial Capacity & Stability

- Financial health and practices
- Single Audit results and audit history
- Size and complexity of grants

Management & Internal Controls

- Management systems
- Organizational structure
- Internal controls
- Leadership and key staff

Governance & Compliance

- Board compliance
- Reporting history
- Complaints

Performance & Monitoring History

- Past program performance
- Past monitoring results
- Experience and longevity as a grantee
- Type and size of Community Action Agency

Scoring Mechanism

- Scales are defined in terms of impact
 - Impact (or consequence) refers to the extent to which a risk might affect the agency.
 - When assigning an impact rating to a risk, assign the rating for the highest consequence anticipated
- Criteria for determining low, medium, and high risks
- Assess the quantity and quality of risks
- An acceptable level of risk under varying circumstances

High Risk Areas for CAAs



Big Picture

Risk Assessment = A Needs Assessment for the Network

Like a Community Needs Assessment, Risk Assessment results should:

- Be used to examine the entire network, not just one agency
- Identify patterns, trends, and systemic gaps
- Use data to prioritize action
- Guide the strategic prioritization of monitoring and allocation of TTA resources
- Inform State Plan priorities
- Support policy or guidance improvements
- Identify opportunities for partnerships or external expertise
- Promote the strategic use of limited time, focus, funding, and capacity

Now What??

Based on your acceptable level of risk, your office will need to decide how to respond to or mitigate the risk.

These may include:

- Additional checks and balances in the accounting and/or program areas
- Modifying future contracts to incorporate better controls
- Providing Targeted Training and Technical Assistance



Using Your Risk Assessment



[§ 200.332 \(e\)](#) Pass-through entity monitoring of the subrecipient must include:

- Review of financial and performance reports
- Following up and ensuring that the subrecipient takes timely and appropriate action on all deficiencies detected in audits, on-site reviews, and other means
- Issuing a management decision for audit findings
- Resolve audit findings specifically related to the subaward.

[§ 200.332 \(f\)](#) Depending on the assessment of the risk posed by the subrecipient . . . Pass-through entities may . . .

- Provide training and technical assistance
- Perform an on-site monitoring

Sharing the Results



Items to share with agencies

- Risk Assessment Report
- Scoring Mechanism and Criteria
- Monitoring Plan and Schedule
- Training and Technical Assistance Opportunities



Michigan

Melanie Sanford

Risk Assessments

Bureau of Community Action and Economic Opportunity



Mission

Michigan Department of Health and Human Services (MDHHS) provides opportunities, services, and programs that promote a healthy, safe and stable environment for residents to be self-sufficient.

Community Action in Michigan



Annual CSBG
Allocation:
\$28,154,059



27
Community
Action
Agencies
serving 83
counties

What is risk?

- Risk is the possibility that an event will occur and adversely affect the achievement of objectives.

...or in other words...

WHAT COULD GO WRONG
WITH MY PROGRAM



How to view risk related to results

Do not associate
high-risk as a
bad program!

- *Some programs may have inherent high-risk depending on the nature of the services provided and population served.*

Do not associate
low-risk as a
good program!

What is risk management?

- Risk management can be described as the continuous process of assessing risks, reducing the potential that an adverse event will occur, and putting steps in place to deal with any event that does occur.



What is a risk assessment?

- Purpose – how big the risks are, both individually and collectively, to focus our attention on the most important threats and opportunities.
- The risk assessment is about measuring and prioritizing risks within the constraints of the defined risk levels and tolerance thresholds (acceptable risks).
- Total risk is never eliminated.



Michigan's risk assessment is called the
STAR:
State
Technical
Assessment
Report

What are some risk factors that may be included in an assessment?

- Size and complexity of the grant -
 -
 - How large is the grant? Generally, the larger or more complex the grant, the higher the risk.
- Size of grantee –
 - How large is the grantee? (amount of revenues, number of employees, etc.) Generally, the smaller the grantee, the higher the risk.
- Type of grantee organization--
 - What type of legal entity is it? (Private or Public) Public entities tend to be lower risk.

What are some risk factors that may be included in an assessment?

