

# **Community Services Block Grant (CSBG)**

## **For Federal Fiscal Year 2024 and 2025**

John Bel Edwards  
*Governor*

Ava Cates  
*Secretary, Louisiana Workforce Commission*



*Revised June 30, 2023*



ADMINISTRATION FOR  
**CHILDREN & FAMILIES**  
 Office of Community Services

## Community Services Block Grant (CSBG) State Plan (DRAFT)

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THE PAPERWORK REDUCTION ACT OF 1995 (Pub. L. 104-13): Through this information collection, ACF is gathering information about planned activities related to and funded by CSBG for the upcoming fiscal year. Public reporting burden for this collection of information is estimated to average 31 hours per grantee, including the time for reviewing instructions, gathering and maintaining the data needed, and reviewing the collection of information. This is a mandatory collection of information (Sec. 676, Pub. L. 105-285, 112 Stat. 2735 (42 U.S.C. § 9908)). An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information subject to the requirements of the Paperwork Reduction Act of 1995, unless it displays a currently valid OMB control number. The OMB # is 0970-0382 and the expiration date is 08/31/2024. If you have any comments on this collection of information, please contact M. Monique Alcantara at [melania.alcantara@acf.hhs.gov](mailto:melania.alcantara@acf.hhs.gov).

## Instructions

The CSBG State Plan is currently under review through the Office of Management and Budget (OMB) clearance per the requirements of the Paperwork Reduction Act of 1995. OCS created this fillable form as a tool for CSBG grantees as they plan for the administration of CSBG for Fiscal Year (FY) 2022. CSBG grantees can use this tool for planning purposes including, but not limited to: consultation with stakeholders, and meeting public and legislative hearing requirements (Section 676(a)(2)(B) and Section 676(a)(3) of the CSBG Act).

Once the CSBG State Plan receives clearance through OMB and the CSBG State Plan is available through GrantSolutions.gov, CSBG grantees may copy and paste their answers into the online form.

### This form allows for the following types of responses:

☐ **Checkbox** – Select the box to choose an option.

Choose an item. **Dropdowns** – Select the box, and then click the down arrow that appears to select an available option.

Click or tap to enter a date. **Date Picker:** Select the box, and then click the down arrow that appears to select an available option.

Click or tap here to enter text. **Narrative Text Field (No Characters Limit).** Click the box to start entering text.

**Narrative Text Field (Character Limit).** Start typing within the gray square to enter text. These fields only allow for a certain character limit as described in the [blue](#) instructive text.

**Tables:** Some tables allow you to add additional rows as needed. To add a row within this form: place your cursor within any column of the table, and then select the plus sign (+) at the end of the row.

- For the purposes of this form, tables 5.1, 7.2, and 10.1 allows you to add rows. However, within OLDC, you will be unable to add or delete rows and Column 1 of each table will be read-only.

**Please note:** There is no requirement for CSBG grantees to use this tool. This tool cannot be submitted in lieu of the CSBG State Plan within GrantSolutions.gov neither can this tool be attached within GrantSolutions.gov as the official submission.

## SECTION 1: CSBG Administrative Information

**1.1.** Identify whether this is a one-year or a two-year plan. Choose an item.

**1.1a.** Provide the federal fiscal years this plan covers: **Year One** Choose an item.  
**Year Two** Choose an item.

**GUIDANCE:** If a state indicates “One-Year” under 1.1., they will only have to provide a response for “Year One”.

**1.2. Lead Agency and Authorized Official:** Update the following information in relation to the lead agency and authorized official designated to administer CSBG in the state, as required by Section 676(a) of the CSBG Act. Information should reflect the responses provided in the Application for Federal Assistance, SF-424M.

**Has information regarding the state lead agency and authorized official changed since the last submission of the State Plan?** ☐ Yes ☐ No No

If yes, select the fields that have changed. [\[Check all the apply\]](#)

- |  |  |  |
|--|--|--|
| <input type="checkbox"/> Lead Agency         | <input type="checkbox"/> Department Type | <input type="checkbox"/> Department Name |
| <input type="checkbox"/> Authorized Official | <input type="checkbox"/> Street Address  | <input type="checkbox"/> City            |
| <input type="checkbox"/> Zip Code            | <input type="checkbox"/> Office Number   | <input type="checkbox"/> Fax Number      |
| <input type="checkbox"/> Email Address       | <input type="checkbox"/> Website         |  |

**1.2a. Lead agency** Louisiana Workforce Commission (LWC)

**GUIDANCE:** Please only provide the exact name of the CSBG state lead agency as designated within the designation letter and an acronym (as applicable).

