

CSBG State Plan

Program Name: Community Services Block Grant

Grantee Name: MINNESOTA DEPARTMENT OF CHILDREN, YOUTH, AND FAMILIES

Report Name: CSBG State Plan Revision # 1

Report Period: 10/01/2025 to 09/30/2026


Report Status: Submission Accepted by CO (Revision #1)

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CSBG Cover Page (SF-424M)

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES Administration for Children and Families Community Services Block Grant (CSBG)		Form Approved OMB No: 0970-0382 Expires: 08/31/2027	
COVER PAGE			
* 1.a. Type of Submission: <input type="radio"/> Application <input checked="" type="radio"/> Plan <input type="radio"/> Other (2 Year)	* 1.b. Frequency: <input type="radio"/> Annual <input checked="" type="radio"/> Other (2 Year)	* 1.c. Consolidated Application/Plan/Funding Request? Explanation: 2. Date Received: 3. Applicant Identifier: 4a. Federal Entity Identifier: 4b. Federal Award Identifier:	* 1.d. Version: <input checked="" type="radio"/> Initial <input type="radio"/> Resubmission <input type="radio"/> Revision <input type="radio"/> Update State Use Only: 5. Date Received By State: 6. State Application Identifier:
7. APPLICANT INFORMATION			
* a. Legal Name: MINNESOTA DEPARTMENT OF CHILDREN, YOUTH, AND FAMILIES			
* b. Employer/Taxpayer Identification Number (EIN/TIN): 1416007162P9		* c. Organizational UEI: RY93S75CJZF9	
* d. Address:			
* Street 1:	444 Lafayette Rd N	Street 2:	
* City:	SAINT PAUL	County:	MN
* State:	MN	Province:	
* Country:	United States	* Zip / Postal Code:	55155 - 3802
e. Organizational Unit:			
Department Name: Department of Children, Youth, and Families		Division Name: Office of Economic Opportunity	
f. Name and contact information of person to be contacted on matters involving this application:			
Prefix:	* First Name: Marcel	Middle Name:	* Last Name: Urman
Suffix:	Title: Poverty Programs Supervisor	Organizational Affiliation: Department of Children Youth & Families, Office of Econ	
* Telephone Number: (651) 334-5705	Fax Number	* Email: marcel.urman@state.mn.us	
* 8a. TYPE OF APPLICANT: A: State Government			
b. Additional Description:			
* 9. Name of Federal Agency: Administration for Children and Families, Office of Community Services			
		Catalog of Federal Domestic Assistance Number:	CFDA Title:
10. CFDA Numbers and Titles		93569	Community Services Block Grant
11. Descriptive Title of Applicant's Project Locally designed antipoverty Community Action Programs in Minnesota			
12. Areas Affected by Funding: Minnesota			
13. CONGRESSIONAL DISTRICTS OF:			
* a. Applicant MN		b. Program/Project:	
Attach an additional list of Program/Project Congressional Districts if needed.			
14. FUNDING PERIOD:		15. ESTIMATED FUNDING:	
a. Start Date: 10/01/2025	b. End Date: 09/30/2026	* a. Federal (\$): \$0	b. Match (\$): \$0
* 16. IS SUBMISSION SUBJECT TO REVIEW BY STATE UNDER EXECUTIVE ORDER 12372 PROCESS?			
a. This submission was made available to the State under the Executive Order 12372			
Process for Review on :			
b. Program is subject to E.O. 12372 but has not been selected by State for review.			

c. Program is not covered by E.O. 12372.	
* 17. Is The Applicant Delinquent On Any Federal Debt? <input type="radio"/> YES <input checked="" type="radio"/> NO	
Explanation:	
18. By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001) **I Agree <input checked="" type="checkbox"/>	
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
18a. Typed or Printed Name and Title of Authorized Certifying Official Sarah Aughenbaugh	18c. Telephone (area code, number and extension) 18d. Email Address sarah.aughenbaugh@state.mn.us
18b. Signature of Authorized Certifying Official 	18e. Date Report Submitted (Month, Day, Year) 09/26/2025
Attach supporting documents as specified in agency instructions.	

Section 1: CSBG Lead Agency, CSBG Authorized Official, CSBG Point of Contact, and Official State Designation Letter

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES Administration for Children and Families Community Services Block Grant (CSBG)		Form Approved OMB No:0970-0382 Expires:08/31/2027	
SECTION 1 CSBG Lead Agency, CSBG Authorized Official, CSBG Point of Contact, and Official State Designation Letter			
1.1. Identify whether this is a One-Year or a Two-Year Plan		<input type="radio"/> one-year <input checked="" type="radio"/> two-year	
1.1a. Provide the federal fiscal years this plan covers:		Year One 2026	Year Two 2027
1.2. Lead Agency and Authorized Official: Update the following information in relation to the lead agency and authorized official designated to administer CSBG in the state, as required by Section 676(a) of the CSBG Act. <i>Information should reflect the responses provided in the Application for Federal Assistance, SF-424M.</i>			
Has information regarding the state lead agency and authorized official changed since the last submission of the State Plan? <input checked="" type="radio"/> Yes <input type="radio"/> No			
If yes, select the fields that have been changed [Check all that apply]			
<input checked="" type="checkbox"/>	Lead Agency	<input type="checkbox"/>	Department Type
<input checked="" type="checkbox"/>	Authorized Official	<input type="checkbox"/>	Street Address
<input type="checkbox"/>	Zip Code	<input type="checkbox"/>	Office Number
<input type="checkbox"/>	Email Address	<input checked="" type="checkbox"/>	Website
1.2a. Lead agency		Minnesota Department of Children, Youth, and Families (DCYF)	
1.2b. Cabinet or administrative department of this lead agency [Check one and provide a narrative where applicable]			
<input type="radio"/> Community Affairs Department			
<input type="radio"/> Community Services Department			
<input type="radio"/> Governors Office			
<input type="radio"/> Health Department			
<input type="radio"/> Housing Department			
<input type="radio"/> Human Services Department			
<input type="radio"/> Social Services Department			
<input checked="" type="radio"/> Other, describe Department of Children, Youth, and Families			
1.2c. Cabinet or Administrative Department Name: Provide the name of the cabinet or administrative department of the CSBG authorized official		Department of Children, Youth, and Families	
1.2d. Authorized Official of the Lead Agency			
Name: Sarah Aughenbaugh		Title: Director, Office of Economic Opportunity	
1.2e. Street Address		444 Lafayette Rd N	
1.2f. City		St Paul	1.2g. State MN
		1.2h. Zip 55155	
1.2i. Telephone number 651 539 - 7997 ext.		1.2j. Fax number 888 888 - 8888	
1.2k. Email address sarah.aughenbaugh@state.mn.us		1.2l. Lead agency website www.DCYF.state.mn.us	
1.3. Designation Letter: Attach the state's official CSBG designation letter. A new designation letter is required if the chief executive officer of the state and/or the designated agency has changed.			
1.4. CSBG Point of Contact: provide the following information in relation to the designated state CSBG point of contact. The state CSBG point of contact should be the person that will be the main point of contact for CSBG within the state.			

Has Information regarding to the state point of contact has changed since the last submission of the State Plan? <input checked="" type="radio"/> Yes <input type="radio"/> No			
If yes, select the fields that have changed [check all the apply]			
<input type="checkbox"/>	Agency Name	<input checked="" type="checkbox"/>	Point of Contact
<input checked="" type="checkbox"/>	Street Address	<input type="checkbox"/>	City
<input type="checkbox"/>	Zip Code	<input type="checkbox"/>	Office Number
<input type="checkbox"/>	Fax Number	<input checked="" type="checkbox"/>	Email Address
<input checked="" type="checkbox"/>	Website		
1.4a. Agency Name Department of Children, Youth, and Families			
1.4b Point of Contact Name			
Name: Marcel Urman		Title: Poverty Programs Supervisor	
1.4c. Street Address		444 Lafayette Rd N	
1.4d. City		St Paul	1.4e. StateMN 1.4f. Zip 55155
1.4g. Telephone Number 651 334 - 5705 ext.		1.4h. Fax Number -	
1.4i. Email Address marcel.urman@state.mn.us		1.4j. Agency Website www.DCYF.state.mn.us	
1.5. Provide the following information in relation to theState Community Action Association.			
There is currently a state Community Action Association within the state. <input checked="" type="radio"/> Yes <input type="radio"/> No			
Has Information regarding the state Community Action Association has changed since the last submission of the State Plan? <input checked="" type="radio"/> Yes <input type="radio"/> No			
If yes, select the fields that have been changed [Check all the apply]			
<input type="checkbox"/>	Agency Name	<input checked="" type="checkbox"/>	Executive Director
<input type="checkbox"/>	Street Address	<input type="checkbox"/>	City
<input type="checkbox"/>	State	<input type="checkbox"/>	Zip Code
<input type="checkbox"/>	Office Number	<input type="checkbox"/>	Fax Number
<input type="checkbox"/>	Email Address	<input type="checkbox"/>	Website
<input type="checkbox"/>	RPIC Lead		
1.5a. Agency Name Minnesota Community Action Partnership (MinnCAP)			
1.5b. Executive Director or Point of Contact			
Name: Lori Schultz		Title: Executive Director	
1.5c. Street Address		100 Empire Drive, Suite 202	
1.5d. City		St. Paul	1.5e. StateMN 1.5f. Zip 55103
1.5g. Telephone number 651 236 - 8577 ext.		1.5h. Fax number -	
1.5i. Email Address lorischultz@minncap.org		1.5j. State Association Website www.minncap.org	
1.5k. State Association currently serves as the Regional Performance Innovation Consortia (RPIC) lead <input type="radio"/> Yes <input checked="" type="radio"/> No			

Section 2: State Legislation and Regulation

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
Administration for Children and Families
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SECTION 2 State Legislation and Regulation

2.1. CSBG State Legislation:

State has a statute authorizing CSBG ☒ Yes ☐ No

2.2. CSBG State Regulation:

State has regulations for CSBG ☒ Yes ☐ No

2.3. Legislation/Regulation Document: *Attach the legislation and/or regulations or provide a hyperlink(s) to the documents indicated under Item 2.1. and/or Item 2.2.*

The Minnesota Community Action Act M.S. 142F.30-302, can be found at: <https://www.revisor.mn.gov/statutes/cite/142F> The Administrative Rules for Community Action Programs can be found here: Minnesota Administrative Rules Chapter 9571 (9571.0010-9571.0180), <https://www.revisor.mn.gov/rules/9571/>

2.4. State Authority:

Select a response for each of the following items about the state statute and/or regulations authorizing CSBG:

2.4a. Authorizing Legislation: State legislature enacts authorizing legislation or amendments to an existing authorizing statute, last federal fiscal year ☐ Yes ☒ No

2.4b. Regulation Amendments: State established or amended regulations for CSBG last federal fiscal year ☐ Yes ☒ No

Section 3: State Plan Development and Statewide Goals

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SECTION 3

State Plan Development and Statewide Goals

3.1. CSBG Lead Agency Mission and Responsibilities:

Briefly describe the mission and responsibilities of the state agency that serves as the CSBG Lead Agency.

The Minnesota Department of Children, Youth, and Families Mission: To center children, youth, families, and communities to advance positive and fair outcomes. The Department of Children, Youth, and Families puts children at the center of state government, creating a permanent state agency and commissioner focused on elevating children and families in policy and budget decisions. This realigns state government to advance equitable outcomes for children, youth, and families through transformative, partnership-driven policies, programs and practices. The Department of Children, Youth, and Families helps keep children safe and provides families with supports to care for their children. This includes child protection services, out-of-home care, permanent homes for children, child support, food assistance programs, child care and early learning services.

3.2. State Plan Goals:

Describe the state's CSBG-specific goals for state administration of CSBG under this State Plan.

(Note: This information is associated with State Accountability Measure 1Sa(i) and pre-populates the State's Annual Report, Module 1, Item B.1.)

The vision of the Minnesota Office of Economic Opportunity (OEO) is to eliminate the causes of poverty in Minnesota communities, and to assist Minnesotans in breaking the cycle of poverty. To achieve this broad vision, OEO works towards the following goals: 1) To provide low-income Minnesotans with the opportunity to achieve self-sufficiency and self-determination; 2) To develop and strengthen community-based organizations that represent the interests of low-income Minnesotans on the local level, and that plan, implement, and evaluate programs and activities responsive to their needs; 3) To support a wide range of programs and activities that have a measurable impact on the systemic causes of poverty in the community, or in those areas of the community where poverty is particularly acute; 4) To develop and to support local programs that may expand the knowledge base of poverty problems and to test innovative solutions to those problems; and, 5) To promote a voice for low-income people in the planning, implementation and evaluation of services provided for them. OEOs specific goals for state administration of CSBG under this State Plan include the following: 1) Efficiently and effectively granting funds to eligible entities that in turn provide programs and activities which address locally determined needs; 2) Enhancing monitoring, training and technical assistance activities to support eligible entities in delivering high quality, effective programs and services that promote self-sufficiency and self-determination; 3) Promoting initiatives focused on fairness and opportunity, and increase accessibility of services for individuals, families and communities; 4) Increasing support for innovative programs and promising practices that improve participant outcomes and alleviate the conditions and effects of poverty; 5) Expanding opportunities for emerging leaders and leadership development in the Minnesota Community Action network; and, 6) Strengthening support of the Minnesota Community Action Partnership.

3.3. State Plan Development:

Indicate the information and input the state accessed to develop this State Plan.