- Longevity of the grantee –
 - How long has the grantee been in business? Generally, the newer the agency, the higher the risk.
- Grantee management and organizational structure, internal controls –
 - Does the grantee have internal controls in place to account for, and use, grant funds for their intended purposes? Well-documented internal controls, that are being followed, generally reduce risk.

What are some risk factors that may be included in an assessment?

- Experience and past performance of the grantee—
 - Did the grantee's monitoring report list any problem/issues? Positive prior experience generally lowers risk.
- Financial health and practices of the grantee —
 - Does the grantee have documented policies and procedures that relate to the grant? Well-documented policies and procedures, which are followed by the grantee tend to lower risk.

What are some risk factors that may be included in an assessment?

- Audit history of the grantee--
 - Has the grantee had an independent audit? Clean audit opinions may indicate lower risk.
- Complaints –
 - Is there a history of complaints against the grantee? Generally, the greater the number of past complaints, the higher the risk.

Components of a risk assessment



STAR Categories and statewide average (out of 5 total points)

Risk Categories	Average Risk Tolerance
Financial Stability	4.28
Financial & Quality Management	4.50
Board Compliance	4.96
CSBG Compliance	4.81
Leadership and Key Staff	4.71
Performance Management & Data	4.71
Monitoring	4.60
Single Audit Report	4.84
Reporting & Timely Submission	4.35
Complaints	4.90
Average STAR Results	4.62

How we score

Scales are defined in terms of impact

- *Impact (or consequence) refers to the extent to which a risk might affect the grantee.*
- *When assigning an impact rating to a risk, assign the rating for the highest consequence anticipated*

Criteria for determining low, medium and high risks

An acceptable level of risk under varying circumstances

Assess the quantity and quality of risks

Example of scoring

Single Audit Report	High to Moderate Risk (1-2)	Average to Mild Risk (3-4)	Low Risk (5)	Score
Audit Findings - Financial	Findings in the last audit or unresolved audit findings or exceptions from prior years.	Findings that are non-material or significant internal control deficiencies.	No findings in the last audit. No unresolved audit findings or exceptions from prior years.	
Audit Findings - Programmatic	Findings in the last audit or unresolved audit findings or exceptions from prior years.	Findings that are non-material or significant internal control deficiencies.	No findings in the last audit. No unresolved audit findings or exceptions from prior years.	
Program Funding	Reduction and/or loss of funding or programs.	No Changes	Strong funding may include increases and/or new programs.	
Last Audit	Findings in the last audit (same audit firm used many years; same person each year; late audits).	No findings. Same firm over 3 years with same auditor	Audit firm service bid ever 3 yrs.; different person every 3 years; timely audits.	
				Total: 0.00

...for example

- Financial Stability: [Category]
- Risk Scale: 1 (High) 2 (Serious) 3(Average) 4 (Medium) 5 (Low) [Scale]
- Criteria/Factor:
 - Size and Complexity of Grants [Criteria]
 - 1 (Over \$1M/Many Requirements) [Risk
 - 2 (Between \$1M -\$750,000/Many Requirements) Tolerance
 - 3 (Between \$750,000 - \$250,000/Average Requirements) assigned
 - 4 (Between \$250,000 - \$100,000/Average Requirements) to scale
 - 5 (Under \$100,000/Standard Requirements) values]
 - Size of Grantee [Criteria]
 - 1 (Budget Over \$5M) [Risk
 - 2 (Budget Between \$5M -\$3M) Tolerance
 - 3 (Budget Between \$3M - \$1.5M) assigned
 - 4 (Budget Between \$1.5M - \$750,000) to scale
 - 5 (Budget Under \$750,000) values]

How we calculate the risk level

Categories	Criteria	Score	Risk Level Calculated	
Financial Stability		5 items	15	$15/5 = 3.0$
Quality Management Systems		10 items	45	$45/10 = 4.5$
Board Compliance		4 items	13	$13/4 = 3.25$
Past Program Performance	25 items	100		$100/25 = 4.0$
Monitoring	8 items	40	$40/8 = 5.0$	
Single Audit	3 items	15	$15/3 = 5.0$	
Timely Report Submission	5 items	10	$10/5 = 2.0$	
Complaints	1 item	5	$5/1 = \underline{5.0}$	
				28.75