**EXAMPLE:** Office of Community Services (OCS)

**1.2b. Cabinet or administrative department of this lead agency** [\[Check one and provide a narrative where applicable\]](#)

- ☐ Community Affairs Department
- ☐ Community Services Department
- ☐ Governor’s Office
- ☐ Health Department
- ☐ Housing Department
- ☐ Human Services Department
- ☐ Social Services Department
- ☒ Other, describe: [Division of Administration](#)

**1.2c. Cabinet or Administrative Department Name:** Provide the name of the cabinet or administrative department of the CSBG authorized official [\[Narrative, 100 Characters\]](#)

- 1.2d. Authorized Official of the Lead Agency:** The authorized official could be the director, secretary, commissioner etc. as assigned in the designation letter (attached under item 1.3.). The authorized official is the person indicated as the authorized representative on the SF-424M and the official recipient of the Notice of Award per Office of Grant Management requirements. [\[Narrative, 50 characters each\]](#)

**Name** Ava Cates

**Title** Secretary, Louisiana Workforce Commission

- 1.2e. Street Address** 1001 N 23<sup>rd</sup> Street

- 1.2f. City** Baton Rouge

- 1.2g. State** Louisiana

- 1.2h. Zip Code** 70802

- 1.2i. Telephone Number** 225-342-3001

- 1.2j. Fax Number** 225-342-3778

- 1.2k. Email Address** [acates@lwc.la.gov](mailto:acates@lwc.la.gov)

- 1.2l. Lead Agency Website** [www.laworks.net](http://www.laworks.net)

**Note:** Item 1.2. pre-populates the Annual Report, Module 1, Item A.1.

- 1.3. Designation Letter:** Attach the state's official CSBG designation letter. A new designation letter is required if the chief executive officer of the state and/or designated agency has changed. [\[Attach a document.\]](#)

**GUIDANCE:** The designation letter should be updated whenever there is a change to the designee.

**INSTRUCTIONAL NOTE:** The letter should be from the chief executive officer of the state and include, at minimum, the designated state CSBG lead agency (office, department, or bureau) and title of the authorized official of the lead agency who is to administer the CSBG grant award.

- 1.4. CSBG Point of Contact:** Provide the following information in relation to the designated state CSBG point of contact. The state CSBG point of contact should be the person that will be the main point of contact for CSBG within the state.

**Has information regarding the state point of contact changed since the last submission of the State Plan?** ☐ Yes ☐ No Yes

If yes, select the fields that have changed. [\[Check all the apply\]](#)

- |  |  |   |                                     |
|--|--|---|-------------------------------------|
| <input type="checkbox"/> Agency Name   | <input checked="" type="checkbox"/> Point of Contact | <input type="checkbox"/> Street Address | <input type="checkbox"/> City       |
| <input type="checkbox"/> State         | <input type="checkbox"/> Zip Code                    | <input type="checkbox"/> Office Number  | <input type="checkbox"/> Fax Number |
| <input type="checkbox"/> Email Address | <input type="checkbox"/> Website                     |   |                                     |

- 1.4a. Agency Name** [\[Narrative, 150 characters\]](#)

**1.4b. Point of Contact Name** [\[Narrative, 50 characters each\]](#)

**Name** Genevieve Fields

**Title** CSBG Program Manager

**1.4c. Street Address** 1001 N 23<sup>rd</sup> Street

**1.4d. City** Baton Rouge

**1.4e. State** Louisiana

**1.4f. Zip Code** 70804

**1.4g. Telephone Number** 225-342-5612

**1.4h. Fax Number** 225-342-0209

**1.4i. Email Address** [csbg@lwc.la.gov](mailto:csbg@lwc.la.gov)

**1.4j. Agency Website** [www.laworks.net](http://www.laworks.net)

**1.5.** Provide the following information in relation to the State Community Action Association.

**There is currently a state Community Action Association within the state.**

☐ Yes ☐ No

Yes

**Has information regarding the state Community Action Association changed since the last submission of the State Plan?**

☐ Yes ☐ No

No

If yes, select the fields that have changed. [\[Check all the apply\]](#)

- |  |   |   |                                     |
|--|---|---|-------------------------------------|
| <input type="checkbox"/> Agency Name   | <input type="checkbox"/> Executive Director | <input type="checkbox"/> Street Address | <input type="checkbox"/> City       |
| <input type="checkbox"/> State         | <input type="checkbox"/> Zip Code           | <input type="checkbox"/> Office Number  | <input type="checkbox"/> Fax Number |
| <input type="checkbox"/> Email Address | <input type="checkbox"/> Website            | <input type="checkbox"/> RPIC Lead      |                                     |

**1.5a. Agency name** [Association of Community Action Partnerships](#)

**1.5b. Executive Director or Point of Contact** [\[Narrative, 50 characters each\]](#)

**Name** [Lionel Wilson](#)

**Title** ACAP Manager

**1.5c. Street Address** [P.O. Box 1541](#)

**1.5d. City** [Zachary](#)

**1.5e. State** Louisiana

**1.5f. Zip Code** 70791

**1.5g. Telephone Number** 225-287-9901

**1.5h. Fax Number**

**1.5i. Email Address** [lionel.wilson@acap-la.org](mailto:lionel.wilson@acap-la.org)

1.5j. State Association Website <http://acap-la.org/>

1.5k. State Association currently serves as the Regional Performance Innovation Consortia (RPIC) lead ☐ Yes ☐ No Yes

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## SECTION 2: State Legislation and Regulation

**2.1. CSBG State Legislation:** State has a statute authorizing CSBG. ☐ Yes ☐ No Choose an item.

**2.2. CSBG State Regulation:** State has regulations for CSBG. ☐ Yes ☐ No Choose an item.

**2.3. Legislation/Regulation Document:** Attach the legislation and/or regulations or provide a hyperlink(s) to the documents indicated under Items 2.1. and/or Item 2.2.