3.3a. Analysis of state-level tools *[Check all that apply applies and provide additional information where applicable]*

☒ State Performance Indicators and/or National Performance Indicators (NPIs)

☒ U.S. Census data

☒ State Performance Management Data (e.g., accountability measures, ACSI survey information, and/or other information from annual reports)

☒ Monitoring Visits/Assessments

☐ Tools Not Identified Above (specify)

3.3b. Analysis of local-level tools *[Check all that applies and provide additional information where applicable]*

☒ Eligible Entity Community Needs Assessments

☒ Eligible Entity Community Action Plans

☒ Public Hearings/Workshops

☐ Tools Not Identified Above (e.g., State required reports)[specify]

3.3c. Consultation with *[Check all that applies applies and provide additional information where applicable]*

☒ Eligible Entities (e.g., meetings, conferences, webinars; not including the public hearing)

☒ State Association

☐ National Association for State Community Services Programs (NASCSPP)

☐ Community Action Partnership (NCAP)

☐ Community Action Program Legal Services (CAPLAW)

☐ CSBG Tribal Training and Technical Assistance (T/TA) provider

☐ Regional Performance Innovation Consortium (RPIC)

<input type="checkbox"/> Association for Nationally Certified ROMA Trainers (ANCRT)				
<input type="checkbox"/> Federal CSBG Office				
<input checked="" type="checkbox"/> Organizations not identified above [Specify] Partners and stakeholders involved in related antipoverty programs				
3.4. Eligible Entity Involvement				
3.4a. State Plan Development Describe the specific steps the State took in developing the State Plan to involve the eligible entities.				
<i>(Note: This information is associated with State Accountability Measures 1Sa(ii) and may pre-populate the State's annual report form)</i>				
OEO utilizes a variety of methods to involve eligible entities in developing and reviewing Minnesotas CSBG State Plan. Information and feedback are gathered from eligible entities through the biennial Community Action Plan submission and review process by grantees, training and technical assistance activities, frequent and regular communication through email, phone and monitoring site visits. OEO staff participate in monthly Minnesota Community Action Partnership (state association) meetings with directors of CAAs. In these meetings, the overall development of the plan was addressed, and specific opportunities for feedback and comment were provided. Annual meetings with Tribal grantees provide an opportunity to gather specific feedback and insights from Minnesotas tribal grantees. In addition to the public hearing and official comment period for the State Plan, eligible entities have an opportunity to provide feedback and comment during a listening session at the annual Minnesota Community Action Conference. During this session, board members and staff of eligible entities have the opportunity to learn about the State Plan and to provide feedback and comment.				
3.4b. Performance Management Adjustment: Describe how the state adjusted its State Plan development procedures under this State Plan, as compared to previous State Plans, in order to:				
1) encourage eligible entity participation and 2) ensure the State Plan reflects input from eligible entities?				
Any adjustment should be based on the State's analysis of past performance in these areas, and should consider feedback from eligible entities, OCS, and other sources, such as the public hearing. If the State is not making any adjustments, provide further detail.				
<i>(Note: This information is associated with State Accountability Measures 1Sb(i) and (ii) and pre-populate the Annual Report, Module 1, Item B.1.)</i>				
The state did not make significant adjustments to its State Plan development procedures in comparison to previous years. The Minnesota State Office strives to continuously improve its engagement and participation of eligible entities and other stakeholders in this process.				
3.5. Eligible Entity Overall Satisfaction: Provide the State's target for eligible entity Overall Satisfaction during the performance period:				
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; padding: 5px;">Year One</td> <td style="width: 50%; padding: 5px; text-align: center;">90</td> </tr> <tr> <td style="width: 50%; padding: 5px;">Year Two</td> <td style="width: 50%; padding: 5px; text-align: center;">90</td> </tr> </table>	Year One	90	Year Two	90
Year One	90			
Year Two	90			
Instructional Note: The state's target score will indicate improvement or maintenance of the state's Overall Satisfaction score from the most recent American Customer Survey Index (ACSI) survey of the state's eligible entities. <i>(Note: Item 3.5 is associated with State Accountability Measure 8S and may pre-populate the State's annual report form)</i>				

Section 4: CSBG Hearing Requirements

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SECTION 4 CSBG Hearing Requirements

4.1. Public Inspection:

Describe the steps taken by the state to disseminate this State Plan to the public for review and comments prior to the public hearing, as required under Section 676(e)(2) of the Act.

OEO-DCYF posted a notice in the Minnesota State Register on April 11 2025, communicating that a draft of the FFY 2026-2027 Minnesota CSBG State Plan will be available for public inspection and comment, including an invitation to a public hearing to be held virtually on April 21 2025. OEO-DCYF made available a copy of the draft State Plan to all CSBG eligible entities and key stakeholders along with an invitation for public inspection and comment. Comments were encouraged prior to the public hearing, and additional comments were collected during the public hearing.

4.2. Public Notice/Hearing:

Describe how the state ensured there was sufficient time and statewide distribution of notice of the public hearing(s) to allow the public to comment on the State Plan, as required under Section 676(a)(2)(B) of the CSBG Act.

OEO-DCYF posts a notice in the Minnesota State Register announcing the Public Hearing for the FFY 2026-2027 Minnesota CSBG State Plan to the public. OEO-DCYF notified all CSBG eligible entities of the scheduled public hearing on April 11 in addition to the posting in the State register, and included the draft State Plan as an attachment for review and comment prior to the public hearing. Comments were collected during the public hearing, and public and attendees of the public hearing were given 5 days following the public hearing to submit any final comments.

4.3. Public and Legislative Hearings:

In the table below, specify the date(s) and location(s) of the public and legislative hearing(s) held by the designated lead agency for this State Plan, as required under Section 676(a)(2)(B) and Section 676(a)(3) of the Act.

	Date	Location	Type of Hearing [Select an option]	If a combined hearing was held, confirm that the public was invited
1	05/22/2023	House Health and Human Services Conference Committee	Legislative	<input type="checkbox"/>
2	07/18/2023	Minnesota Community Action Conference	Public	<input type="checkbox"/>
3	08/21/2025	Public Hearing	Public	<input type="checkbox"/>

NOTE : States can add rows as needed for each hearing as needed

4.4. Attach supporting documentation or a hyperlink for the public and legislative hearings.

https://mn.gov/admin/assets/SR50_06_tcm36-701404.pdf

Section 5: CSBG Eligible Entities

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SECTION 5 CSBG Eligible Entities

5.1. CSBG Eligible Entities:

In the table below, indicate whether each eligible entity in the state, is public or private, the type(s) of entity, and the geographical area served by the entity.

Note: Table 5.1 pre-populates the Annual Report, Module 1, Table C.1.

Types of Entities include Community Action Agency, Limited Purpose Agency, Local Government Agency, Migrant or Seasonal Farmworker Organization, Tribe or Tribal Organization, and Other

#	CSBG Eligible Entity	Geographical Area Served by county (Provide all counties)	Public or Nonprofit	Type of Entity [choose all that apply]
1	Anoka County Community Action Program, Inc.	Anoka County	Non-Profit	Community Action Agency
2	Arrowhead Economic Opportunity Agency, Inc.	Cook County, Lake County, St. Louis County	Non-Profit	Community Action Agency
3	Bi-County Community Action Program, Inc.	Beltrami County, Cass County	Non-Profit	Community Action Agency
4	Bois Forte Band of Chippewa	Bois Forte Reservation	Public	Tribe or Tribal Organization
5	Community Action Duluth	City of Duluth	Non-Profit	Community Action Agency
6	Community Action Partnership of Hennepin County	Hennepin County	Non-Profit	Community Action Agency
7	Community Action Partnership of Ramsey and Washington Counties	Ramsey County, Washington County	Non-Profit	Community Action Agency
8	Fond du Lac Band of Lake Superior Chippewa	Fond du Lac Reservation	Public	Tribe or Tribal Organization
9	Grand Portage Band of Lake Superior Chippewa	Grand Portage Reservation	Public	Tribe or Tribal Organization
10	Inter-County Community Council	Clearwater County, Pennington County, Polk County, Red Lake County	Non-Profit	Community Action Agency
11	KOOTASCA Community Action	Itasca County, Koochiching County	Non-Profit	Community Action Agency
12	Lakes and Prairies Community Action Partnership	Clay County, Wilkin County	Non-Profit	Community Action Agency
13	Lakes and Pines Community Action Council	Aitkin County, Carlton County, Chisago County, Isanti County, Kanabec County, Mille Lacs County, Pine County	Non-Profit	Community Action Agency
14	Leech Lake Band of Ojibwe	Leech Lake Reservation	Public	Tribe or Tribal Organization
15	Lower Sioux Indian Community	Lower Sioux Reservation	Public	Tribe or Tribal Organization
16	Mahube-Otwa Community Action Partnership	Becker County, Hubbard County, Mahnomen County, Otter Tail County, Wadena County	Non-Profit	Community Action Agency
17	Mille Lacs Band of Ojibwe	Mille Lacs Reservation	Public	Tribe or Tribal Organization
18	Minnesota Valley Action Council	Blue Earth County, Brown County, Faribault County, Le Sueur County, Martin County, Nicollet County, Sibley County, Waseca County, Watonwan County	Non-Profit	Community Action Agency
19	Northwest Community Action	Kittson County, Lake of the Woods County, Marshall County (East) & Roseau County	Non-Profit	Community Action Agency
20	Prairie Five Community Action Council	Big Stone County, Chippewa County, Lac Qui Parle County, Swift County, Yellow Medicine County	Non-Profit	Community Action Agency
21	Red Lake Nation	Red Lake Reservation	Public	Tribe or Tribal Organization
22	Community Action Partnership of Scott, Carver, and Dakota Counties	Carver County, Dakota County, Scott County	Non-Profit	Community Action Agency
23	Semcac	Dodge County, Fillmore County, Freeborn County, Houston County, Mower County, Steele County, Winona County	Non-Profit	Community Action Agency

24	Southwestern Minnesota Opportunity Council	Murray County, Nobles County, Pipestone County, Rock County	Non-Profit	Community Action Agency
25	Three Rivers Community Action	Goodhue County, Olmsted County, Rice County, Wabasha County	Non-Profit	Community Action Agency
26	Tri-County Action Program	Benton County, Sherburne County, Stearns County	Non-Profit	Community Action Agency
27	Tri-County Community Action Partnership	Crow Wing County, Morrison County, Todd County	Non-Profit	Community Action Agency
28	Tri-Valley Opportunity Council	Norman County, Marshall County (West), Polk County (West)	Non-Profit	Community Action Agency
29	United Community Action Partnership	Cottonwood County, Jackson County, Kandiyohi County, Lincoln County, Lyon County, McLeod County, Meeker County, Renville County, Redwood County	Non-Profit	Community Action Agency
30	Upper Sioux Community	Upper Sioux Reservation	Public	Tribe or Tribal Organization
31	West Central Minnesota Communities Action	Douglas County, Grant County, Pope County, Stevens County, Traverse County	Non-Profit	Community Action Agency
32	White Earth Nation	White Earth Reservation	Public	Tribe or Tribal Organization
33	Wright County Community Action	Wright County	Non-Profit	Community Action Agency
34	Prairie Island Indian Community	Prairie Island Mdewakanton Sioux Indian Reservation	Public	Tribe or Tribal Organization

5.2. Total number of CSBG eligible entities 34

5.3. Changes to Eligible Entities List:

Within the tables below, describe any changes that have occurred to the Eligible Entities within the state since the last federal fiscal Year (FFY), as applicable.

One or more of the following changes were made to the eligible entity list: [Check all that apply].

- ☐ Designation and/or Re-Designation
☐ De-Designations and/or Voluntary Relinquishments
☐ Mergers
☒ No Changes to Eligible Entities List

5.3a. Designation and Re-Designation: Identify any new entities that have been designated as eligible entities, as defined under Section 676A of the Act, since the last federal fiscal year. Include any eligible entities designated to serve an area previously not served by CSBG as well as any entities designated to replace another eligible entity that was terminated (de-designated) or that voluntarily relinquished its status as a CSBG eligible entity.

CSBG Eligible Entity	Type	Start Date	Geographical Area Served	Delete

5.3b. Designation and Voluntary Relinquishments: Identify any entities that are no longer receiving CSBG funding. Include any eligible entities that have been terminated (de-designated) as defined under Section 676(c) and Section 676C of the Act, or voluntarily relinquished their CSBG eligible entity status since the last Federal Fiscal Year (FFY). Include any eligible entities designated to serve an area previously not served by CSBG as well as any entities designated to replace another eligible entity that was terminated (de-designated)

CSBG Eligible Entity	Reason	Delete

5.3c. Mergers: In the table below, provide information about any mergers or other combinations of two or more eligible entities that were individually listed in the prior State Plan.

Original CSBG Eligible Entities	Surviving CSBG Eligible Entity	New Name (as applicable)	DUNS No.	Delete

Section 6: Organizational Standards for Eligible Entities

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
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SECTION 6 Organizational Standards for Eligible Entities

Note: Reference IM 138, *State Establishment of Organizational Standards for CSBG Eligible Entities*, for more information on Organizational Standards. Click [HERE](#) for IM 138.

6.1. Choice of Standards: Confirm whether the state will implement the CSBG Organizational Standards Center of Excellence (COE) organizational standards (as described in IM 138) or an alternative set during the federal fiscal year(s) of this planning period

☒ COE CSBG Organizational Standards ☐ Modified version of COE CSBG Organizational Standards ☐ Alternative set of Organizational Standards

6.1a. Modified Organizational Standards: In the case that the state is requesting to use modified COE-developed organizational standards, provide the proposed modification for the FFY of this planning period including the rationale.

6.1b. Alternative Organizational Standards: If using an alternative set of organizational standards, attach the complete list of alternative organizational standards.

6.1c. Alternative Organizational Standards Changes: If using an alternative set of organizational standards:

- 1) provide any changes from the last set provided during the previous State Plan submission;
- 2) describe the reasons for using alternative standards; and
- 3) describe how they are at least as rigorous as the COE-developed standards

☒ There were no changes from the previous State Plan submission

Provide reason for using alternative standards

Describe rigor compared to COE-developed Standards

6.2. Implementation: Check the box that best describes how the state officially adopt(ed) organizational standards for eligible entities in the state in a manner consistent with the state's administrative procedures act. If "Other" is selected, provide a timeline and additional information, as necessary. *[Check all that apply and narrative where applicable]*

☐ Regulation

☒ Policy

☐ Contracts with eligible entities

☐ Other, describe:

6.3. Organizational Standards Assessment: Describe how the state assess eligible entities against organizational standards this federal fiscal year(s). *[Check all that apply.]*

☐ Peer-to-peer review *(with validation by the State or state-authorized third party)*

☒ Self-assessment *(with validation by the State or state-authorized third party)*

☐ Self-assessment/peer review with state risk analysis

☐ State-authorized third party validation

☒ Regular, on-site CSBG monitoring

☒ Other Desk Monitoring

6.3a. Assessment Process: Describe the planned assessment process.

Minnesotas 24 eligible entities who are Community Action Agencies began implementing the CSBG Organizational Standards in 2015. The 10 eligible entities who are tribal government are exempt from organizational standards. OEO-DCYF's expectation and goal is that all eligible entities will be making continuous progress towards compliance with all organizational standards, unless an exemption has been granted. The values underlying Minnesotas assessment process come from OEO-DCYF's Guiding Principles for Partnership. OEO-DCYF has utilized the Community Action Partnerships Center of Excellence developed implementation guidance and tools for organizational standards. Minnesotas eligible entities worked together with OEO-DCYF to review these tools and modify them for implementation in the states Community Action network. Additionally, OEO-DCYF conducts a full on-site monitoring visit at least once during the states two-year contract period, and usually once per year. During these visits, standards that are unmet will also be addressed and training and technical assistance needs will be updated. On a rolling basis, OEO-DCYF in cooperation with the state association will provide training and technical assistance to support the progress of all eligible entities in meeting all organizational standards.

6.4. Eligible Entity Exemptions: Will the state make exceptions in applying the organizational standards for certain eligible entities due to special circumstances or organizational characteristics (as described in IM 138)? ☐ Yes ☒ No

6.4a. Provide the specific eligible entities the state will exempt from meeting organizational standards, and provide a description and a

justification for each exemption			
Total Number of Exempt Entities: 0			
CSBG Eligible Entity		Description / Justification	Delete
6.5. Performance Target: Provide the percentage of eligible entities that the state expects to meet all the state-adopted organizational standards for FFY(S) for this planning period			
Year One	95%	Year Two	95%
<i>Note: Item 6.5 is associated with State Accountability Measures 6Sa and prepopulate the Annual report, Module I, Table D.2.</i>			

Section 7: State Use of Funds

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
Administration for Children and Families
Community Services Block Grant (CSBG)

Form Approved
OMB No: 0970-0382
Expires:08/31/2027

SECTION 7 State Use of Funds

Eligible Entity Allocation (90 Percent Funds) [Section 675C(a) of the CSBG Act]

7.1. Formula:

Select the method (formula) that best describes the current practice for allocating CSBG funds to eligible entities.