Total Risk Level: $28.75/8 = 3.6$

Risk Level: Average to Mild

Risk Scale: 1 (High) 2 (Moderate) 3(Average) 4 (Mild) 5 (Low)

After the assessment is complete

- Based on your acceptable level of risk, your office will need to decide how to respond to or mitigate the risk, which usually determines a suggested level of grantee monitoring or other appropriate response by your office.
- These include:
 - Additional checks and balance in the accounting area
 - Additional checks and balance in the program area
 - Modify future contracts to incorporate controls
 - Training and Technical Assistance

What the scores mean

<p>★★★★★ Excellent 5</p>	<p>Evidence includes consistent results from well-designed, well-conducted organizational capacity in administering and operating services and activities to reduce the causes and conditions of poverty and to help move low-income people to self-sufficiency. Even when evidence includes consistent results from well-designed, well-conducted organizational capacity in administering and operating services and activities to reduce the causes and conditions of poverty and to help move low-income people to self-sufficiency, agencies with annual State Office Administrated grant funds that exceeds \$2 million will be required to participate in annual onsite monitoring.</p>
<p>★★★★ Good Standing 4.99 - 4.00</p>	<p>Evidence includes good results to determine the effects on organizational capacity in administering and operating services and activities to reduce the causes and conditions of poverty and to help move low-income people to self-sufficiency.</p>
<p>★★★ Average 3.99 - 3.00</p>	<p>Evidence is sufficient to determine average effects on organizational capacity outcomes, but the strength of the evidence is limited by the number, quality, or consistency of routine practices in administering and operating services and activities to reduce the causes and conditions of poverty and to help move low-income people to self-sufficiency.</p>
<p>★★ Moderate 2.99 - 2.00</p>	<p>Evidence is insufficient to assess the effects on outcomes of organizational capacity because of limited number of quality or consistency in routine practices in administering and operating services and activities, deficiencies in conduct or internal controls, or lack of support documentation in administering and operating services and activities to reduce the causes and conditions of poverty and to help move low-income people to self-sufficiency.</p>
<p>★ Needs Improvement 1.99 - 1.00</p>	<p>Evidence shows a strong indication of many serious deficiencies. Serious deficiencies definition, as defined by the Office of Community Services, includes findings that the agency is not in compliance with Federal or State law, or agency's bylaws; or that the agency has committed fraud, is in financial difficulty, or is not able to provide services.</p>

Sample Communication



STATE OF MICHIGAN

GRETCHEN WHITMER
GOVERNOR

DEPARTMENT OF HEALTH AND HUMAN SERVICES
LANSING

ELIZABETH HERTEL
DIRECTOR

December XX, 2025

XXXXXXXX, Executive Director
XXXXXX Community Action
XXXXXXXXXXXXXXXXXXXXXX
XXXXXXXXXX, MI XXXXX

Re: FY26 STAR Assessment Results and Network Risk Tolerance (MVID XXXX)

Dear XXXXXXXXX,

The Michigan Department of Health and Human Services (MDHHS), Bureau of Community Action and Economic Opportunity (BCAEO), has completed its annual risk assessment for your agency. The results are presented in the attached FY26 STAR Assessment Report, which includes a summary of your agency's risk tolerance position based on BCAEO's evaluation.

We are pleased to report that the FY26 STAR Assessment reflects the continued strength and stability of Michigan's Community Action Agency (CAA) network. Through comprehensive evaluations across key operational categories—including financial stability, leadership, governance, compliance, and performance management—the network has demonstrated a low-risk profile statewide.

This designation affirms the State's strong confidence in the network's ability to manage and steward public funding effectively. Agencies across Michigan have consistently demonstrated:

- Strong financial oversight and stability, supported by clean audits and robust internal controls.
- Experienced and stable leadership, with succession planning and strategic direction in place.
- High levels of board engagement and governance compliance, ensuring transparency and accountability.
- Effective performance management systems, enabling data-driven decision-making and continuous improvement.
- Full compliance with CSBG requirements, reflecting a commitment to program integrity and service quality.
- Timely and accurate reporting, demonstrating operational discipline and responsiveness.