CSBG State Legislation - LA Revised Statute 23:61 et seq.,  
<https://www.legis.la.gov/legis/Law.aspx?d=83942>

CSBG State Regulation - Louisiana Administrative Code, Title 40, Part XVII, Subpart 1:  
CSBG Policy Manual

<https://www.doa.la.gov/media/2wxg4qhw/40.pdf>

**GUIDANCE:** The labeling of all attachments should include the question number for which the document provides supplementary information, the question heading, and the type of document provided. As an example, a state statutory document could be labeled as:

2.3. Legislation/Regulation Document, Washington D.C. Statute

**2.4. State Authority:** Select a response for each of the following items about the state statute and/or regulations authorizing CSBG:

**2.4a. Authorizing Legislation:** State legislature enacted authorizing legislation or amendments to an existing authorizing statute last federal fiscal year.

☐ Yes ☐ No No

**2.4b. Regulation Amendments:** State established or amended regulations for CSBG last federal fiscal year.

☐ Yes ☐ No No



### SECTION 3: State Plan Development and Statewide Goals

- 3.1. CSBG Lead Agency Mission and Responsibilities:** Briefly describe the mission and responsibilities of the state agency that serves as the CSBG lead agency.

*The Louisiana Workforce Commission is committed to “Putting People to Work” in fulfilling, family-sustaining careers through unlocking their potential with its expansive resources.*

*Within the LWC’s Office of Workforce Development, the CSBG Unit administers Community Services Block Grant funds, ensures that funds are expended in accordance with the CSBG Act and all applicable laws and regulations, imparts guidance to 42 Community Action Agencies, provides oversight that resources are utilized to assist low-income individuals and families achieve and maintain self-sufficiency, ensures that CSBG services are accessible through and coordinated with the One-Stop Career Centers, as specified in the Workforce Innovation and Opportunity Act (WIOA), and encourages innovative initiatives to combat the effects of poverty throughout the State of Louisiana.*

- 3.2. State Plan Goals:** Describe the state’s CSBG-specific goals for state administration of CSBG under this State Plan. [Narrative, 3000 characters]

*To administer the CSBG program in accordance with its statutory purpose and in compliance with all other applicable statutes, rules, regulations, policies and procedures set forth by Federal and State government, in a manner which exhibits and increases management efficiency, program effectiveness, and equity.*

*To provide community based services to income eligible residents of Louisiana in all 64 parishes in a way that advances equity.*

*To monitor, using best practices, the 42 CAAs for compliance with Federal and State Laws and regulations with regard to the CSBG sub award agreement*

*To provide to or procure for CAA staff training and technical assistance that supports and fosters healthy organizational characteristics including but not limited to data driven decision making, setting measurable goals and tracking measurable outcomes, developing policies and procedures, and succession planning/cross training of staff.*

*To implement a statewide data collection and case management system that will allow the State to collect and analyze statewide data on needs, services, and outcomes, as well as demographic data on individuals served by CAAs.*

**GUIDANCE:** States should consider feedback from OCS, their eligible entities, and the ACSI survey completed by eligible entities when creating their State Plan goals.

**Instructional Note:** For examples of “goals,” see State Accountability Measure 1Sa(i).

**Note:** This information is associated with State Accountability Measure 1Sa(i) and pre-

populates the state's Annual Report, Module 1, Item B.1.

**3.3. State Plan Development:** Indicate the information and input the state accessed to develop this State Plan.

**3.3a. Analysis of state-level tools** [\[Check all that applies and provide additional information where applicable\]](#)

- ☒ State Performance Indicators and/or National Performance Indicators (NPIs)
- ☒ U.S. Census data
- ☒ State Performance Management Data (e.g., accountability measures, ACSI survey information, and/or other information from annual reports)
- ☒ Monitoring Visits/Assessments
- ☐ Tools Not Identified Above (specify) [\[Narrative, 500 characters\]](#)

**3.3b. Analysis of local-level tools** [\[Check all that applies and provide additional information where applicable\]](#)

- ☒ Eligible Entity Community Needs Assessments
- ☒ Eligible Entity Community Action Plans
- ☒ Public Hearings/Workshops
- ☐ Tools Not Identified Above (e.g., state required reports) [specify] [\[Narrative, 500 characters\]](#)