- ☐ Historic
- ☒ Base + Formula
- ☐ Formula Alone
- ☐ Formula with Variables
- ☐ Hold Harmless + Formula
- ☐ Other

7.1a. Formula Description: Describe the current practice for allocating CSBG funds to eligible entities.

Per Minnesota State Statute, the available annual money will provide base funding to all community action agencies and the Tribal Nations. Base funding amounts per agency are as follows: for agencies with low-income populations up to 23,999, \$50,000; and 24,000 or more, \$100,000. All remaining money of the annual money available after the base funding has been determined must be allocated to each agency and Tribal Nation in proportion to the size of the poverty level population in the agency's service area compared to the size of the poverty level population in the state as reported in the US Census.

7.1b. Statute: Does a state statutory or regulatory authority specify the formula for allocating "not less than 90 percent" funds among eligible entities? ☒ Yes ☐ No

7.2. Planned Allocation:

Specify the percentage of your CSBG planned allocation that will be funded to eligible entities and "not less than of 90 percent funds" as described under Section 675C(a) of the CSBG Act.

In the table, provide the planned allocation for each eligible entity receiving funds for the fiscal year(s) covered by this plan.

Note: This information pre-populates the state's Annual Report, Module 1, Table E.2.

Year One	90.00%	Year Two	90.00%
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Planned CSBG 90 Percent Funds

CSBG Eligible Entity	Year One Funding Amount \$	Delete
Anoka County Community Action Program, Inc.	\$342,664	
Arrowhead Economic Opportunity Agency, Inc.	\$209,523	
Bi-County Community Action Program, Inc.	\$179,516	
Bois Forte Band of Chippewa	\$28,859	
Community Action Duluth	\$222,422	
Community Action Partnership of Hennepin County	\$1,769,977	
Community Action Partnership of Ramsey and Washington Counties	\$1,192,296	
Fond du Lac Band of Lake Superior Chippewa	\$37,454	
Grand Portage Band of Lake Superior Chippewa	\$26,351	
Inter-County Community Council	\$79,956	
KOOTASCA Community Action	\$111,717	
Lakes and Prairies Community Action Partnership	\$157,604	
Lakes and Pines Community Action Council	\$307,272	
Leech Lake Band of Ojibwe	\$54,616	
Lower Sioux Indian Community	\$25,975	
Mahube-Otwa Community Action Partnership	\$222,789	
Mille Lacs Band of Ojibwe	\$36,897	
Minnesota Valley Action Council	\$409,962	
Northwest Community Action	\$57,263	
Prairie Five Community Action Council	\$91,142	
Red Lake Nation	\$47,595	
Community Action Partnership of Scott, Carver, and Dakota Counties	\$530,182	

Semcac	\$328,098
Southwestern Minnesota Opportunity Council	\$94,889
Three Rivers Community Action	\$378,661
Tri-County Action Program	\$438,283
Tri-County Community Action Partnership	\$219,832
Tri-Valley Opportunity Council	\$77,448
United Community Action Partnership	\$271,959
Upper Sioux Community	\$51,741
West Central Minnesota Communities Action	\$108,847
White Earth Nation	\$57,973
Wright County Community Action	\$119,267
Prairie Island Indian Community	\$25,933
Total	\$8,314,963

CSBG Eligible Entity Year Two		
CSBG Eligible Entity	Year Two Funding Amount \$	Delete
Anoka County Community Action Program, Inc.	\$342,664	
Arrowhead Economic Opportunity Agency, Inc.	\$209,523	
Bi-County Community Action Program, Inc.	\$179,516	
Bois Forte Band of Chippewa	\$28,859	
Community Action Duluth	\$222,422	
Community Action Partnership of Hennepin County	\$1,769,977	
Community Action Partnership of Ramsey and Washington Counties	\$1,192,296	
Fond du Lac Band of Lake Superior Chippewa	\$37,454	
Grand Portage Band of Lake Superior Chippewa	\$26,351	
Inter-County Community Council	\$79,956	
KOOTASCA Community Action	\$111,717	
Lakes and Prairies Community Action Partnership	\$157,604	
Lakes and Pines Community Action Council	\$307,272	
Leech Lake Band of Ojibwe	\$54,616	
Lower Sioux Indian Community	\$25,975	
Mahube-Otwa Community Action Partnership	\$222,789	
Mille Lacs Band of Ojibwe	\$36,897	
Minnesota Valley Action Council	\$409,962	
Northwest Community Action	\$57,263	
Prairie Five Community Action Council	\$91,142	
Red Lake Nation	\$47,595	
Community Action Partnership of Scott, Carver, and Dakota Counties	\$530,182	
Semcac	\$328,098	
Southwestern Minnesota Opportunity Council	\$94,889	
Three Rivers Community Action	\$378,661	
Tri-County Action Program	\$438,283	
Tri-County Community Action Partnership	\$219,832	
Tri-Valley Opportunity Council	\$77,448	
United Community Action Partnership	\$271,959	
Upper Sioux Community	\$51,741	
West Central Minnesota Communities Action	\$108,847	
White Earth Nation	\$57,973	
Wright County Community Action	\$119,267	
Prairie Island Indian Community	\$25,933	
Total	\$8,314,963	

7.3. Distribution Process:

Describe the specific steps in the state's process for distributing 90 percent funds to the eligible entities and include the number of days each step is expected to take; include information about state legislative approval or other types of administrative approval (such as approval by a board or commission).

Federal Award Letter Timeframe: The U.S. Department of Health and Human Services (HHS), Office of Community Services (OCS) notifies DCYF of quarterly CSBG disbursement amounts, and in the last quarter of the current fiscal year, sends a final total fiscal year allocation amount. Distribution to

Eligible Entities and Grant Period: DCYF combines state Minnesota Community Action Grant and federal CSBG funding into a single 30 month contract that combines two years of state funding, and two years of federal funding. The contract is made available for a two-year period beginning July 1 of year 1, and ending December 31 of year 2. Funding is awarded to eligible entities and is distributed based on the formula stipulated in state legislation. Grant Contract Agreement: The process of distributing CSBG and related Minnesota Community Action Grant funds is done biennially. OEO administers a Community Action Plan application process with eligible entities every two years. This application process begins during Minnesotas biennial budget state legislative session. Applications include a two-year Community Action Work Plan and Budget. Work plans and budgets are reviewed, pre-approved, and prepared for the grant contract agreement process. When Minnesota Community Action Grant funding levels are approved by the Minnesota Legislature and then certified by Minnesota Management and Budget, OEO issues grant contact agreements to eligible entities for CSBG and Minnesota Community Action Grant funds. Grant contract agreements are executed with eligible entities between June and August, in anticipation of the beginning of the federal fiscal year on October 1. Distribution of 90% of Funds to Eligible Entities: When OEO receives notice of a CSBG disbursement (quarterly and final fiscal year allocation amounts), OEO grants management staff implement the distribution formula to determine allocation amounts. Eligible entities are notified of the availability of CSBG funding under their current grant contract agreement through a Notice of Funds Available (NFA). The first NFA typically distributes the total base funding allocated to eligible entities. Remaining NFAs distribute CSBG funding as it is disbursed through quarterly and final disbursements from OCS. Typically, eligible entities receive NFAs within 14 days of an OCS disbursement, but no later than 30 days of an OCS disbursement.

7.3a Distribution Method: Select the option below that best describes the distribution method the state uses to issue CSBG funds to eligible entities:

<input checked="" type="radio"/> Reimbursement
<input type="radio"/> Advance
<input type="radio"/> Hybrid
<input type="radio"/> Other

7.4. Distribution Timeframe:

Does the state plan to make funds available to eligible entities no later than 30 calendar days after OCS distributes the federal award? ☒ Yes
☐ No

7.4a. Distribution Consistency: If no, describe state procedures to ensure funds are made available to eligible entities consistently and without interruption.

Note: Item 7.4 is associated with State Accountability Measure 2Sa and may prepopulate the state's annual report form.

7.5. Distribution of Funds Performance Management Adjustment:

Describe the state's strategy for improving grant and/or contract administration procedures under this State Plan as compared to past plans. Any improvements should be based on analysis of past performance, and should consider feedback from eligible entities, OCS, and other sources, such as the public hearing. If the state is not making any improvements, provide further detail.

Note: This information is associated with State Accountability Measure 2Sb and may prepopulate the state's annual report form.

OEO-DCYF evaluates grant contract administration procedures based on feedback from eligible entities collected through varied means, including the biennial CSBG American Customer Satisfaction Index (ACSI) Survey, regular meetings with directors and staff of eligible entities, on-site monitoring visits, and other interaction with staff of eligible entities. As a result of this feedback, OEO-DCYF revised and updated application materials for the two-year Community Action plan and budget, which are the basis for grant contract agreements. OEO-DCYF also continues to advocate within DCYF for improvements and increased efficiencies related to grant contract administration activities.

Administrative Funds [Section 675C(b)(2) of the CSBG Act]

Note: This information pre-populates the state's Annual Report, Module 1, Table E.4.

7.6. Allocated Funds: Specify the percentage of your CSBG planned allocation for administrative activities for the FFY(s) covered by this State plan.

Year One (0.00%)	5.00	Year Two (0.00%)	5.00
------------------	------	------------------	------

7.7. State Staff: Provide the number of state staff positions to be funded in whole or in part with CSBG funds for the FFY(s) covered by this State Plan

Year One	7.00	Year Two	7.00
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7.8. State FTEs: Provide the number of state Full Time Equivalents (FTEs) to be funded with CSBG funds for the FFY(s) covered by this State Plan

Year One	3.50	Year Two	3.50
----------	------	----------	------

7.9. Remainder/Discretionary Funds Use: Does the state have remainder/discretionary funds, as described inSection 675C(b)(1) of the CSBG Act? ☒ Yes ☐ No

If yes, provide the allocated percentage and describe the use of the remainder/discretionary funds in the table below.

Year One (0.00%)	5.00%	Year Two (0.00%)	5.00%
------------------	-------	------------------	-------

Use of Remainder/Discretionary Funds(See Section 675C(b)(1) of the CSBG Act)

Note: This response will link to the correspondingassurance, Item 14.2.

If a funded activity fits under more than one category in the table, allocate the funds among the categories. For example, if the state provides funds under a contract with the State Community Action association to provide training and technical assistance to eligible entities and to create a statewide data system, the funds for that

contract should be allocated appropriately between Items 7.9a. - 7.9c. If allocation is not possible, the state may allocate the funds to the main category with which the activity is associated.

Note: This information is associated with State Accountability Measures 3Sa and pre-populates the annual report Module 1, Table E.7.

Remainder/Discretionary Fund Uses	Year One Planned \$	Brief description of services/activities and/or activities
7.9a. Training/technical assistance to eligible entities	\$200,000.00	These planned services/activities will be described in State Plan Item 8.1.
7.9b. Coordination of state-operated programs and/or local programs	\$10,000.00	These planned services/activities will be described in State Plan Section 9, state Linkages and Communication.
7.9c. Statewide coordination and communication among eligible entities	\$30,721.50	These planned services/activities will be described in State Plan Section 9, state Linkages and Communication.
7.9d. Analysis of distribution of CSBG funds to determine if targeting greatest need	\$0.00	NOT A CURRENT MINNESOTA USE OF DISCRETIONARY FUNDS
7.9e. Asset-building programs	\$0.00	NOT A CURRENT MINNESOTA USE OF DISCRETIONARY FUNDS
7.9f. Innovative programs/activities by eligible entities or other neighborhood groups	\$200,721.50	CURRENT MINNESOTA USE OF DISCRETIONARY FUNDS, to carry out this objective OEO-DCYF provides one-time contracts to agencies upon request. OEO-DCYF offers up to \$30,000 in discretionary funding for projects including, improvement of organizational systems, one-time start up costs for new programs, organizational strategic planning, and other one-time projects that meet CSBG allowable costs guidelines.
7.9g. State charity tax credits	\$0.00	NOT A CURRENT MINNESOTA USE OF DISCRETIONARY FUNDS
7.9h. Other activities, specify in column 3	\$20,000.00	CURRENT MINNESOTA USE OF DISCRETIONARY FUNDS, to carry out this objective OEO-DCYF in partnership with the state association, MinnCAP, provides immediate financial assistance to agencies experiencing a natural disaster, or other emergency related needs in their communities. Funds are dedicated to supporting agencies in alleviating additional burden for low-income families due to unforeseen emergencies following CSBG allowable costs guidelines.
Total	\$461,443.00	
Remainder/Discretionary Fund Uses	Year Two Planned \$	Brief description of services/activities
7.9a. Training/technical assistance to eligible entities	\$200,000.00	These planned services/activities will be described in State Plan Item 8.1.
7.9b. Coordination of state-operated programs and/or local programs	\$10,000.00	These planned services/activities will be described in State Plan Section 9, state Linkages and Communication.
7.9c. Statewide coordination and communication among eligible entities	\$30,721.50	These planned services/activities will be described in State Plan Section 9, state Linkages and Communication.
7.9d. Analysis of distribution of CSBG funds to determine if targeting greatest need	\$0.00	NOT A CURRENT MINNESOTA USE OF DISCRETIONARY FUNDS
7.9e. Asset-building programs	0	NOT A CURRENT MINNESOTA USE OF DISCRETIONARY FUNDS
7.9f. Innovative programs/activities by eligible entities or other neighborhood groups	\$200,721.50	CURRENT MINNESOTA USE OF DISCRETIONARY FUNDS, to carry out this objective OEO-DCYF provides one-time contracts to agencies upon request. OEO-DCYF offers up to \$30,000 in discretionary funding for projects including, improvement of organizational systems, one-time start up costs for new programs, organizational strategic planning, and other one-time projects that meet CSBG allowable costs guidelines.
7.9g. State charity tax credits	\$0.00	NOT A CURRENT MINNESOTA USE OF DISCRETIONARY FUNDS
7.9h. Other activities, specify in column 3	\$20,000.00	CURRENT MINNESOTA USE OF DISCRETIONARY FUNDS, to carry out this objective OEO-DCYF in partnership with the state association, MinnCAP, provides immediate financial assistance to agencies experiencing a natural disaster, or other emergency related needs in their communities. Funds are dedicated to

		supporting agencies in alleviating additional burden for low-income families due to unforeseen emergencies following CSBG allowable costs guidelines.
Total	\$461,443.00	

7.10. Remainder/Discretionary Funds Partnerships: Select the types of organizations, if any, the State Plans to work with (by grant or contract using remainder/discretionary funds) to carry out some or all of the activities in table 7.9.
[Check all that apply and narrative where applicable]

☐ The state directly carries out all activities (No Partnerships)

☒ The state partially carries out some activities

☒ CSBG eligible entities (*if checked, include the expected number of CSBG eligible entities to receive funds*) 8

☐ Other community-based organizations

☒ State Community Action association

☐ Regional CSBG technical assistance provider(s)

☐ National technical assistance provider(s)

☐ Individual consultant(s)

☐ Tribes and Tribal Organizations

☐ Other

Note: *This response will link to the corresponding CSBG assurance, item 14.2.*

7.11. Use of Remainder/Discretionary Funds Performance Management Adjustment:
Describe any adjustments the state will make to the use of remainder/discretionary funds under this State Plan as compared to past plans. Any adjustment should be based on the state's analysis of past performance, and should consider feedback from eligible entities, OCS, and other sources, such as the public hearing. If the state is not making any adjustments, provide further detail.