STAR Scoring Scale

The STAR Assessment uses a 5-point scale to evaluate BCAEO's risk tolerance in awarding funds:

- 5 – Excellent (No Risk): Exemplary performance with no identified concerns.
- 4 – Strong (Low Risk): Reliable operations with minor areas for improvement.
- 3 – Adequate (Average Risk): Meets expectations but may have inconsistencies or gaps.
- 2 – Needs Improvement (Moderate Risk): Notable weaknesses that may impact operations.
- 1 – Critical Concern (High Risk): Significant issues requiring immediate attention.

FY26 STAR Risk Assessment Average Results of the CAA Network:

Risk Categories	CAA Network Average Risk Tolerance
Financial Stability	4.28
Financial & Quality Management Systems	4.50
Board Compliance	4.96
CSBG Compliance	4.81
Leadership and Key Staff	4.71
Performance Management & Data	4.71
Monitoring	4.60
Single Audit Report	4.84
Reporting & Timely Submission	4.35
Complaints	4.90
Average STAR Results	4.62

These results reflect a **high-performing network with minimal risk** across critical areas of operations and compliance.

If your agency has any questions or would like to discuss your individual results further, please email the BCAEO main mailbox at MDHHS-BCAEO@michigan.gov to request a meeting.

We commend your leadership and thank you for your continued partnership in advancing community action and improving lives across Michigan.

Sincerely,

Monitoring and the risk assessment



- Periodic monitoring is required and important to ensure that grantees are spending grant funds appropriately and complying with the terms and conditions of the grant.
- The extent, frequency, and type of monitoring will depend on the results of the risk assessments done by your office.
- Monitoring schedules should be determined by your office's policy and based on the results of the risk assessment.