**3.3c. Consultation with** [\[Check all that applies and provide additional information where applicable\]](#)

- ☒ Eligible Entities (e.g., meetings, conferences, webinars; not including the public hearing)
- ☒ State Association
- ☒ National Association for State Community Services Programs (NASCSPP)
- ☒ Community Action Partnership (NCAP)
- ☒ Community Action Program Legal Services (CAPLAW)
- ☒ CSBG Tribal Training and Technical Assistance (T/TA) provider
- ☒ Regional Performance Innovation Consortium (RPIC)
- ☒ Association for Nationally Certified ROMA Trainers (ANCRT)
- ☒ Federal CSBG Office
- ☐ Organizations not identified above (specify) [\[Narrative, 500 characters\]](#)

**3.4. Eligible Entity Involvement**

**3.4a. State Plan Development:** Describe the specific steps the state took in developing the State Plan to involve the eligible entities. [\[Narrative, 3000 Characters\]](#)

**Note:** This information is associated with State Accountability Measures 1Sa(ii) and may pre-populate the state's annual report form.

*A State Plan Committee made up of several agency representatives from small, medium, and large CAAs was convened and met in the 2<sup>nd</sup> and 3<sup>rd</sup> quarters of FY23 to discuss and provide input on State Plan Goals. Additionally, The State Office held bi-monthly Field Leadership Advisory Team conference calls and Small /Medium/Large Community Action Agencies' Listening Sessions throughout FY22 and FY23. During these teleconferences, CAAs were able to provide meaningful input toward the development of the State Plan. Additionally, every Community Action Agency within the Network was asked for input. The State Association was included in these Zoom conferences as well as in regularly scheduled check-ins with the State Office designed to ensure consistent collaboration and achievement of common goals.*

- 3.4b. Performance Management Adjustment:** Describe how the state has adjusted its State Plan development procedures under this State Plan, as compared to previous State Plans, in order to 1) encourage eligible entity participation and 2) ensure the State Plan reflects input from eligible entities? Any adjustment should be based on the state's analysis of past performance in these areas, and should consider feedback from eligible entities, OCS, and other sources, such as the public hearing. If the state is not making any adjustments, provide further detail.  
[Narrative, 3000 Characters]

**Note:** This information is associated with State Accountability Measures 1Sb(i) and (ii) and pre-populate the Annual Report, Module 1, Item B.1.

*The most recent ACSI Survey score (2021) reflected relatively low scores in the eligible entities' perceived involvement in the development of the state plan. To enhance opportunities for two way communication, the State Plan Committee was provided with various tools for providing feedback, including the use of surveys and polls to provide written feedback for those not comfortable sharing in group meeting environments. These tools were also utilized during bi-monthly calls with the CAAs.*

- 3.5. Eligible Entity Overall Satisfaction:** Provide the state's target for eligible entity Overall Satisfaction during the performance period. [3 digits] **Year One** 79 **Year Two** 80  
**Instructional Note:** The state's target score will indicate improvement or maintenance of the states' Overall Satisfaction score from the most recent American Customer Survey Index (ACSI) survey of the state's eligible entities.

**Note:** Item 3.5 is associated with State Accountability Measure 8S and may pre-populate the state's annual report form.

**GUIDANCE:** The targets reported here should match the future target set in the Annual Report, Section B, Table B.2.

**GUIDANCE:** Review the [ACSI IM](#) about setting targets for your eligible entity overall satisfaction that are realistic, reasonable, attainable, and possible.

## SECTION 4: CSBG Hearing Requirements

- 4.1. Public Inspection:** Describe the steps taken by the state to disseminate this State Plan to the public for review and comments prior to the public hearing, as required under Section 676(e)(2) of the Act. [\[Narrative, 2500 Characters\]](#)

**GUIDANCE:** Under this question, detail how the state provided the State Plan to the public, including providing sufficient time (ideally no fewer than 30 days) for the public to provide feedback prior to the public hearing. Distribution to the public should include distribution directly to the eligible entities (e.g. via email or publication on a public website with specific notification to the eligible entities) in the state as well as any other interested parties.

*The State notified the public that the State Plan is available for review and comments prior to the public hearing through newspaper advertisements, official publications on LWC website, on LWC social media accounts, and by posted notices at all eligible entities' offices and on their websites. The State Association also posted the notice at its office and on its website.*

- 4.2. Public Notice/Hearing:** Describe how the state ensured there was sufficient time and statewide distribution of notice of the public hearing(s) to allow the public to comment on the State Plan, as required under 676(a)(2)(B) of the CSBG Act. [\[Narrative, 2500 Characters\]](#)

*The State posted notice of the public hearings in official newspapers in northern Louisiana (The Caddo Citizen and the News Star in Monroe) and southern Louisiana (The Advocate) and on the LWC website ten (10) days in advance of each public hearing.*

- 4.3. Public and Legislative Hearings:** In the table below, specify the date(s) and location(s) of the public and legislative hearing(s) held by the designated lead agency for this State Plan, as required under Section 676(a)(2)(B) and Section 676(a)(3) of the Act.