Note: *This information is associated with State Accountability Measures 3Sb, and may pre-populate the State's annual report form.*

No major changes other than a decision to limit the award of a Discretionary request to \$30,000 to afford more eligible entities a chance to access funds if needed or necessary.

Section 8: State Training and Technical Assistance

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
Administration for Children and Families
Community Services Block Grant (CSBG)

Form Approved
OMB No: 0970-0382
Expires: 08/31/2027

SECTION 8 State Training and Technical Assistance

8.1. Training and Technical Assistance Plan: Describe the State's plan for delivering CSBG-funded training and technical assistance to eligible entities under this State Plan by completing the table below. The T/TA plan should include all planned CSBG T/TA activities funded through the administrative or remainder/discretionary funds of this CSBG award (as reported in Section 7). The CSBG T/TA plan should include training and technical assistance conducted directly by the state or through partnerships (as specified in 8.3). Add a row for each activity; indicate the timeframe; whether it is training, technical assistance, or both; and the topic.

Note: This information is associated with State Accountability Measure 3Sc and pre-populates the Annual Report, Module 1, Table F.1.

Training and Technical Assistance - Year One

	Planned Timeframe	Training, Technical Assistance, or Both	Topic	Brief Description of "Other"
1	Ongoing / Multiple Quarters	Both	Fiscal	
2	Ongoing / Multiple Quarters	Both	Governance/Tripartite Boards	
3	Ongoing / Multiple Quarters	Both	Organizational Standards - General	
4	Ongoing / Multiple Quarters	Both	Reporting	
5	Ongoing / Multiple Quarters	Both	ROMA	
6	Ongoing / Multiple Quarters	Both	Community Assessment	
7	Ongoing / Multiple Quarters	Both	Strategic Planning	
8	Ongoing / Multiple Quarters	Both	Communication	
9	Ongoing / Multiple Quarters	Both	Technology	
10	FY1-Q1	Training	Other	Annual Tribal Specific Training
11	FY1-Q3	Training	Other	Annual Community Action Conference

Training and Technical Assistance - Year Two

	Planned Timeframe	Training, Technical Assistance, or Both	Topic	Brief Description of "Other"
1	Ongoing / Multiple Quarters	Both	Fiscal	
2	Ongoing / Multiple Quarters	Both	Governance/Tripartite Boards	
3	Ongoing / Multiple Quarters	Both	Organizational Standards - General	
4	Ongoing / Multiple Quarters	Both	Reporting	
5	Ongoing / Multiple Quarters	Both	ROMA	
6	Ongoing / Multiple Quarters	Both	Community Assessment	
7	Ongoing / Multiple Quarters	Both	Strategic Planning	
8	Ongoing / Multiple Quarters	Both	Communication	
9	Ongoing / Multiple Quarters	Both	Technology	
10	FY2-Q1	Training	Other	Annual Tribal Specific Training
11	FY2-Q3	Training	Other	Annual Community Action Conference

8.1a. Training and Technical Assistance Budget: The planned budget for the training and technical assistance plan (as indicated in the Remainder/Discretionary Funds table in item 7.9):

Year One	\$200,000	Year Two	\$200,000
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8.1b. Training and Technical Assistance Collaboration: Describe how the state will collaborate with the state association and other stakeholders in the planning and delivery of training and technical assistance.

OEO-DCYF closely coordinates with Minnesota Community Action Partnership (MinnCAP) (State Association) in conducting needs assessment, planning, development, and implementation of training and technical assistance. The directors of the CSBG State Office and the State Association meet monthly to share information. Program and operational staff in both organizations have close working relationships and collaborate on training and annual conference planning. Frequent and regular communication occurs through email, phone conversations and in person meetings. Annual planning and evaluation activities are coordinated in completing the joint training and TA plan and RPIC plans.

8.2. Organizational Standards Technical Assistance: Does the state have Technical Assistance Plans (TAPs) in place for all eligible entities with unmet organizational standards, if appropriate? ☐ Yes ☒ No

Note: 8.2 is associated with State Accountability Measure 6Sb. The state should put a TAP in place to support

eligible entities with one or more unmet organizational standards.

8.2a. Address Unmet Organizational Standards: Describe the state's plan to provide T/TA to eligible entities to ensure they address unmet Organizational Standards. CSBG State Office Grant Managers conduct close T/TA visits and frequent conversations with eligible entities that have unmet organizational standards. Entities with unmet organizational standards undergo a monitoring visit to assess the severity of any issues that have contributed to the standard(s) being unmet, and recommendations and/or corrective actions are provided in a thorough report to the executive director and board of the entity. A plan is discussed with benchmarks for meeting the recommendations and moving toward meeting the unmet organizational standards and CSBG State Office Grant Managers conduct regular check ins with the executive director and board of the entity to survey progress and assess any potential need to move to a T/TA plan or quality improvement plan.

8.3. Training and Technical Assistance Organizations: Indicate the types of organizations through which the State Plans to provide training and/or technical assistance as described in item 8.1, and briefly describe their involvement. *[Check all that apply.]*

☐ All T/TA is conducted by the state

☐ CSBG eligible entities *(if checked, provide the expected number of CSBG eligible entities to receive funds)*

☐ Other community-based organizations

☒ State Community Action association

☐ Regional CSBG technical assistance provider(s)

☒ National technical assistance provider(s)

☒ Individual consultant(s)

☒ Tribes and Tribal Organizations

☐ Other

8.4.CSBG-Funded T/TA Performance Management Adjustment:Describe adjustments the state made to the training and technical assistance plan under this State Plan as compared to past plans. Any adjustment should be based on the state's analysis of past performance, and should consider feedback from eligible entities, OCS, and other sources, such as the public hearing. If the state is not making any adjustments, provide further detail.

Note: This information is associated with State Accountability Measures 3Sd may pre-populate the state's annual report form

OEO-DCYF continues to plan and develop training and technical assistance activities based on feedback from eligible entities collected through the CSBG American Customer Satisfaction Index (ACSI) Survey, regular meetings with directors and staff of eligible entities, on-site monitoring visits, training and technical assistance needs assessment, and other interaction with staff of eligible entities. As a result of this feedback, OEO-DCYF will target specific topics that have been identified by eligible entities as priority areas for training and technical assistance. In addition, OEO-DCYF offers a comprehensive board training to eligible entities with new board members, or with boards that may need a refresher on CSBG and the history and purpose of Community Action. OEO-DCYF conducted this board training with 11 CAAs in FYs 2022 and 2023. OEO-DCYF will continue to coordinate with the Minnesota Community Action Partnership (MinnCAP) (state association) to develop a joint training and technical assistance plan each year that maximizes the resources available to the state.

Section 9: State Linkages and Communication

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
Administration for Children and Families
Community Services Block Grant (CSBG)

Form Approved
OMB No:0970-0382
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SECTION 9 State Linkages and Communication

Note: This section describes activities that the state may support with CSBG remainder/discretionary funds, described under Section 675C(b)(1) of the CSBG Act. The state may indicate planned use of remainder/discretionary funds for linkage/communication activities in Section 7, State Use of Funds, items 7.9(b) and (c).

9.1. State Linkages and Coordination at the State Level:

Describe the linkages and coordination at the state level that the state intends to create or maintain to ensure increased access to CSBG services to low-income people and communities under this State Plan and avoid duplication of services (as required by the assurance under Section 676(b)(5)).

Describe additional information as needed.

Note: This response will link to the corresponding CSBG assurance, item 14.5. In addition, this item is associated with State Accountability Measure 7Sa andand pre-populates the Annual Report, Module 1, Item G.1.

<input checked="" type="checkbox"/>	State Low Income Home Energy Assistance Program (LIHEAP) office
<input checked="" type="checkbox"/>	State Weatherization office
<input type="checkbox"/>	State Temporary Assistance for Needy Families (TANF) office
<input checked="" type="checkbox"/>	Head Start State Collaboration offices
<input type="checkbox"/>	State public health office
<input type="checkbox"/>	State education department
<input type="checkbox"/>	State Workforce Innovation and Opportunity Act (WIOA) agency
<input checked="" type="checkbox"/>	State budget office
<input checked="" type="checkbox"/>	Supplemental Nutrition Assistance Program (SNAP)
<input checked="" type="checkbox"/>	State child welfare office
<input checked="" type="checkbox"/>	State housing office
<input checked="" type="checkbox"/>	Other
American Indian Food Sovereignty program	

9.2. State Linkages and Coordination at the Local Level:

Describe how the state is encouraging partnerships and collaborations at the state level with public and private sector organizations, to assure the effective delivery and coordination of CSBG services to transform low-income communities and avoid duplication of services (as required by assurances under Sections 676(b)(5) - (6))

Note: This response will link to the corresponding CSBG assurances, items 14.5 and 14.6., and pre-populates the Annual Report, Module 1, Item G.2.

Assurances described above are carried out through Minnesota Law and Rule, DCYFs grant contract agreement, and OEO-DCYF monitoring activities. The partnerships and linkages that eligible entities cultivate and maintain on the local level are central to well-coordinated and accessible services for low-income people and communities. OEO-DCYF provides state level support whenever possible to strengthen these local partnerships. Eligible entities identify local linkages and partnerships in their biennial Community Action plan, report on this activity in annual reporting, and review related activities with OEO-DCYF staff during monitoring visits. Across Minnesota, eligible entities maintain thousands of local partnerships in areas, such as child care, child support, housing, early education programs, emergency food programs, Energy Assistance, Weatherization, faith-based antipoverty efforts, Family Service Collaboratives, Head Start, homeless programs, vocational rehabilitation programs, and workforce centers. Eligible Entities actively work with these partners to expand resources and opportunities in order to achieve individual, family and community outcomes and to ensure that resources are used appropriately and effectively. Reference: The Minnesota Community Action Act M.S. 142F.30-302, can be found at: <https://www.revisor.mn.gov/statutes/cite/142F> The Administrative Rules for Community Action Programs can be found here: Minnesota Administrative Rules Chapter 9571 (9571.0010-9571.0180), <https://www.revisor.mn.gov/rules/9571/>

9.3. Eligible Entity Linkages and Coordination

9.3a. State Assurance of Eligible Entity Linkages and Coordination: Describe how the state will assure that eligible entities will partner and collaborate with public and private sector organizations to assure the effective delivery and coordination of CSBG services to low-income people and communities and avoid duplication of services (as required by the assurance under Section 676(b)(5)).

Note: This response will link to the corresponding CSBG assurance, item 14.5.and pre-populates the Annual Report, Module 1, Item G.3a.

Assurances described above are carried out through Minnesota Law and Rule, DCYFs grant contract agreement, and OEO-DCYF monitoring activities. Eligible entities identify local linkages and partnerships in their biennial Community Action plan, report on this activity in annual reporting, and review related activities with OEO staff during monitoring visits. Programs operated by eligible entities, include Head Start, Older Americans Act programs, housing and homeless programs, emergency food and shelter programs, SNAP outreach and education, Low Income Home Energy Assistance program, Weatherization program, financial capability and asset building programs, self-sufficiency and family development programs, youth programs, transportation programs and more. One example of an innovative linkage has developed in the south-central portion of the State, and includes a linkage between a Community Action agency, and home daycare providers to identify spaces for the Community Action agency to lease and offer a sublease to the home daycare providers this increases the home daycare providers capacity to serve children and families in the community, and offers an

opportunity for the eligible entity (Community Action Agency) to provide training and technical assistance to build the home daycare providers business model and incubate the business as it takes off, eventually becoming a self sustaining childcare program in the community, specifically serving low-income families through the acceptance of childcare assistance. Coordination among many types of local programs occurs in all communities and service areas. In rural areas of Minnesota, eligible entities who are Community Action Agencies, are often the key organization coordinating the effective delivery of and coordination of CSBG services to low-income people and families. Reference: The Minnesota Community Action Act M.S. 142F.30-302, can be found at: <https://www.revisor.mn.gov/statutes/cite/142F> The Administrative Rules for Community Action Programs can be found here: Minnesota Administrative Rules Chapter 9571 (9571.0010-9571.0180), <https://www.revisor.mn.gov/rules/9571/>

9.3b State Assurance of Eligible Entity Linkages to Fill Service Gaps:

Describe how the eligible entities will develop linkages to fill identified gaps in the services, through the provision of information, referrals, case management, and follow-up consultations, according to the assurance under Section 676(b)(3)(B) of the CSBG Act.

Note: This response will link to the corresponding CSBG assurance, item 14.3b., and pre-populates the Annual Report, Module 1, Item G.3b.

Assurances described above are carried out through Minnesota Law and Rule, DCYFs grant contract agreement, and OEO monitoring activities. Through formal Community Needs Assessments and ongoing engagement with community partners and other stakeholders, eligible entities identify gaps in the services they provide and develop solutions to address these gaps. Eligible entities convene and participate in a wide range of local and regional partnerships with other nonprofit, human services, education, health, and faith-based organizations. Examples of these partnerships include: County level social services collaboratives, where case managers across agencies collaborate to better serve shared families, referrals for households to receive services the eligible entity does not provide, and one-stop services, where multiple service providers collaborate to provide services to households at one convenient location. These linkages are also developing among Community Action Agencies and Tribal grantees across the state to fill service gaps. Staff at all levels of eligible entities participate in linkage development, including executive directors and program managers who may participate in community-wide coalitions, and front line staff, who develop and sustain linkages for families on a daily basis through case management and other direct service activities. Eligible entities increasingly use technology to identify gaps in services, to make referrals, track services, and to better serve participants. Eligible entities use a range of database systems to manage data internally and to make connections with peer agencies to track customer participation in services provided by other agencies.

9.4. Workforce Innovation and Opportunity Act (WIOA) Employment and Training Activities:

Does the state intend to include CSBG employment and training activities as part of a WIOA Combined State Plan, as allowed under the Workforce Innovation and Opportunity Act (as required by the assurance under Section 676(b)(5) of the CSBG Act)? ☐ Yes ☒ No

Note: This response will link to the corresponding CSBG assurance, item 14.5.