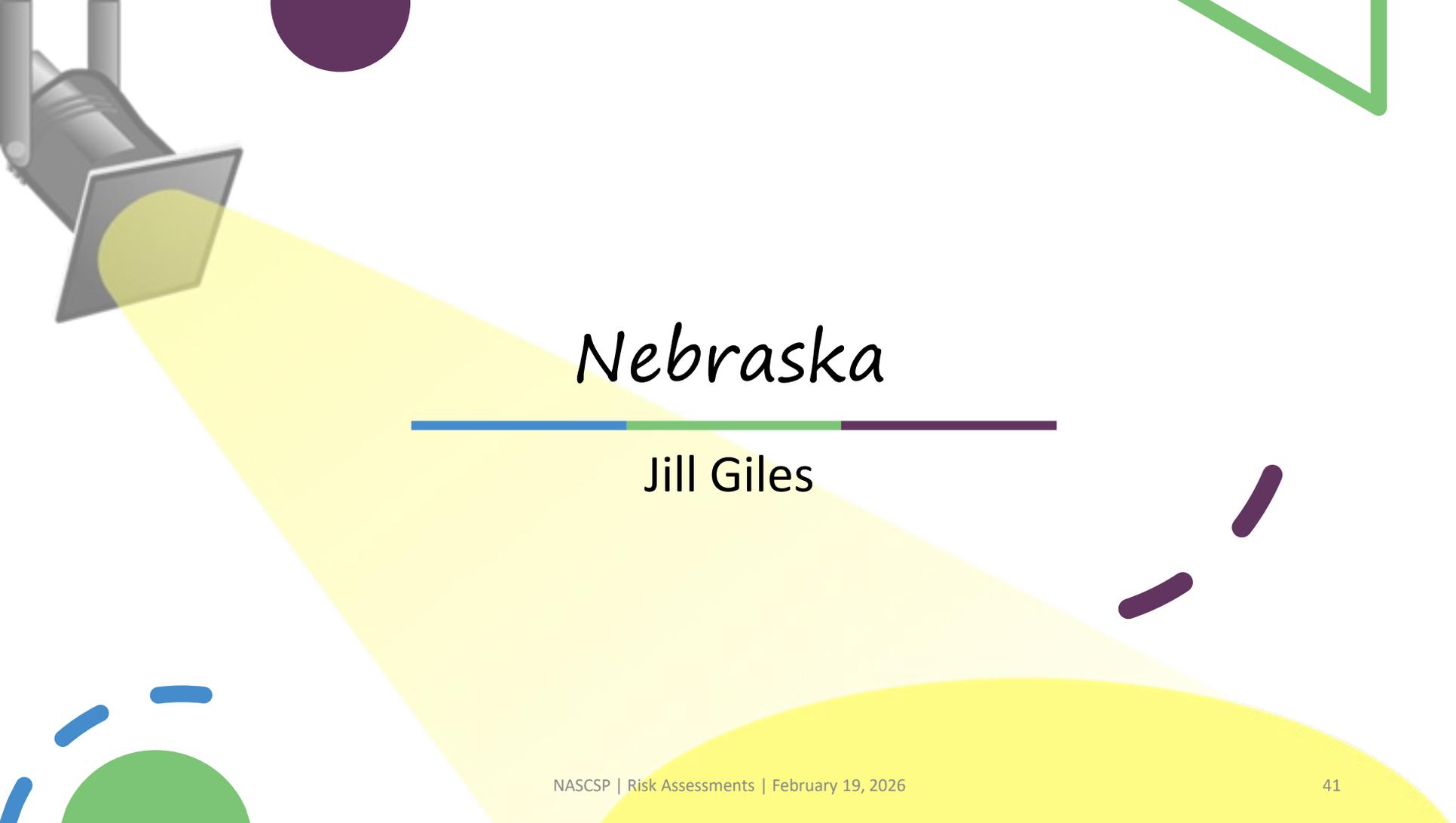
How we document our process

- All phases of the risk assessment process are documented including:
 - Monitoring policy that defines the risk assessment process
 - Risk Assessment Tool and Updates
 - Risk Assessment Criteria, Factors, Risk Scale, and Risk Level Calculation
 - Completed Risk Assessment with Risk Level for each grantee
 - Support documentation to complete the risk assessment
 - Monitoring Plan including a Monitoring Schedule
 - Assessment Reports to the grantees
 - Monitoring Reports
 - Management Decision Letters/Follow-up
 - Close-out

How the data is used outside of monitoring

Training and Technical Assistance Opportunities

- Examples of information learned through the process:
 - Potential risks for similar agency types
 - Policies that are confusing and not well implemented
 - Problems stemming from State Policies

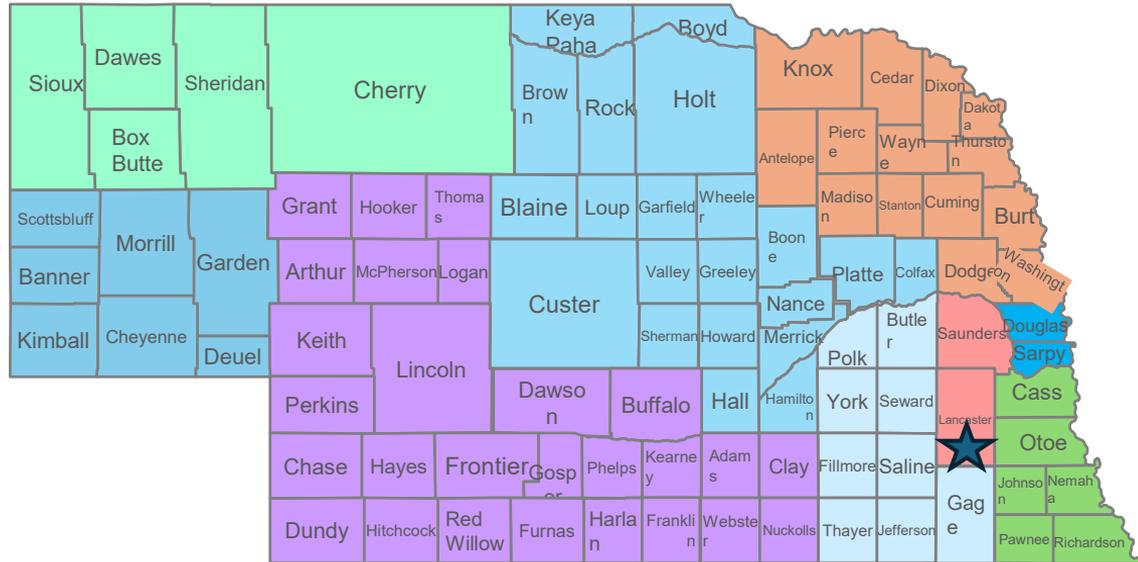


Nebraska

Jill Giles

Nebraska

- State Office – DHHS
- \$5,365,713 FFY 2025 Allocation
- CSBG Staff – 1 Program Coordinator
- 9 CAAs – All Private