**Instructional Note: A public hearing is required for each new submission of the State Plan.** The date(s) for the public hearing(s) must have occurred in the year prior to the first federal fiscal year covered by this plan. Legislative hearings are held at least every three years, and must have occurred within the last three years prior to the first federal fiscal year covered by this plan.

<b>Date</b> <i>[Select a Date]</i>	<b>Location</b> <i>[Provide the facility and city – Narrative 100 characters]</i>	<b>Type of Hearing</b> <i>[Select an option]</i>	<b>If a Combined Hearing was held confirmed that the public was invited.</b>
7/19/2023	Louisiana Housing Corporation, 2415 Quail Drive, Baton Rouge, LA 70808	Public	<input type="checkbox"/>
8/3/2023	Caddo Community Action Agency, 4055 St. Vincent Ave., Shreveport, LA 71108	Public	<input type="checkbox"/>

**NOTE: ADD-A-ROW function** – States can add rows as needed for each hearing as needed. To add a row within this form: highlight the row and then select the plus sign (+) at the end of the row.

**GUIDANCE:** A combined hearing refers to having one joint public and legislative hearing.

- 4.4.** Attach supporting documentation or a hyperlink for the public and legislative hearings.  
[\[Attach supporting documentation or provide a hyperlink\(s\), 500 characters\]](#)

**GUIDANCE:** Supporting documentation may include, but is not limited to, agendas, sign-in sheets, transcripts, and notices/advertisements of the hearings. All attachments should include the question number, question heading, type of document and the date of the hearing/meeting (as applicable).

**EXAMPLE NAMING CONVENTION:** 4.4. Public and Legislative Hearings Agenda 062117

## SECTION 5: CSBG Eligible Entities

**5.1. CSBG Eligible Entities:** In the table below, indicate whether each eligible entity in the state is public or private, the type(s) of entity, and the geographical area served by the entity.

CSBG Eligible Entity	Geographical Area Served (by county) [Provide all counties]	Public or Nonprofit	Type of Entity (choose all that apply)
Allen Action Agency, Inc.	Allen	Private/Non-Profit	CAA
ASSIST Agency, Inc.	Acadia Jefferson Davis Vermilion Parish	Private/Non-Profit	CAA
Assumption Parish Police Jury	Assumption	Public	Local Government
Avoyelles Progress Action Committee, Inc.	Avoyelles	Private/Non-Profit	CAA
Beauregard CAA, Inc.	Beauregard	Private/Non-Profit	CAA
Bossier Office of Comm. Services Inc.	Bossier	Private/Non-Profit	CAA
Caddo CAA, Inc.	Caddo	Private/Non-Profit	CAA
Calcasieu Parish Police Jury Human Services Department	Calcasieu	Public	Local Government
Cameron CAA, Inc.	Cameron	Private/Non-Profit	CAA
Cenla Community Action Committee, Inc.	Rapides	Private/Non-Profit	CAA



City of Baton Rouge Division of Human Development & Services	East Baton Rouge	Public	Local Government
Claiborne Parish Police Jury, Office of Community Services	Claiborne	Public	Local Government
Delta CAA, Inc.	Madison Richland	Private/Non-Profit	CAA
DeSoto Parish Police Jury/Office of Community Services	DeSoto	Public	Local Government
East Carroll CAA	East Carroll	Public	Local Government
Evangeline CAA, INC.	Evangeline	Private/Non-Profit	CAA
Help	Lincoln	Public	Local Government
Iberville Parish Council/OSS	Iberville	Public	Local Government
Jefferson Community Action Programs	Jefferson	Public	Local Government
Lafourche Parish Council Office of Community Action	Lafourche	Public	Local Government
LaSalle CAA, Inc.	Caldwell Catahoula Concordia Franklin Grant LaSalle Tensas	Private/Non-Profit	CAA
Macon Economic Opportunity, Inc.	West Carroll	Private/Non-Profit	CAA

Natchitoches Parish Office of Community Services	Natchitoches	Public	Local Government
Ouachita Multi-Purpose CAP, INC.	Ouachita	Private/Non-Profit	CAA
Pine Belt Multi-Purpose Agency, Inc.	Bienville Jackson Morehouse Red River Sabine Winn	Private/Non-Profit	CAA
Plaquemines Parish CAA	Plaquemine	Public	Local Government
Pointe Coupee Com. Advmt., Inc.	Pointe Coupee	Private/Non-Profit	CAA
Quad Area CAA Inc	Ascension East Feliciana Livingston St. Helena Tangipahoa Washington West Feliciana	Private/Non-Profit	CAA
St. Bernard Parish Government	St. Bernard	Public	Local Government
St. Charles Parish Department of Community Services	St. Charles	Public	Local Government
St. James Parish Government Department of Human Resources	St. James	Public	Local Government