9.4a. WIOA Combined Plan: If the state selected "yes" under item 9.4, provide the CSBG-specific information included in the state's WIOA Combined Plan. This information includes a description of how the state and the eligible entities will coordinate the provision of employment and training activities through statewide and local WIOA workforce development systems. This information may also include examples of innovative employment and training programs and activities conducted by community action agencies or other neighborhood-based organizations as part of a community antipoverty strategy.

9.4b. Employment and Training Activities: If the state selected "no" under item 9.4, describe the coordination of employment and training activities, as defined in Section 3 of WIOA, by the state and by eligible entities providing activities through the WIOA system.

Coordination among key partners, including OEO-DCYF, eligible entities, state employment and training programs, and human service program, is an essential element of the Governor's coordination strategy as well as a requirement of the Workforce Investment and Opportunity Act (WIOA). This strategy presents an opportunity to strengthen existing local coordination and to improve coordination between WorkForce Centers and eligible entities whenever possible. Several eligible entities are the designated employment services provider for their counties. Others connect struggling participants with the appropriate community or county resources to secure and retain employment. Some eligible entities work with the local Workforce Center to ensure that at-risk high school youth receive employment and training services, providing work experience at local schools, cities, nursing homes, and other training locations.

9.5. Emergency Energy Crisis Intervention:

Describe how the state will assure, where appropriate, that emergency energy crisis intervention programs under Title XXVI (relating to Low-Income Home Energy Assistance) are conducted in each community in the state, as required by the assurance under Section 676(b)(6) of the CSBG Act).

Note: This response will link to the corresponding CSBG assurance, item 14.6.

The majority of eligible entities operate both the LIHEAP and Weatherization programs. In communities where this is not the case, eligible entities are monitored to verify that coordination occurs to maximize resources available in the community.

9.6. Faith-based Organizations, Charitable Groups, Community Organizations:

Describe how the state will assure local eligible entities will coordinate and form partnerships with other organizations, including faith-based organizations, charitable groups, and community organizations, according to the state's assurance under Section 676(b)(9) of the CSBG Act.

Note: this response will link to the corresponding assurance, item 14.9.

Assurances described above are carried out through Minnesota Law and Rule, DCYFs grant contract agreement, and OEO monitoring activities. Eligible entities identify community partnerships in their biennial Community Action plan, report on this activity in annual reporting, and review related activities with OEO staff during monitoring visits. If OEO identifies that eligible entities are not fully engaging in developing and maintaining local partnerships, including faith-based organizations, charitable groups, and other community organizations, appropriate steps would be taken to resolve the issue. Partnerships are also developed with educational institutions and school districts, financial and banking institutions, and health services institutions. Eligible entities describe how these partnerships help leverage limited resources to develop and implement targeted programs in their annual report.

9.7. Coordination of Eligible Entity 90 Percent Funds with Public/Private Resources:

Describe how the eligible entities will coordinate CSBG 90 percent funds with other public and private resources, according to the assurance under Section 676(b)(3)(C) of the CSBG Act.

Note: this response will link to the corresponding assurance, item 14.3c.

Assurances described above are carried out through Minnesota Law and Rule, DCYFs grant contract agreement, and OEO-DCYF monitoring activities. Eligible entities identify how CSBG is coordinated with other public and private resources in their biennial Community Action plan, report on this activity in annual reporting, and review related activities with OEO-DCYF staff during monitoring visits. OEO-DCYF assesses the number of additional funding sources eligible entities leverage, the dollar value of these funding sources, and the approaches to coordinating these sources to best serve local communities. OEO-DCYF also assesses eligible entities funding portfolios through their biennial Community Action plans as a part of risk assessment. OEO-DCYF sends notices of funding opportunities directly to eligible entities and works with the Minnesota Community Action Partnership (MinnCAP) to help publicize funding opportunities. OEO-DCYF and MinnCAP encourage grantees to attend conferences hosted by the Minnesota Council on Nonprofits, Minnesota Council on Foundations, National Community Action Partnership, and other organizations to learn about

funding opportunities and to develop partnerships with funders and potential project collaborators. OEO-DCYF assesses leveraged funds and collaborations by comparing year to year variances in reporting. Eligible Entities are resourceful and coordinate multiple funding streams to ensure programs exist and are robust enough to best serve their communities.

9.8. Coordination among Eligible Entities and State Community Action Association:

Describe state activities for supporting coordination among the eligible entities and the state community action association.

Note: This information will pre-populate the Annual Report, Module 1, Item G.5.

OEO-DCYF has cultivated excellent working relationships with a broad range of organizations addressing antipoverty issues, including its key partnership with the Minnesota Community Action Partnership (MinnCAP), the state association. OEO-DCYF supports coordination among eligible entities and MinnCAP by maintaining respectful relationships guided by OEO-DCYF's Guiding Principles. The goal of this coordination is to improve the quality and effectiveness of antipoverty services supported through CSBG, to address the cause and conditions of poverty, and to sustain and enhance the impact of Minnesota's Community Action network. OEO-DCYF invests CSBG discretionary funding in activities that support the coordination of eligible entities and MinnCAP, as well as the delivery of training and technical assistance to eligible entities through MinnCAP. OEO-DCYF supports and participates in network driven coordination and networking. OEO-DCYF grants management staff work with MinnCAP staff to coordinate the annual Community Action conference, including identifying training topics, recruiting relevant speakers, presenting at the conference, and supporting logistics. Eligible entities coordinate work groups to share best practices around human resources, fiscal, programming, and information technology. OEO-DCYF, eligible entities, and MinnCAP also work together to create publications including the annual Minnesota Community Action Report.

9.9. Communication with Eligible Entities and the State Community Action Association:

In the table below, detail how the state intends to communicate with eligible entities, the state community action association, and other partners identified under this State Plan on the topics listed below. For any topic that is not applicable, select "Not Applicable" under Expected Frequency.

Communication Plan			
Subject Matter	Expected Frequency	Format	Brief description of "Other"
Upcoming Public and/or Legislative Hearings	Biannual	Email	
State Plan Development	Quarterly	Meetings/Presentation	
Organizational Standards Progress	Annually	Meetings/Presentation	
State Accountability Measures Progress	Annually	Email	
Community Needs Assessments/ Community Action Plans	Biannual	Email	
State Monitoring Plans and Policies	Annually	Meetings/Presentation	
Training and Technical Assistance (T/TA) Plans	Not Applicable		
ROMA and Performance Management	Quarterly	Meetings/Presentation Blog	
State Interagency Coordination	Quarterly	Meetings/Presentation	
CSBG Legislative/Programmatic Updates	As needed	Email	
Tripartite Board Requirements	As needed	Meetings/Presentation	

9.10. Feedback to Eligible Entities and State Community Action Association:

Describe how the state will provide information to local entities and state community action associations regarding performance on state accountability measures.

Note: This information is associated with State Accountability Measure 5S(iii). and will pre-populate the Annual Report, Module 1, Item G.6

OEO-DCYF will provide written feedback on the State Accountability Measures to eligible entities and MinnCAP (state association) within 60 calendar days of Minnesota receiving feedback from OCS. OEO-DCYF will also present and discuss this information at mutually agreed upon in-person meeting with MinnCAP (state association) and directors of eligible entities.

9.11. Communication Plan Performance Management Adjustment:

Describe any adjustments the state made to the Communication Plan in this State Plan as compared to past plans. Any adjustment should be based on the state's analysis of past performance, and should consider feedback from eligible entities, OCS, and other sources, such as the public hearing. If the state is not making any adjustments, provide further detail.

Note: This information is associated with State Accountability Measures 7Sb; this response may pre-populate the state's annual report form.

OEO-DCYF evaluated communication plan activities based on feedback from eligible entities collected through the CSBG American Customer Satisfaction Index (ACSI) Survey, regular meetings with directors of eligible entities, on-site monitoring visits, and other interaction with staff of eligible entities. As a result of this feedback, OEO-DCYF will be increasing the frequency of written communication through email and handouts at eligible entity meetings. OEO-DCYF also plans to increase the availability of training through webinars and other on-demand tools.

Section 10: Monitoring, Corrective Action, and Fiscal Controls

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
Administration for Children and Families
Community Services Block Grant (CSBG)

Form Approved
OMB No: 0970-0382
Expires:08/31/2027

SECTION 10 Monitoring, Corrective Action, and Fiscal Controls

Monitoring, Corrective Action and Fiscal Controls (Section 678B(a) of the Act)

10.1. Specify the proposed schedule for planned monitoring visits - including full on-site reviews; on-site reviews of newly designated entities; follow-up reviews - including return visits to entities that failed to meet State goals, standards, and requirements; and other reviews as appropriate.

This is an estimated schedule to assist states in planning. States may indicate "no review" for entities the state does not plan to monitor in the performance period.

Note: This information is associated with State Accountability Measure 4Sa(i); this response pre-populates the Annual Report, Module 1, Table H.1.

	CSBG Eligible Entity	Monitoring Type	Review Type	Target Quarter	Start Date of Last Full Onsite Review	End Date of Last Full Onsite Review	Brief Description of "Other"
1	Anoka County Community Action Program, Inc.	Full On-site	Onsite Review	FY1 Q3	06/11/2025	06/11/2025	
2	Arrowhead Economic Opportunity Agency, Inc.	Full On-site	Onsite Review	FY1 Q3	06/27/2024	06/27/2024	
3	Bi-County Community Action Program, Inc.	Full On-site	Onsite Review	FY1 Q3	08/20/2025	08/20/2025	
4	Bois Forte Band of Chippewa	Full On-site	Onsite Review	FY1 Q3	07/19/2024	07/19/2024	
5	Community Action Duluth	Full On-site	Onsite Review	FY1 Q3	08/07/2025	08/07/2025	
6	Community Action Partnership of Hennepin County	Full On-site	Onsite Review	FY1 Q3	07/11/2025	07/11/2025	
7	Community Action Partnership of Ramsey and Washington Counties	Full On-site	Onsite Review	FY1 Q3	07/30/2025	07/30/2025	
8	Fond du Lac Band of Lake Superior Chippewa	Full On-site	Onsite Review	FY1 Q3	08/18/2025	08/18/2025	
9	Grand Portage Band of Lake Superior Chippewa	Full On-site	Onsite Review	FY1 Q3	06/25/2024	06/25/2024	
10	Inter-County Community Council	Full On-site	Onsite Review	FY1 Q3	07/23/2025	07/23/2025	
11	KOOTASCA Community Action	Full On-site	Onsite Review	FY1 Q3	08/19/2025	08/19/2025	
12	Lakes and Prairies Community Action Partnership	Full On-site	Onsite Review	FY1 Q3	07/30/2025	07/30/2025	
13	Lakes and Pines Community Action Council	Full On-site	Onsite Review	FY1 Q3	08/21/2025	08/21/2025	
14	Leech Lake Band of Ojibwe	Full On-site	Onsite Review	FY1 Q3	08/18/2025	08/18/2025	
15	Lower Sioux Indian Community	Full On-site	Onsite Review	FY1 Q3	07/17/2024	07/17/2024	
16	Mahube-Otwa Community Action Partnership	Full On-site	Onsite Review	FY1 Q3	07/02/2025	07/02/2025	
17	Mille Lacs Band of Ojibwe	Full On-site	Onsite Review	FY1 Q3	08/21/2025	08/21/2025	
18	Minnesota Valley Action Council	Full On-site	Onsite Review	FY1 Q3	08/18/2025	08/18/2025	
19	Northwest Community Action	Full On-site	Onsite Review	FY1 Q3	07/24/2025	07/24/2025	
20	Prairie Five Community Action Council	Full On-site	Onsite Review	FY1 Q3	07/16/2025	07/16/2025	
21	Red Lake Nation	Full On-site	Onsite Review	FY1 Q3	07/19/2024	07/19/2024	
22	Community Action Partnership of Scott, Carver, and Dakota Counties	Full On-site	Onsite Review	FY1 Q3	06/10/2025	06/10/2025	
23	Semcac	Full On-site	Onsite Review	FY1 Q3	06/13/2025	06/13/2025	
24	Southwestern Minnesota Opportunity Council	Full On-site	Onsite Review	FY1 Q3	07/22/2025	07/22/2025	
	Three Rivers Community Action	Full On-site	Onsite Review	FY1 Q3	08/11/2025	08/11/2025	

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26	Tri-County Action Program	Full On-site	Onsite Review	FY1 Q3	06/26/2025	06/26/2025	
27	Tri-County Community Action Partnership	Full On-site	Onsite Review	FY1 Q3	08/20/2025	08/20/2025	
28	Tri-Valley Opportunity Council	Full On-site	Onsite Review	FY1 Q3	07/24/2025	07/24/2025	
29	United Community Action Partnership	Full On-site	Onsite Review	FY1 Q3	07/15/2025	07/15/2025	
30	Upper Sioux Community	Full On-site	Onsite Review	FY1 Q3	11/20/2024	11/20/2024	
31	West Central Minnesota Communities Action	Full On-site	Onsite Review	FY1 Q3	07/15/2025	07/15/2025	
32	White Earth Nation	Full On-site	Onsite Review	FY1 Q3	07/01/2025	07/01/2025	
33	Wright County Community Action	Full On-site	Onsite Review	FY1 Q3	07/31/2025	07/31/2025	
34	Prairie Island Indian Community	Full On-site	Onsite Review	FY1 Q3	07/16/2025	07/16/2025	
	CSBG Eligible Entity	Monitoring Type	Review Type	Target Quarter	Start Date of Last Full Onsite Review	End Date of Last Full Onsite Review	Brief Description of "Other"
1	Anoka County Community Action Program, Inc.	Full On-site	Onsite Review	FY2 Q3	06/11/2025	06/11/2025	
2	Arrowhead Economic Opportunity Agency, Inc.	Full On-site	Onsite Review	FY2 Q3	06/27/2024	06/27/2024	
3	Bi-County Community Action Program, Inc.	Full On-site	Onsite Review	FY2 Q3	08/20/2025	08/20/2025	
4	Bois Forte Band of Chippewa	Full On-site	Onsite Review	FY2 Q3	07/19/2024	07/19/2024	
5	Community Action Duluth	Full On-site	Onsite Review	FY2 Q3	08/07/2025	08/07/2025	
6	Community Action Partnership of Hennepin County	Full On-site	Onsite Review	FY2 Q3	07/11/2025	07/11/2025	
7	Community Action Partnership of Ramsey and Washington Counties	Full On-site	Onsite Review	FY2 Q3	07/30/2025	07/30/2025	
8	Fond du Lac Band of Lake Superior Chippewa	Full On-site	Onsite Review	FY2 Q3	08/18/2025	08/18/2025	
9	Grand Portage Band of Lake Superior Chippewa	Full On-site	Onsite Review	FY2 Q3	06/25/2024	06/25/2024	
10	Inter-County Community Council	Full On-site	Onsite Review	FY2 Q3	07/23/2025	07/23/2025	
11	KOOTASCA Community Action	Full On-site	Onsite Review	FY2 Q3	08/19/2025	08/19/2025	
12	Lakes and Prairies Community Action Partnership	Full On-site	Onsite Review	FY2 Q3	07/30/2025	07/30/2025	
13	Lakes and Pines Community Action Council	Full On-site	Onsite Review	FY2 Q3	08/21/2025	08/21/2025	
14	Leech Lake Band of Ojibwe	Full On-site	Onsite Review	FY2 Q3	08/18/2025	08/18/2025	
15	Lower Sioux Indian Community	Full On-site	Onsite Review	FY2 Q3	07/17/2024	07/17/2024	
16	Mahube-Otwa Community Action Partnership	Full On-site	Onsite Review	FY2 Q3	07/02/2025	07/02/2025	
17	Mille Lacs Band of Ojibwe	Full On-site	Onsite Review	FY2 Q3	08/21/2025	08/21/2025	
18	Minnesota Valley Action Council	Full On-site	Onsite Review	FY2 Q3	08/18/2025	08/18/2025	
19	Northwest Community Action	Full On-site	Onsite Review	FY2 Q3	07/24/2025	07/24/2025	
20	Prairie Five Community Action Council	Full On-site	Onsite Review	FY2 Q3	07/16/2025	07/16/2025	
21	Red Lake Nation	Full On-site	Onsite Review	FY2 Q3	07/19/2024	07/19/2024	
22	Community Action Partnership of Scott, Carver, and Dakota Counties	Full On-site	Onsite Review	FY2 Q3	06/10/2025	06/10/2025	
23	Semcac	Full On-site	Onsite Review	FY2 Q3	06/13/2025	06/13/2025	
24	Southwestern Minnesota Opportunity Council	Full On-site	Onsite Review	FY2 Q3	07/22/2025	07/22/2025	
25	Three Rivers Community Action	Full On-site	Onsite Review	FY2 Q3	08/11/2025	08/11/2025	
26	Tri-County Action Program	Full On-site	Onsite Review	FY2 Q3	06/26/2025	06/26/2025	