Nebraska Risk Assessment Tool

Nebraska Community Services Block Grant ANNUAL INTERNAL SUBGREGIPIENT RISK ASSESSMENT

SUBGREGIPIENT INFORMATION

Subrecipient Name:	
Assessment Completed by:	Jill Giles
Date Assessment Completed:	

PROGRAMMATIC RISK ASSESSMENT

1. AGENCY EXECUTIVE DIRECTOR/CEO EXPERIENCE ADMINISTERING THIS PROGRAM	Possible Score	Actual Score
Agency Executive Director/CEO has administered program over 5 years.	1	0
Agency Executive Director/CEO has administered program 2-5 years	3	0
Agency Executive Director/CEO has administered program under 2 years and/or high turnover of this position and/or key staff (More than 2 key staff turnovers within 18 months).	5	0
AGENCY SCORE:		0

2. BOARD OF DIRECTORS	Possible Score	Actual Score
All of the board requirements have been met.	0	0
Most of the board requirements have been met.	2	0
Half of the board requirements have been met.	3	0
Very few of the board requirements have been met.	4	0
None of the board requirements have been met.	5	0
AGENCY SUB SCORE:		0

Nebraska Risk Assessment Tool: Continued

		<i>AGENCY SUB SCORE:</i>	0
Quarterly Board Monitoring is submitted every 3 months.	0		0
Quarterly Board Monitoring are NOT submitted every 3 months.	5		0
		<i>AGENCY SUB SCORE:</i>	0
		AGENCY SCORE:	0
3. ORGANIZATIONAL STANDARDS			
	Possible Score		Actual Score
Agency has met 100% of the Organizational Standards	0		0
Agency has met 90-99% of the Organizational Standards	2		0
Agency has met 80-89% of the Organizational Standards	3		0
Agency has met 70-79% of the Organizational Standards	4		0
Agency has met less than 70% of the Organizational Standards.	5		0
		AGENCY SCORE:	0
4. ANNUAL REPORT - CLIENTS SERVED (Module 4-All Characteristics-A)			
	Possible Score		Actual Score
No change, or increase in clients served.	0		0
Under 10% reduction in clients served.	2		0
10% - 20% reduction in clients served	3		0
Over 20% reduction in clients served.	5		0
		AGENCY SCORE::	0

Nebraska Risk Assessment Tool: Continued

5. ANNUAL REPORT - NATIONAL PERFORMANCE INDICATORS (Actual Results/Target. Column V Average of each section)	Possible Score	Actual Score
Agency met 91-100% of its NPIs.	1	0
Agency met 81-90% of its NPIs.	2	0
Agency met 71-80% of its NPIs.	3	0
Agency met 61-70% of its NPIs.	4	0
Agency met 60% or less of its NPIs.	5	0
<i>AGENCY SUB SCORE:</i>		0
Agency submitted annual report with no issues.	0	0
Agency submitted annual report with under two issues.	2	0
Agency submitted annual report with two to five issues.	3	0
Agency submitted annual report with over five issues	5	0
<i>AGENCY SUB SCORE:</i>		0
AGENCY SCORE:		0
6. TRAINING COMPLIANCE	Possible Score	Actual Score
Key staff attend all required trainings according to the State CSBG office.	0	0
Key staff attend some required trainings according to the State CSBG office.	3	0
Key staff do not attend required trainings according to the State CSBG office.	5	0
AGENCY SCORE:		0