St. John Department of Community Services	St. John	Public	Local Government
St. Landry Community Action Agency	St. Landry	Public	Local Government
St. Mary CAA, Inc.	St. Mary	Private/Non -Profit	CAA
St. Tammany Parish  Dept. of Community Action	St. Tammany	Public	Local Government
S.M.I.L.E. CAA, Inc.	Iberia  Lafayette  St. Martin	Private/Non -Profit	CAA
Terrebonne Parish Consolidated Government	Terrebonne	Public	Local Government
Total CA, Inc.	Orleans	Private/Non -Profit	CAA
Union CAA, Inc.	Union	Private/Non -Profit	CAA
Vernon Community Action Council, Inc.	Vernon	Private/Non -Profit	CAA
Webster Parish Police Jury, Office of Community Services	Webster	Public	Local Government
W. Baton Rouge Parish Council	West Baton Rouge	Public	Local Government

**NOTE: WITHIN OLDC, you will not be able to add-a-row. Any additions/deletions to the Eligible Entity List should be made within the CSBG Eligible Entity List within OLDC prior to initializing a new CSBG State Plan within OLDC. To add a row within this form: highlight the row and then select the plus sign (+) at the end of the row. Geographical Area Served allows for 550 characters.**

**Note:** Table 5.1. pre-populates the Annual Report, Module 1, Table C.1.

**GUIDANCE:** Under *Type of Entity*, select more than one type by holding down the CTRL key while making selections.

**NOTE:** Whether nonprofit or public, entities that receive CSBG funds are generally considered to be Community Action Agencies for the purpose of administering CSBG. The only

specific exceptions outlined in the CSBG Act are Limited Purpose Agencies, Migrant and Seasonal Farmworker organizations, and Tribes and Tribal Organizations

**INSTRUCTIONAL NOTE: Limited Purpose Agency** refers to an eligible entity that was designated as a limited purpose agency under Title II of the Economic Opportunity Act of 1964 for fiscal year 1981, that served the general purposes of a community action agency under Title II of the Economic Opportunity Act, that did not lose its designation as a limited purpose agency under Title II of the Economic Opportunity Act as a result of failure to comply with that Act and that has not lost its designation as an eligible entity under the CSBG Act.

**INSTRUCTIONAL NOTE: 90 percent funds** are the funds a state provides to eligible entities to carry out the purposes of the CSBG Act. As described under Section 675C of the CSBG Act, a state must provide to the eligible entities “not less than 90 percent” of their CSBG allocation “made available to a state under Section 675A or 675B.

**5.2.** Total number of CSBG eligible entities: 42

**5.3. Changes to Eligible Entities List:** Within the tables below, describe any changes that have occurred to the eligible entities within the state since the last federal fiscal Year (FFY), as applicable.

One or more of the following changes were made to the eligible entity list: [\[Check all that apply\]](#).

- ☐ Designation and/or Re-Designation
- ☐ De-Designations and/or Voluntary Relinquishments
- ☐ Mergers
- ☒ No Changes to Eligible Entities List

**GUIDANCE:** The following three questions will only need to be answered based on your response to 5.3.

**5.3a. Designation and Re-Designation:** Identify any new entities that have been designated as eligible entities, as defined under Section 676A of the Act, since the last federal fiscal year. Include any eligible entities designated to serve an area previously not served by CSBG as well as any entities designated to replace another eligible entity that was terminated (de-designated) or that voluntarily relinquished its status as a CSBG eligible entity.

CSBG Eligible Entity	Type	Start Date	Geographical Area Served
Click or tap here to enter text.	Choose an item.	Click or tap to enter a date.	

**NOTE: ADD-A-ROW FUNCTION** – states can add rows as needed within OLDC. To add a row within this form: highlight the row and then select the plus sign (+) at the end of the row. Geographical Area Served allows for 550 characters.

**GUIDANCE:** A designation refers to an entity that was not receiving funding in the previous federal fiscal year(s) and/or was not included in the previous CSBG State Plan. Re-designation refers to an entity that is already designated/receiving funds but is now receiving funds to serve an additional geographic area previously served by another entity. A permanent re-designation **must be conducted -in line with procedures outlined in Section 676A of the CSBG Act.** An interim re-designation may be noted when an entity has been identified to provide services after a voluntary relinquishment pending official designation of a permanent entity consistent with the requirements of Section 676A. See CSBG Act 676A, *Designation and Redesignation...*, for more information.

- 5.3b. De-Designations and Voluntary Relinquishments:** Identify any entities that are no longer receiving CSBG funding. Include any eligible entities have been terminated (de-designated) as defined under Section 676(c) and Section 676C of the Act, or voluntarily relinquished their CSBG eligible entity status since the last federal fiscal year.

CSBG Eligible Entity	Reason
Click or tap here to enter text.	Choose an item.

**NOTE: ADD-A-ROW FUNCTION** – states can add rows as needed within OLDC. To add a row within this form: highlight the row and then select the plus sign (+) at the end of the row.

- 5.3c. Mergers:** In the table below, provide information about any mergers or other combinations of two or more eligible entities that were individually listed in the prior State Plan.