27	Tri-County Community Action Partnership	Full On-site	Onsite Review	FY2 Q3	08/20/2025	08/20/2025	
28	Tri-Valley Opportunity Council	Full On-site	Onsite Review	FY2 Q3	07/24/2025	07/24/2025	
29	United Community Action Partnership	Full On-site	Onsite Review	FY2 Q3	07/15/2025	07/15/2025	
30	Upper Sioux Community	Full On-site	Onsite Review	FY2 Q3	11/20/2024	11/20/2024	
31	West Central Minnesota Communities Action	Full On-site	Onsite Review	FY2 Q3	07/15/2025	07/15/2025	
32	White Earth Nation	Full On-site	Onsite Review	FY2 Q3	07/01/2025	07/01/2025	
33	Wright County Community Action	Full On-site	Onsite Review	FY2 Q3	07/31/2025	07/31/2025	
34	Prairie Island Indian Community	Full On-site	Onsite Review	FY2 Q3	07/16/2025	07/16/2025	

10.2. Monitoring Policies:
Provide a copy of state monitoring policies and procedures by attaching and/or providing a hyperlink.

Minnesota Statutes 16B.97 subd. 4(a)(1) provides that the Commissioner of Administration shall create general grants management policies and procedures that are applicable to all executive agencies. It is the policy of the State of Minnesota to conduct at least one monitoring visit before final payment is made on all state grants over \$50,000 and to conduct at least annual monitoring visits on grants of over \$250,000. Minnesota Department of Administration, Office of Grants Management Operating Policy and Procedure: Issue Date: 12/18/08, Revised: 08/31/11, Revised: 12/02/16 Number: 08-10 Policy on Grant Monitoring: <https://mn.gov/admin/government/grants/policies-statutes-forms/>

10.3. Initial Monitoring Reports:
According to the state's procedures, by how many calendar days must the State disseminate initial monitoring reports to local entities?

Note: This item is associated with State Accountability Measure 4Sa(ii) and may pre-populate the state's annual report form.

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**Corrective Action, Termination and Reduction of Funding and Assurance Requirements
(Section 678C of the Act)**

10.4. Closing Findings:
Are state procedures for addressing eligible entity findings/deficiencies and documenting the closure of findings, included in the State monitoring protocols attached above? ☒ Yes ☐ No

10.4a. Closing Findings Procedures: If no describe state procedures for addressing eligible entity findings/deficiencies, and documenting the closure of findings.

10.5. Quality Improvement Plans (QIPs):
Provide the number of eligible entities currently on QIPs, if applicable.

Note: The QIP information is associated with State Accountability Measures 4Sc.

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10.6. Reporting of QIPs:
Describe the state's process for reporting eligible entities on QIPs to the Office of Community Services within 30 calendar days of the State approving a QIP

Note: This item is associated with State Accountability Measures 4Sa(iii).

OEO-DCYF follows OCS-HHS IM #116, and reports eligible entities on QIPs to the Office of Community Services within 30 calendar days of OEO-DCYF approving a QIP. This communication will be completed through email correspondence.

10.7. Assurance on Funding Reduction or Termination:
The state assures, "that any eligible entity that received CSBG funding the previous fiscal year will not have its funding terminated or reduced below the proportional share of funding the entity received in the previous fiscal year unless, after providing notice and an opportunity for a hearing on the record, the State determines that cause exists for such termination or such reduction, subject to review by the Secretary as provided in Section 678C(b)" per Section 676(b)(8). ☒ Yes ☐ No

Note: This response will link with the corresponding assurance under item 14.8.

Policies on Eligible Entity Designation, De-designation, and Re-designation

10.8. Eligible Entity Designation: Do the State CSBG statute and/or regulations provide for the designation of new eligible entities? ☒ Yes ☐ No

10.8a. New Designation Citation: If yes, provide the citation(s) of the law and/or regulation.

Minnesota Administrative Rules 9571.0030: <https://www.revisor.leg.state.mn.us/rules/?id=9571.0030>

10.8b. New Designation Procedures: If no, describe state procedures for the designation of new eligible entities and how the procedures were made available to eligible entities and the public

10.9. Eligible Entity Termination: Do State CSBG statute and/or regulations provide for termination of eligible entities ☒ Yes ☐ No

10.9a. Termination Citation: If yes, provide the citation(s) of the law and/or regulation.

Minnesota Administrative Rules 9571.0060: <https://www.revisor.leg.state.mn.us/rules/?id=9571.0060>

10.9b. Termination Procedures: If no, describe state procedures for termination of new eligible entities and how the procedures were made

available to eligible entities and the public
10.10. Does the State CSBG statute and/or regulations specify a process the State CSBG agency must follow to re-designate an existing eligible entity? <input checked="" type="radio"/> Yes <input type="radio"/> No
10.10a. If Yes, provide the citation(s) of the law and/or regulation. Minnesota Administrative Rules 9571.0030: https://www.revisor.leg.state.mn.us/rules/?id=9571.0030
10.10b. If No, describe State procedures for re-designation of existing eligible entities.
Fiscal Controls and Audits and Cooperation Assurance
10.11. Fiscal Controls and Accounting: Describe how the state's fiscal controls and accounting procedures will a) permit preparation of the SF-425 Federal fiscal reports (FFR) and b) permit the tracing of expenditures adequate to ensure funds have been used appropriately under the block grant, as required by Block Grant regulations applicable to CSBG at 45 CFR 96.30(a).
The SF-425 is submitted by the Department of Children, Youth and Families Financial Operation's Division (FOD). Their internal processes ensure timely and accurate reporting of expenditures. Ongoing meetings between OEO-DCYF and FOD occur to ensure appropriate use of funds and communication between areas.
10.12. Single Audit Management Decisions: Describe state procedures for issuing management decisions for eligible entity single audits, as required by Block Grant regulations applicable to CSBG at 45 CFR 75.521.
Note: This information is associated with State Accountability Measure 4Sd.
OEO-DCYF requires eligible entities to submit single audit reports within nine months of the completion of the report. This requirement is documented in the grant contract agreement with eligible entities. Given the range of grantee fiscal years and audit firm timelines these reports are submitted on an ongoing basis to OEO-DCYF. OEO-DCYF reviews audits as they are received and communicates any risk factors or findings internally among fiscal and grants management staff. Quarterly, OEO-DCYF will issue notification to grantees that the audit has been received and, if required, will request relevant follow up if the audit identified any risk factors or had findings.
10.13. Assurance on Federal Investigations: The state will "permit and cooperate with Federal investigations undertaken in accordance with Section 678D" of the CSBG Act, as required by the assurance under Section 676(b)(7) of the CSBG Act. <input checked="" type="radio"/> Yes <input type="radio"/> No
Note: This response will link with the corresponding assurance, Item 14.7.
10.13a. Federal Investigations Policies: Are state procedures for permitting and cooperating with federal investigations included in the state monitoring policies attached under 10.2? <input checked="" type="radio"/> Yes <input type="radio"/> No
10.13b. Closing Findings Procedures: If no, describe state procedures for permitting and cooperating with federal investigations.
10.14. Monitoring Procedures Performance Management Adjustment: Describe any adjustments the state made to monitoring procedures in this State Plan as compared to past plans? Any adjustment should be based on the state's analysis of past performance, and should consider feedback from eligible entities, OCS, and other sources, such as the public hearing. If the state is not making any adjustments, provide further detail.
Note: This item is associated with State Accountability Measure 4Sb and may pre-populate the state's annual report form.
OEO-DCYF evaluated monitoring procedures based on feedback from eligible entities collected through the CSBG American Customer Satisfaction Index (ACSI) Survey, regular meetings with directors of eligible entities, on-site monitoring visits, and other interaction with staff of eligible entities. As a result of this feedback, OEO-DCYF plans to further analyze its monitoring procedures and to identify areas for improvement, including monitoring tools, policies, and protocols. The next analysis will be completed FYs 2024-2025. OEO-DCYF team of grant managers met to review the Standard Operating Procedure manual, and monitoring activities. Portfolio assignments were made based on newly hired staff. The team determined that OEO-DCYFs monitoring practices remain optimal and promote open communication between eligible entities and State staff, while also using a rigorous and detail-oriented approach to financial reconciliation and program related assessments.

Section 11: Eligible Entity Tripartite Board

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Community Services Block Grant (CSBG)

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SECTION 11 Eligible Entity Tripartite Board

11.1. Tripartite Board Verification: Verify which of the following measures are taken to ensure that the state verifies CSBG Eligible Entities are meeting Tripartite Board requirements under Section 676B(a)(2) of the CSBG Act[*Check all that applies and narrative where applicable*]

- ☒ Attend Board meetings
- ☒ Organizational Standards Assessment
- ☒ Monitoring
- ☒ Review copies of Board meeting minutes
- ☒ Track Board vacancies/composition
- ☒ Other Collect Board Roster at time of Contracting

11.2. Tripartite Board Updates: Provide how often the state require eligible entities (which are not on TAPs or QIPs) to provide updates regarding their Tripartite Boards. This includes but is not limited to copies of meeting minutes, vacancy alerts, changes to bylaws, low-income member selection process, etc., [*Select one and narrative where applicable*]

- ☐ Annually
- ☐ Semiannually
- ☐ Quarterly
- ☐ Monthly
- ☐ As it Occurs

☒ Other We get this information during their Community Action Plan, during the Org Standards, when we monitoring as well as when we attend board meetings to provide training or just as observers. Eligible entities are required to send updated Board rosters to the state as vacancies occur.

11.3. Tripartite Board Representation Assurance: Describe how the state will verify that eligible entities have policies and procedures by which individuals or organizations can petition for adequate representation on an eligible entity's Tripartite Board as required by the assurance under Section 676(b)(10) of the CSBG Act

Note: This response will link with the corresponding assurance, item 14.10.

For eligible entities who are Community Action Agencies, the composition of a Community Action Agency board is defined in Minnesota State Law. This legislation is consistent with the board requirements set out in the federal CSBG Act. The board of a Community Action Agency is comprised of one-third elected public officials currently holding office or their representatives; one-third persons chosen in accordance with democratic selection procedures assuring that they represent the poor in the area served; and the remainder are officials or members of business, industry, labor, religious, welfare, education or other major groups and interests in the community. OEO-DCYF policies and procedures to ensure this requirement, include the state law, the process for approving local entities work plans and budgets, and the monitoring activity conducted by OEO-DCYF. OEO-DCYF supports ongoing communication and training of tripartite boards. OEO-DCYF partners with the Minnesota Community Action Partnership (state association) to provide board training at the annual Minnesota Community Action conference.

11.4. Tripartite Board Alternative Representation: Does the state permit public eligible entities to use, as an alternative to a Tripartite Board, "another mechanism specified by the state to assure decision-making and participating by low income individuals in the development, planning, implementation, and evaluation of programs" as allowed under Section 676B(b)(2) of the CSBG Act. ☒ Yes ☐ No

11.4a. Tripartite Board Alternative Mechanism: If yes, describe the mechanism used by public eligible entities as an alternative to a Tripartite Board.

Minnesotas 10 Tribal Government eligible entities are governed by their tribal councils and determine their specific processes to ensure participation of low income individuals in Community Action program development, planning, implementation and evaluation.

Section 12: Individual and Community Eligibility Requirements

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SECTION 12 Individual and Community Income Eligibility Requirements

12.1. Required Income Eligibility:

Provide the income eligibility threshold for services in the state.

[Check one item below.]

☐ 125% of the HHS poverty line ☒ X% of the HHS poverty line (fill in the threshold) ☐ Varies by eligible entity

200% % *[Response Option: numeric field]*

12.1a. Income Eligibility Policy and Procedures: Describe any state policy and/or procedures for income eligibility, such as treatment of income and family/household composition.

Individuals and households receiving direct benefits paid with CSBG or MCAG funds must have incomes at or below 125% of the federal poverty level. This level is the base and on occasion, through Congressional action, the income limit is raised for direct benefit recipients. Watch for notification from DHS-OEO for these changes. Federal Poverty Guidelines are issued annually by the Department of Health and Human Services. Grantees are required to conduct eligibility screenings as part of their client intake process. Grantees must have written policies and procedures. Grantees may determine the types of documentation they require for income verification. REFERENCE CSBG ACT, Section 673(2), Federal Poverty Guidelines, OCS Information Memo 30 (9/30/1998) -- This information is found in Section 4.1 in the state's CSBG Policy & Procedures Manual, distributed to all grantees.

12.2. Income Eligibility for General/Short-Term Services:

Describe how the state ensures eligible entities generally verify income eligibility for those services with limited in-take procedures (where individual income verification is not possible or practical). An example of these services is emergency food assistance.

Eligible entities verify income eligibility for services with limited intake procedures by using a combination of approaches. Where appropriate, eligible entities use proxy measures. For example, for food distribution programs where it would be inappropriate to collect pay stubs, income tax history, etc. participants review a checklist of programs they might already be eligible for and/or participating in that have similar income guidelines. If a participant checks that they are receiving SNAP benefits or Social Security Disability, for example, they are likely also eligible to receive emergency food. Eligible entities are using their client management databases more extensively, and frequently eligible entities are able to follow up with clients who use multiple programs at different points in time to verify income. The current CR states the income eligibility is at 200% .