Nebraska Risk Assessment Tool: Continued

7. OTHER PROGRAMMATIC MONITORING	Possible Score	Actual Score
Agency has NO findings from any monitoring's conducting by other programs.	0	0
Agency has one finding from a monitoring conducting by another program.	1	0
Agency has two findings from monitoring's conducting by other programs.	2	0
Agency has three findings from monitoring's conducting by other programs.	3	0
Agency has four findings from monitoring's conducting by other programs.	4	0
Agency has five or more findings from monitoring's conducting by other programs.	5	0
AGENCY SCORE:		0

FISCAL RISK ASSESSMENT

8. AGENCY FISCAL DIRECTOR EXPERIENCE ADMINISTERING THIS PROGRAM	Possible Score	Actual Score
Agency Fiscal Director has administered agency's finances over 5 years.	1	0
Agency Fiscal Director has administered agency's finances 2-5 years.	3	0
Agency Fiscal Director has administered agency's finances under 2 years and/or high turnover of this position	5	0
AGENCY SCORE:		0

Nebraska Risk Assessment Tool: Continued

10. BUDGET	Possible Score	Actual Score
Budget has not been modified.	0	0
Budget has been modified 1 - 2 times.	3	0
Budget has been modified 3+ times.	5	0
<i>AGENCY SUB SCORE:</i>		0
Less than 25% of budget is funded by CSBG.	0	0
25-50% of the agency's overall budget is funded by CSBG..	2	0
50-75% of the agency's overall budget is funded by CSBG.	3	0
Over 75% of the agency's overall budget is funded by CSBG.	5	0
<i>AGENCY SUB SCORE:</i>		0
AGENCY SCORE:		0
11. MONTHLY EXPENDITURE REPORTS	Possible Score	Actual Score
Reports submission is always timely and without errors.	0	0
Report submission is mostly timely and without errors.	2	0
Report submission is sometimes timely and without errors.	3	0
Report submission rarely timely and without errors.	4	0
Report submission is never timely and without errors.	5	0
AGENCY SCORE:		0

Nebraska Risk Assessment Tool: Continued

12. SINGLE AUDIT	Possible Score	Actual Score
Single audit with NO identified required/suggested adjustments.	0	0
Single audit with one identified required/suggested adjustment	1	0
Single audit with two to three identified required/suggested adjustments.	3	0
Single audit with over three identified required/suggested adjustments.	5	0
<i>AGENCY SUB SCORE:</i>		0
Single audit with NO identified material weaknesses.	0	0
Single audit with one identified material weakness.	2	0
Single audit with two to three identified material weaknesses.	4	0
Single audit with over three identified material weaknesses.	5	0
<i>AGENCY SUB SCORE:</i>		0
Single audit with NO identified significant deficiencies.	0	0
Single audit with one identified significant deficiency.	2	0
Single audit with two to three identified significant deficiencies.	4	0
Single audit with over three identified significant deficiencies.	5	0
<i>AGENCY SUB SCORE:</i>		0
AGENCY SCORE:		0
13. PENDING LITIGATION	Possible Score	Actual Score
Agency is not facing pending litigation.	0	0
Agency is facing pending litigation.	5	0
AGENCY SCORE:		0

Nebraska Risk Assessment Tool: Continued

PROGRAMMATIC TOTAL AGENCY SCORE:										0	
FISCAL TOTAL AGENCY SCORE:										0	
TOTAL AGENCY SCORE:										0	
Based on the results of this risk assessment, will a subaward be made to this entity?	Yes/No	PROGRAMMATIC								2 to 10	Level 1
										11 to 32	Level 2
										33 to 45	Level 3
		FISCAL								1 to 9	Level 1
										10 to 28	Level 2
										29 to 40	Level 3
		TOTAL								0 to 19	Level 1
										20 to 60	Level 2
										61 to 85	Level 3

Results – Monitoring Plans

- Creation of Subaward
- Monitoring Plans Based on Risk Assessments
- Areas Needing Extra Assistance





RESOURCES

- [State Management Work Group \(SMWG\)](#)
- [Peer Risk Assessment Templates](#) (login credentials required)
- [CSBG IM #112 Risk Assessment for FY 2009 ARRA Funds \(States\)](#)



Please scan
here to
complete the
evaluation for
this webinar!