Original CSBG Eligible Entities	Surviving CSBG Eligible Entity	New Name (as applicable)	DUNS No.
Click or tap here to enter text.	Click or tap here to enter text.	Click or tap here to enter text.	Click or tap here to enter text.

**NOTE: ADD-A-ROW FUNCTION** – states can add rows as needed within OLDC. To add a row within this form: highlight the row and then select the plus sign (+) at the end of the row.

**GUIDANCE:** This question refers to the merger or other combinations of two or more existing CSBG eligible entities only.

Under 5.3c, please only include two or more **previously designated** eligible entities that have merged or combined in order to provide CSBG services.

## SECTION 6: Organizational Standards for Eligible Entities

**Note:** Reference IM 138, *State Establishment of Organizational Standards for CSBG Eligible Entities*, for more information on Organizational Standards. Click [HERE](#) for IM 138.

**6.1. Choice of Standards:** Confirm whether the state will implement the CSBG Organizational Standards Center of Excellence (COE) organizational standards (as described in IM 138) or an alternative set during the federal fiscal year(s) of this planning period. [\[Select one\]](#)

- ☒ COE CSBG Organizational Standards
- ☐ Modified Version of COE CSBG Organizational Standards
- ☐ Alternative Set of organizational standards

**Note:** Item 6.1. pre-populates the Annual Report, Module 1, Item D.1.

**6.1a. Modified Organizational Standards:** In the case that the state is requesting to use modified COE-developed organizational standards, provide the proposed modification for the FFY of this planning period including the rationale.

[\[Narrative, 2500 characters\]](#)

**6.1b. Alternative Organizational Standards:** If using an alternative set of organizational standards, attach the complete list of alternative organizational standards. [\[Attachment \(as applicable\)\]](#)

**6.1c. Alternative Organizational Standards:** If using an alternative set of organizational standards: 1) provide any changes from the last set provided during the previous State Plan submission; 2) describe the reasons for using alternative standards; and 3) describe how they are at least as rigorous as the COE- developed standards.

☒ There were no changes from the previous State Plan submission [\[If not selected, provide a narrative, 2500 characters\]](#)

Provide reason for using alternative standards [\[Narrative, 2500 characters\]](#)

Describe rigor compared to COE-developed Standards [\[Narrative, 2500 characters\]](#)

**6.2. Implementation:** Check the box that best describes how the state officially adopted organizational standards for eligible entities in a manner consistent with the state’s administrative procedures act. If “Other” is selected, provide a timeline and additional information, as necessary. [\[Check all that applies and provide a narrative \(as applicable\)\]](#)

- ☐ Regulation
- ☐ Policy
- ☒ Contracts with Eligible Entities
- ☐ Other, describe: [\[Narrative, 4000 characters\]](#)

**6.3. Organizational Standards Assessment:** Describe how the state will assess eligible entities against organizational standards this federal fiscal year(s). [\[Check all that applies\]](#)

- ☐ Peer-to-Peer Review (with validation by the state or state-authorized third party)
- ☒ Self-Assessment (with validation by the state or state-authorized third party)
- ☐ Self-Assessment/Peer Review with State Risk Analysis
- ☐ State-Authorized Third-Party Validation
- ☐ Regular On-Site CSBG monitoring
- ☐ Other [\[Narrative, 2500 characters\]](#)

**6.3a. Assessment Process:** Describe the planned assessment process. [\[Narrative, 4000 characters\]](#)

**GUIDANCE:** Descriptions should also include improvements to the process made since the previous year including any new processes to increase efficiency or consistency of assessments.

*The State Office has provided an electronic organizational standards module in the ELogic Genesis system for agencies to upload documentation showing that the appropriate standard is met. The State Office will conduct an initial validation review. The assigned Specialist will mark standards met accordingly and will provide detailed, written feedback on any standards not met or requiring additional documentation. A deadline for supplementing the submission will be given. Once the deadline has passed, the assigned Specialist will conduct a final review. The results of the final review will be disseminated to each eligible entity. A Technical Assistance Plan will be required for any unmet standards. The State Office will monitor the eligible entity’s progress in developing and adhering to its TAP to ensure that progress is made.*

**6.4. Eligible Entity Exemptions:** Will the state make exceptions in applying the organizational standards for certain eligible entities due to special circumstances or organizational characteristics (as described in IM 138)? ☐ Yes ☐ No No



**GUIDANCE:** You will only need to respond to the following question if you responded “yes” to 6.4.

- 6.4a.** Provide the specific eligible entities the state will exempt from meeting organizational standards and provide a description and a justification for each exemption. Total Number of Exempt Entities: [\[Auto – calculated\]](#) **Note: this form will not auto-calculate, please enter the number of exempt entities:** Click or tap here to enter text.

CSBG Eligible Entity	Description/Justification
Click or tap here to enter text.	