12.3. Community-targeted Services: Describe how the state ensures eligible entities' services target and benefit low-income communities for services that provide a community-wide benefit (e.g., development of community assets/facilities, building partnerships with other organizations).

Eligible entities conduct the community development area of their work in responses to needs identified by low-income participants and coalitions serving low-income participants in ongoing community needs assessments. Resulting programs include, but are not limited to senior services, housing, transit, health care, employment and economic development, and all programs benefit and target low-income communities. The policies and procedures of these resources and programs are written to target and enroll eligible households. Outreach and publicity are conducted with specific intention to connect low-income communities. Program organizers connect with organizations, staff, and community leaders who can facilitate this targeting. Program promotional materials identify that the resource is targeted to low-income participants.

Section 13: Results Oriented Management and Accountability (ROMA) System

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES Administration for Children and Families Community Services Block Grant (CSBG)	Form Approved OMB No: 0970-0382 Expires:08/31/2027
SECTION 13 Results Oriented Management and Accountability (ROMA) System	
13.1. Performance Measurement System: Identify the performance measurement system that the state and all eligible entities use, as required by Section 678E(a) of the CSBG Act and the assurance under Section 676(b)(12) of the CSBG Act.	
<i>Note: This response will also link to the corresponding assurance, Item 14.12. and will pre-populate the Annual Report, Module I, Item I.1.</i>	
<input checked="" type="radio"/> The Results Oriented Management and Accountability (ROMA) System	
<input type="radio"/> Another performance management system that meets the requirements by Section 678E(b) of the CSBG Act	
<input type="radio"/> An alternative system for measuring performance and results	
13.1a. ROMA Description: If ROMA was chosen in Item 13.1, describe the state's written policies, procedures, or guidance documents on ROMA.	
The biennial Minnesota Community Action Plan is structured around the ROMA cycle. Eligible entities present their plan for the upcoming two-year funding period around each of the six core concepts of the ROMA cycle: Assessment, Planning, Implementation, Achievement of Results, and Evaluation. During onsite monitoring visits, OEO-DCYF reviews implementation of the ROMA cycle. OEO-DCYF monitoring interview tools address the assessment, planning and implementation steps of the cycle. OEO-DCYF tests the grantee reporting on achievement of results by sampling annual reporting. Staff of eligible entities demonstrate the steps taken to track program enrollment and outcome achievement during monitoring visits.	
13.1b. Alternative System Description: If an alternative system was chosen in Item 13.1, describe the system the state will use for performance measurement.	
13.2. Outcome Measures: Indicate and describe the outcome measures the state will use to measure eligible entity performance in promoting self-sufficiency, family stability, and community revitalization, as required under Section 676(b)(12) of the CSBG Act.	
<i>Note: This response will also link to the corresponding assurance, Item 14.12.</i>	
<input checked="" type="checkbox"/> CSBG National Performance Indicators (NPIs)	
<input type="checkbox"/> NPIs and others	
<input type="checkbox"/> Others	
OEO-DCYF uses the standardized National Performance Indicators as found in the recognized Annual Report.	
13.3. Eligible Entity Support: Describe how the state supports the eligible entities in using the ROMA or alternative performance measurement system.	
<i>Note: The activities described under Item 13.3 may include activities... listed in "Section 8: State Training and Technical Assistance." If so, mention briefly, and/or cross-reference as needed. This response will also link to the corresponding assurance, item 14.12.</i>	
OEO-DCYF has 2 Nationally Certified ROMA Trainers on the Community Action grant manager team and provides ROMA training onsite to agency staff and Boards of Directors to increase grantee understanding of ROMA and to communicate OEO-DCYF's expectations of how grantees will engage in the ROMA cycle and address the three national goals. OEO-DCYF and MN ROMA Trainers provide ROMA training at annual conferences and provides ongoing individualized training and technical assistance to eligible entities with staff turnover or to those needing to improve their use of the ROMA system. In preparation for submission of the Community Action Plan, OEO-DCYF offers training on completing the plan (which is structured around ROMA) and, as a part of this training, presents an overview of how agencies can implement ROMA principles.	
13.4. Eligible Entity Use of Data: Describe how is the state plan to validate the eligible entities that are using data to improve service delivery?	
<i>Note: This response will also link to the corresponding assurance, Item 14.12.</i>	
OEO-DCYF reviews how eligible entities are using data to improve service delivery through three main approaches. 1. Community Action Plan: Relevant questions from the Community Action Plan include the following: What systems does the agency use to track the achievement results of services/programs? Briefly describe the agency's annual evaluation and/or self-assessment process and how it includes staff, the Board, and program participants. How is this process and information about results used to improve, change, and/or enhance the agency service delivery and/or effectiveness? OEO-DCYF reviews the plans and conducts any necessary follow up with eligible entities to clarify or expand on the stated approach, asking for examples where appropriate. OEO-DCYF also reviews Community Needs Assessments and Strategic Plans. The documents identify how data has been collected and used through the community needs assessment and includes information about the levels of service provided and outcomes achieved to drive and define future service delivery. 2. Monitoring: As a part of the monitoring protocols OEO-DCYF samples agency data collection processes comparing reported services provided and outcomes achieved to values generated onsite. During this sampling, OEO-DCYF also requests examples of how the eligible entity used this data to modify services and how any decisions to modify services were made. During onsite monitoring visits, OEO-DCYF staff interview the agency leadership team, executive director, and if possible, members of the board of directors. The monitoring tool includes questions about how they use data to improve service delivery. If there is an opportunity for increased activity in this area, OEO-DCYF will note that in the monitoring report and provide technical assistance to aid improvement. 3. Annual Report: Eligible entities report on the number of participants served and outcomes achieved. Eligible entities are required to provide explanations about significant variations from year to year, and from their projected outcome levels established in their Community Action Plan. OEO-DCYF reviews these descriptions and determines if eligible entities are consistently learning from their targeting process and using previous years data to plan for upcoming years programming. If necessary, OEO-DCYF provides training and technical assistance to grantees who experience challenges in targeting their data and reflecting critically on services provided.	
Community Action Plans and Needs Assessments	

13.5. Community Action Plan: Describe how the state will secure a Community Action Plan from each eligible entity, as a condition of receipt of CSBG funding by each entity, as required by Section 676(b)(11) of the CSBG Act.

Note: this response will link to the corresponding assurance, Item 14.11.

Each eligible entity is required to submit a Community Action plan as a condition of funding. This work plan includes a copy of the agency's community assessment, the first step of the ROMA cycle, including a description of the process; the identification of prioritized needs as determined by that assessment process; a description of the service delivery system targeted to low-income individuals and families within the area; and a coordination/linkages plan describing established working relationships with area service providers. Local agencies use a variety of methods for conducting needs community assessments, using demographic and service data from education, health, senior citizen services, social services, nutrition, housing, energy and transportation sources for geographic service areas. Many incorporate clients input. Some eligible entities work together to conduct regional assessments. There is no standardized methodology used by eligible entities, but many grantees have taken advantage of the community assessment tools including Community Commons and resources available through the CSBG T/TA Clearinghouse. Gaps in the delivery of services are identified within the coordination plan and mechanisms are identified for developing appropriate linkages among area providers through information, referral, case management and follow up consultation. Eligible entities provide a description of how CSBG funding is coordinated with both public and private resources to address needs within each service area. As part of their plan, each eligible entity will also describe the unique local outcomes they will use to measure their success in promoting self-sufficiency, family stability and community revitalization using the Minnesota ROMA National Performance Indicator outcome-based work plan.

13.6. Community Needs Assessment:

Describe how the State will assure that each eligible entity includes a community needs assessment for the community served (which may be coordinated with community needs assessments conducted by other programs) in each entity's Community Action Plan, as required by Section 676(b)(11) of the CSBG Act.

Note: this response will link to the corresponding assurance, Item 14.11.

Eligible entities describe their community needs assessment process and include a copy in their CSBG Organizational Standards documentation. Eligible entities include a description of the process; the identification of prioritized needs as determined by that assessment process; a description of the service delivery system targeted to low-income individuals and families within the area; and, a coordination/linkages plan describing established working relationships with area service providers. Local agencies use a variety of methods for conducting needs community assessments, using demographic and service data from education, health, senior citizen services, social services, nutrition, housing, energy and transportation sources for geographic service areas. Many incorporate clients input. Some eligible entities work together to conduct regional assessments. There is no standardized methodology used by eligible entities, but many have taken advantage of the community assessment tools including Community Commons and resources available through the CSBG Training and Technical Assistance Clearinghouse and U.S. Census.

Section 14: CSBG Programmatic Assurances and Information Narrative

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
Administration for Children and Families
Community Services Block Grant (CSBG)

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SECTION 14 CSBG Programmatic Assurance and Information Narrative (Section 676(b) of the CSBG Act)

14.1 Use of Funds Supporting Local Activities

CSBG Services

14.1a. 676(b)(1)(A): Describe how the state will assure "that funds made available through grant or allotment will be used -

(A) to support activities that are designed to assist low-income families and individuals, including families and individuals receiving assistance under title IV of the Social Security Act, homeless families and individuals, migrant or seasonal farm workers, and elderly low-income individuals and families, and a description of how such activities will enable the families and individuals--

- (i) to remove obstacles and solve problems that block the achievement of self sufficiency (particularly for families and individuals who are attempting to transition off a State program carried out under part A of title IV of the Social Security Act);
- (ii) to secure and retain meaningful employment;
- (iii) to attain an adequate education with particular attention toward improving literacy skills of the low-income families in the community, which may include family literacy initiatives;
- (iv) to make better use of available income;
- (v) to obtain and maintain adequate housing and a suitable living environment;
- (vi) to obtain emergency assistance through loans, grants, or other means to meet immediate and urgent individual and family needs;
- (vii) to achieve greater participation in the affairs of the communities involved, including the development of public and private grassroots partnerships with local law enforcement agencies, local housing authorities, private foundations, and other public and private partners to -
 - (I) document best practices based on successful grassroots intervention in urban areas, to develop methodologies for widespread replication; and
 - (II) strengthen and improve relationships with local law enforcement agencies, which may include participation in activities such as neighborhood or community policing efforts;

OEO-DCYF carries out the following assurances through contracts with local eligible entities, Community Action Plan development and review, annual audit requirements, monitoring, and annual reporting activities. Across the state, eligible entities operate a variety of programs, services and activities that address the CSBG objectives within the context of locally identified community needs determined through community needs assessment and strategic planning processes.

Needs of Youth

14.1b. 676(b)(1)(B) Describe how the state will assure "that funds made available through grant or allotment will be used -

(B) to address the needs of youth in low-income communities through youth development programs that support the primary role of the family, give priority to the prevention of youth problems and crime, and promote increased community coordination and collaboration in meeting the needs of youth, and support development and expansion of innovative community-based youth development programs that have demonstrated success in preventing or reducing youth crime, such as--

- (i) programs for the establishment of violence-free zones that would involve youth development and intervention models (such as models involving youth mediation, youth mentoring, life skills training, job creation, and entrepreneurship programs); and
- (ii) after-school child care programs;

Assurances described above are carried out through Minnesota Law and Rule, DCYFs grant contract agreement, and OEO monitoring activities. Eligible entities identify related services in their biennial Community Action Plan, report on this activity in annual reporting, and review related activities with OEO staff during monitoring visits. Minnesota eligible entities recognize the importance of addressing the needs of youth if the cycle of poverty is to be broken. Statewide, a variety of services are provided ranging from prevention activities to employment and education opportunities to crisis services. Throughout the state, eligible entities have various programs that provide work and training to teens. Eligible entities are also involved in expanded efforts to serve homeless youth, and to provide quality youth development opportunities.

Coordination of Other Programs

14.1c. 676(b)(1)(C) Describe how the state will assure "that funds made available through grant or allotment will be used -

(C) to make more effective use of, and to coordinate with, other programs related to the purposes of this subtitle (including state welfare reform efforts)

Assurances described above are carried out through Minnesota Law and Rule, DCYFs grant contract agreement, and OEO monitoring activities. Eligible entities identify local linkages and partnerships in their biennial Community Action Plan, report on this activity in annual reporting, and review related activities with OEO staff during monitoring visits. Eligible entities collaborate with varied local partners. By developing partnerships and sharing resources, eligible entities realize both cost and time savings in providing services. Participants benefit as the traditional barriers between agencies

dissolve and everyone works toward a common goal. This is especially critical when working with people facing multiple challenges and needs, as is often the case with people experiencing poverty. Eligible entities track a wide range of local collaborative efforts numbering in the thousands across the state. These efforts are captured in the Community Action Plan submitted by each eligible entity. Minnesota's CSBG State Office is part of the Minnesota Department of Children, Youth & Families, the administering agency for TANF, SNAP and other safety net and economic opportunity programs. OEO-DCYF partners and coordinates whenever possible in an effort to improve the impact of CSBG.

State Use of Discretionary Funds

14.2 676(b)(2) Describe "how the state intends to use discretionary funds made available from the remainder of the grant or allotment described in section 675C(b) in accordance with this subtitle, including a description of how the state will support innovative community and neighborhood-based initiatives related to the purposes of this subtitle."

Note: The State describes this assurance under "State Use of Funds: Remainder/Discretionary," items 7.9 and 7.10

Eligible Entity Service Delivery, Coordination, and Innovation

14.3. 676(b)(3) "Based on information provided by eligible entities in the state, a description of..."

14.3a. 676(b)(3)(A) Describe "the service delivery system, for services provided or coordinated with funds made available through grants made under 675C(a), targeted to low-income individuals and families in communities within the state;"

Assurances described above are carried out through Minnesota Law and Rule, DCYF's grant contract agreement, and OEO monitoring activities. Eligible entities identify service delivery, coordination and innovation in their biennial Community Action Plan, report on this activity in annual reporting, and review related activities with OEO staff during monitoring visits. OEO partners with 34 eligible entities (24 Community Action Agencies and 10 Tribal Governments) to deliver services as described in the CSBG Act to low-income Minnesotans. The eligible entities across the state define their own service delivery systems as best fits the varied needs of their local communities. All of Minnesota's eligible entities are united in that each has developed a family of programs that reflects and meets the needs of their community. Service delivery systems generally include at least one program that addresses the needs of low-income households and communities in each of the three national goal areas.

Eligible Entity Linkages - Approach to Filling Service Gaps

14.3b. 676(b)(3)(B) Describe "how linkages will be developed to fill identified gaps in the services, through the provision of information, referrals, case management, and followup consultations."

Note: The state describes this assurance in the state linkages and communication section, item 9.3b.

Assurances described above are carried out through Minnesota Law and Rule, DCYF's grant contract agreement, and OEO monitoring activities. Through formal Community Needs Assessments and ongoing engagement with community partners and other stakeholders, eligible entities identify gaps in the services they provide and develop solutions to address these gaps. Eligible entities convene and participate in a wide range of local and regional partnerships with other nonprofit, human services, education, health, and faith-based organizations. Examples of these partnerships include: County level social services collaboratives, where case managers across agencies collaborate to better serve shared families, referrals for households to receive services the eligible entity does not provide, and one-stop services, where multiple service providers collaborate to provide services to households at one convenient location. These linkages are also developing among Community Action Agencies and Tribal grantees across the state to fill service gaps. Staff at all levels of eligible entities participate in linkage development, including executive directors and program managers who may participate in community-wide coalitions, and front line staff, who develop and sustain linkages for families on a daily basis through case management and other direct service activities. Eligible entities increasingly use technology to identify gaps in services, to make referrals, track services, and to better serve participants. Eligible entities use a range of database systems to manage data internally and to make connections with peer agencies to track customer participation in services provided by other agencies.

Coordination of Eligible Entity Allocation 90 Percent Funds with Public/Private Resources

14.3c. 676(b)(3)(C) Describe how funds made available through grants made under 675C(a) will be coordinated with other public and private resources."

Note: The state describes this assurance in the state linkages and communication section, item 9.7.

Assurances described above are carried out through Minnesota Law and Rule, DCYF's grant contract agreement, and OEO-DCYF monitoring activities. Eligible entities identify how CSBG is coordinated with other public and private resources in their biennial Community Action plan, report on this activity in annual reporting, and review related activities with OEO-DCYF staff during monitoring visits. OEO-DCYF assesses the number of additional funding sources eligible entities leverage, the dollar value of these funding sources, and the approaches to coordinating these sources to best serve local communities. OEO-DCYF also assesses eligible entities' funding portfolios through their biennial Community Action plans as a part of risk assessment. OEO-DCYF sends notices of funding opportunities directly to eligible entities and works with the Minnesota Community Action Partnership (MinnCAP) to help publicize funding opportunities. OEO-DCYF and MinnCAP encourage grantees to attend conferences hosted by the Minnesota Council on Nonprofits, Minnesota Council on Foundations, National Community Action Partnership, and other organizations to learn about funding opportunities and to develop partnerships with funders and potential project collaborators. OEO-DCYF assesses leveraged funds and collaborations by comparing year to year variances in reporting. Eligible Entities are resourceful and coordinate multiple funding streams to ensure programs exist and are robust enough to best serve their communities.

Eligible Entity Innovative Community and Neighborhood Initiatives, Including Fatherhood/Parental Responsibility

14.3d. 676(b)(3)(D) Describe "how the local entity will use the funds [made available under Section 675C(a)] to support innovative community and neighborhood-based initiatives related to the purposes of this subtitle, which may include fatherhood initiatives and other initiatives with the goal of strengthening families and encouraging parenting."

Note: The description above is about eligible entity use of 90 percent funds to support these initiatives. States may also support these types of activities at the local level using State remainder/discretionary funds, allowable under Section 675C(b)(1)(F). In this State Plan, the State indicates funds allocated for these activities under item 7.9(f).

Eligible entities are allowed to apply for discretionary funds through the State, for various CSBG-eligible activities, depending on pertinent needs that

arise due to crisis, emergencies and unexpected financial needs. DCYF encourages projects/programs with a whole family approach. Eligible entities operate fatherhood, parenting, and family development programming in response to the needs of their communities. If an eligible entity does not operate programming in this area, OEO encourages eligible entities to partner with other agencies that do operate such programming. OEO reviews the type of programming each grantee operates during the submission of the Community Action Plan, and in reviewing the Annual Report. Additionally, in the Community Action Plan eligible entities must describe how they inform and refer custodial parents in single parent families to child support services. Furthermore, most eligible entities provide Early Head Start and/or Head Start services and provide parenting and fatherhood initiatives through these programs.

Eligible Entity Emergency Food and Nutrition Services

14.4. 676(b)(4) Describe how the state will assure "that eligible entities in the state will provide, on an emergency basis, for the provision of such supplies and services, nutritious foods, and related services, as may be necessary to counteract conditions of starvation and malnutrition among low-income individuals."

Assurances described above are carried out through Minnesota Law and Rule, DCYF's grant contract agreement, and OEO monitoring activities. Eligible entities identify related initiatives in their biennial Community Action Plan, report on this activity in annual reporting, and review related activities with OEO staff during monitoring visits. Minnesota eligible entities operate food shelves directly or partner and make referrals to community hunger partners. OEO reviews eligible entity participation in local hunger relief and prevention efforts when reviewing the Community Action Plan, at on-site monitoring visits and through outcomes reported in the annual report. Individual eligible entities operate nutrition related programs including: Emergency food shelves, on-site meal programs, meal delivery programs (such as Meals on Wheels), SNAP Outreach, and community gardens among other initiatives. OEO-DCYF administers state appropriated funds to The Food Group for distribution to food shelves throughout the state. The Emergency Food Assistance Program (TEFAP) distributes U.S. Department of Agriculture (USDA) donated food commodities to individuals and families who use on-site meal programs, food shelves and shelters. OEO administers an USDA SNAP-Education grant for nutrition education for SNAP-eligible clients. Programming takes place where SNAP participants and SNAP-eligible persons gather, including Community Action Agencies, schools, county human service offices, senior dining sites, food shelves, and public housing. SNAP-Ed facilitates policy, systems, and environmental changes to create lasting impact for low-income communities.

State and Eligible Entity Coordination/linkages and Workforce Innovation and Opportunity Act Employment and Training Activities

14.5. 676(b)(5) Describe how the state will assure "that the state and eligible entities in the state will coordinate, and establish linkages between, governmental and other social services programs to assure the effective delivery of such services, and [describe] how the State and the eligible entities will coordinate the provision of employment and training activities, as defined in section 3 of the Workforce Innovation and Opportunity Act, in the state and in communities with entities providing activities through statewide and local workforce development systems under such Act."

Note: The state describes this assurance in the state linkages and communication section, items 9.1, 9.2, 9.3a, 9.4, 9.4a, and 9.4b.

State Coordination/Linkages and Low-income Home Energy Assistance

14.6. 676(b)(6) Provide "an assurance that the state will ensure coordination between antipoverty programs in each community in the state, and ensure, where appropriate, that emergency energy crisis intervention programs under title XXVI (relating to low income home energy assistance) are conducted in such community."

Note: The state describes this assurance in the state linkages and communication section, items 9.2 and 9.5.

Federal Investigations

14.7. 676(b)(7) Provide "an assurance that the state will permit and cooperate with Federal investigations undertaken in accordance with section 678D." Yes

Note: The state addresses this assurance in the Fiscal Controls and Monitoring section, item 10.13.

Funding Reduction or Termination

14.8. 676(b)(8) Provide "an assurance that any eligible entity in the state that received funding in the previous fiscal year through a community services block grant made under this subtitle will not have its funding terminated under this subtitle, or reduced below the proportional share of funding the entity received in the previous fiscal year unless, after providing notice and an opportunity for a hearing on the record, the state determines that cause exists for such termination or such reduction, subject to review by the Secretary as provided in section 678C(b)." Yes

Note: The state addresses this assurance in the Fiscal Controls and Monitoring section, item 10.7.

Coordination with Faith-based Organizations, Charitable Groups, Community Organizations

14.9. 676(b)(9) Describe how the state will assure "that the state and eligible entities in the state will, to the maximum extent possible, coordinate programs with and form partnerships with other organizations serving low-income residents of the communities and members of the groups served by the state, including religious organizations, charitable groups, and community organizations."

Note: The state describes this assurance in the state Linkages and Communication section, item 9.6.

Eligible Entity Tripartite Board Representation

14.10. 676(b)(10) Describe how "the state will require each eligible entity in the state to establish procedures under which a low-income individual, community organization, or religious organization, or representative of low-income individuals that considers its organization, or low-income individuals, to be inadequately represented on the board (or other mechanism) of the eligible entity to petition for adequate representation."

Note: The state describes this assurance in the Eligible Entity Tripartite Board section, 11.3.

For eligible entities who are Community Action Agencies, the composition of a Community Action Agency board is defined in Minnesota State Law. This legislation is consistent with the board requirements set out in the federal CSBG Act. The board of a Community Action Agency is comprised of one-third elected public officials currently holding office or their representatives; one-third persons chosen in accordance with democratic selection procedures assuring that they represent the poor in the area served; and the remainder are officials or members of business, industry, labor, religious, welfare, education or other major groups and interests in the community. OEO-DCYF policies and procedures to ensure this requirement, include the state law, the process for approving local entities work plans and budgets, and the monitoring activity conducted by OEO-DCYF. OEO-DCYF supports ongoing communication and training of tripartite boards. OEO-DCYF partners with the Minnesota Community Action Partnership (state association) to provide board training at the annual Minnesota Community Action conference.

Eligible Entity Community Action Plans and Community Needs Assessments

14.11. 676(b)(11) Provide "an assurance that the state will secure from each eligible entity in the services block grant made under this subtitle for a program, a community action plan (which shall be submitted to the Secretary, at the request of the Secretary, with the State plan) that includes a community-needs assessment for the community served, which may be coordinated with community-needs assessments conducted for other programs."

Note: The state describes this assurance in the ROMA section, items 13.5 and 13.6.

State and Eligible Entity Performance Measurement: ROMA or Alternate system

14.12. 676(b)(12) Provide "an assurance that the state and all eligible entities in the State will, not later than fiscal year 2001, participate in the Results Oriented Management and Accountability System, another performance measure system for which the Secretary facilitated development pursuant to 678E(b), or an alternative system for measuring performance and results that meets the requirements of that section, and [describe] outcome measures to be used to measure eligible entity performance in promoting self-sufficiency, family stability, and community revitalization."

Note: The state describes this assurance in the ROMA section, items 13.1, 13.2, 13.3, and 13.4.

Validation for CSBG Eligible Entity Programmatic Narrative Sections

14.13. 676(b)(13) Provide "information describing how the state will carry out the assurances described in this section."

Note: The state provides information for each of the assurances directly in section 14 or in corresponding items throughout the State Plan, which are included as hyperlinks in section 14.



By checking this box, the state CSBG authorized official is certifying the assurances set out above.

Section 15: Federal Certifications

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
Administration for Children and Families
Community Services Block Grant (CSBG)

Form Approved
OMB No:0970-0382
Expires:08/31/2027

SECTION 15 Federal Certifications

15.1. CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The box after each certification must be checked by the state CSBG authorized official.

15.1. Lobbying

After assurance select a check box:



By checking this box, the state CSBG authorized official is providing the certification set out above.

15.2. CERTIFICATION REGARDING DRUG-FREE WORKPLACE REQUIREMENTS

This certification is required by the regulations implementing the Drug-Free Workplace Act of 1988: 45 CFR Part 76, Subpart, F. Sections 76.630(c) and (d)(2) and 76.645 (a)(1) and (b) provide that a Federal agency may designate a central receipt point for STATE-WIDE AND STATE AGENCY-WIDE certifications, and for notification of criminal drug convictions. For the Department of Health and Human Services, the central point is: Division of Grants Management and Oversight, Office of Management and Acquisition, Department of Health and Human Services, Room 517-D, 200 Independence Avenue, SW Washington, DC 20201.

Certification Regarding Drug-Free Workplace Requirements (Instructions for Certification)

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification set out below.
2. The certification set out below is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, the agency, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. For grantees other than individuals, Alternate I applies.
4. For grantees who are individuals, Alternate II applies.
5. Workplaces under grants, for grantees other than individuals, need to be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
6. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or state highway department while in operation, state employees in each local unemployment office, performers in concert halls or radio studios).
7. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph five).
8. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

Controlled substance means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);.

Conviction means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the federal or state criminal drug statutes;

Criminal drug statute means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

Employee means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All direct charge employees; (ii) All indirect charge employees unless their impact or involvement is insignificant to the performance of the grant; and, (iii) Temporary personnel and consultants who are directly engaged in the performance of work under the grant

and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Certification Regarding Drug-Free Workplace Requirements

Alternate I. (Grantees Other Than Individuals)

The grantee certifies that it will or will continue to provide a drug-free workplace by:

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

(b) Establishing an ongoing drug-free awareness program to inform employees about--

(1) The dangers of drug abuse in the workplace;

(2) The grantee's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

(c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);

(d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will - -

(1) Abide by the terms of the statement; and (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

(e) Notifying the agency in writing, within 10 calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

(f) Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d)(2), with respect to any employee who is so convicted - -

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a federal, state, or local health, law enforcement, or other appropriate agency;

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e) and (f).

The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Check if there are workplaces on file that are not identified here.

Alternate II. (Grantees Who Are Individuals)

(a)The grantee certifies that, as a condition of the grant, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant;

(b)If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, he or she will report the conviction, in writing, within 10 calendar days of the conviction, to every grant officer or other designee, unless the Federal agency designates a central point for the receipt of such notices. When notice is made to such a central point, it shall include the identification number(s) of each affected grant.

[55 FR 21690, 21702, May 25, 1990]

15.2. Drug-Free Workplace Requirements

After assurance select a check box:



By checking this box, the state CSBG authorized official is providing the certification set out above.

15.3. CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS

Certification Regarding Debarment, Suspension, and Other Responsibility Matters - - Primary Covered Transactions

Instructions for Certification

1. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.

2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.

3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

4. The prospective primary participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

5. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.

6. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly

enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

7. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusive-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions

8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

Certification Regarding Debarment, Suspension, and Other Responsibility Matters - - Primary Covered Transactions

(1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency;

(b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, state or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and

(d) Have not within a three-year period preceding this application/proposal had one or more public transactions (federal, state or local) terminated for cause or default.

(2) Where the prospective primary participant is unable to certify to any of the

statements in this certification, such prospective participant shall attach an explanation to this proposal.

**Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - -
Lower Tier Covered Transactions**

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below

2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other

3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or had become erroneous by reason of changed circumstances.

4. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meaning set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.

5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

6. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from covered transactions, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

9. Except for transactions authorized under paragraph five of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from

participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - - Lower Tier Covered Transactions

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

15.3. Debarment

After assurance select a check box:



By checking this box, the state CSBG authorized official is providing the certification set out above.

15.4. CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE

Public Law 103227, Part C Environmental Tobacco Smoke, also known as the Pro Children Act of 1994, requires that smoking not be permitted in any portion of any indoor routinely owned or leased or contracted for by an entity and used routinely or regularly for provision of health, day care, education, or library services to children under the age of 18, if the services are funded by Federal programs either directly or through state or local governments, by Federal grant, contract, loan, or loan guarantee. The law does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions of facilities used for inpatient drug or alcohol treatment. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1000 per day and/or the imposition of an administrative compliance order on the responsible entity by signing and submitting this application the applicant/grantee certifies that it will comply with the requirements of the Act.

The applicant/grantee further agrees that it will require the language of this certification be included in any subawards which contain provisions for the children's services and that all subgrantees shall certify accordingly.

15.4. Environmental Tobacco Smoke

After assurance select a check box:



By checking this box, the state CSBG authorized official is providing the certification set out above.

THE PAPERWORK REDUCTION ACT OF 1995 (Pub. L. 104-13)

Public reporting burden for this collection of information is estimated to average 10 hours per response, including the time for reviewing instructions, gathering and maintaining the data needed, and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.