**NOTE: ADD-A-ROW FUNCTION** – states can add rows for each additional exception. To add a row within this form: highlight the row and then select the plus sign (+) at the end of the row. The Description/Justification allows for 2500 characters.

- 6.5. Performance Target:** Provide the percentage of eligible entities that the state expects to meet all the state-adopted organizational standards for the FFY(s) of this planning period. [\[Insert a percentage\]](#)      **Year One** 60 %      **Year Two** 70 %

**Note:** Item 6.5. is associated with State Accountability Measures 6Sa and pre-populates the Annual Report, Module 1, Table D.2.

**GUIDANCE:** Prior to setting the target, states should review [IM 138](#), review previous performance, and collaborate with the eligible entities and the state association to identify targets

## SECTION 7: State Use of Funds

### Eligible Entity Allocation (90 Percent Funds) [Section 675C(a) of the CSBG Act]

**7.1. Formula:** Select the method (formula) that best describes the current practice for allocating CSBG funds to eligible entities. [\[Check one\]](#)

- ☐ Historic
- ☐ Base + Formula
- ☐ Formula Alone
- ☐ Formula with Variables
- ☐ Hold Harmless + Formula
- ☒ Other [\[Narrative, 1500 characters\]](#)

**7.1a. Formula Description:** Describe the current practice for allocating CSBG funds to eligible entities. [\[Narrative, 4000 characters\]](#)

*We measure the poverty population per parish against the total poverty population for the state, as reported in the most recent U.S. Census and the 5-year American Community Survey (ACS) estimates. No eligible entity receives less than \$50,000.*

**7.1b. Statute:** Does a state statutory or regulatory authority specify the formula for allocating “not less than 90 percent” funds among eligible entities?

☐ Yes ☐ No

No

**7.2. Planned Allocation:** Specify the percentage of your CSBG planned allocation that will be funded to eligible entities and in accordance to the “not less than 90 percent funds” requirement as described under Section 675C(a) of the CSBG Act. In the table, provide the planned allocation for each eligible entity receiving funds for the fiscal year(s) covered by this plan.

Year One 90%

Year Two 90%

#### Planned CSBG 90 Percent Funds – Year One

CSBG Eligible Entity	Funding Amount (\$)
Click or tap here to enter text.	Click or tap here to enter text.
<b>Total (Auto-calculated)</b>	<b>\$ 0.00</b>

**NOTE: WITHIN OLDC,** the add-a-row function will not be available on this table and the first column is read-only. To add a row within this form: highlight the row and then select the plus sign (+) at the end of the row. To auto-calculate, select the “\$0.00”, right-click, and then select “Update Field”.

#### Planned CSBG 90 Percent Funds – Year Two

CSBG Eligible Entity	Funding Amount (\$)
Click or tap here to enter text.	Click or tap here to enter text.
<b>Total (Auto-calculated)</b>	<b>\$ 0.00</b>

**NOTE: WITHIN OLDC,** the add-a-row function will not be available on this table and the first column is read-only. To add a row within this form: highlight the row and then select the plus sign (+) at the end of the row. To auto-calculate, select the “\$0.00”, right-click, and then select “Update Field”.

**Note:** This information pre-populates the state's Annual Report, Module 1, Table E.2.

- 7.3. Distribution Process:** Describe the specific steps in the state's process for distributing 90 percent funds to the eligible entities and include the number of days each step is expected to take. Please include information about state legislative approval or other types of administrative approval (such as approval by a board or commission).

[\[Narrative, 4000 characters\]](#)

*The State advises the eligible entities in writing when funds are received from OCS. Sub-award grant agreements are distributed to each eligible entity within thirty (30) days of the Notice of Funding.*

- 7.3a. Distribution Method:** Select the option below that best describes the distribution method the state uses to issue CSBG funds to eligible entities:

- ☒ Reimbursement  
☐ Advance  
☐ Hybrid  
☐ Other [\[Narrative, 4000 characters\]](#)

- 7.4. Distribution Timeframe:** Does the state intend to make funds available to eligible entities no later than 30 calendar days after OCS distributes the federal award?

☐ Yes ☐ No

Yes

- 7.4a. Distribution Consistency:** If no, describe state procedures to ensure funds are made available to eligible entities consistently and without interruption.

[\[Narrative, 4000 characters\]](#)

**Note:** Item 7.4 is associated with State Accountability Measure 2Sa and may pre-populate the state's annual report form.

- 7.5. Distribution of Funds Performance Management Adjustment:** Describe the state's strategy for improving grant and/or contract administration procedures under this State Plan as compared to past plans. Any improvements should be based on analysis of past performance and should consider feedback from eligible entities, OCS, and other sources, such as the public hearing. If the state is not making any improvements, provide further detail. [\[Narrative, 4000 characters\]](#)

*The State offers the opportunity for feedback and input on all processes by the eligible entities via regularly scheduled teleconferences with the Field Leadership Advisory Team, Small/Medium/Large CAA Chats as well as through open lines of communication with each eligible entity and the State Association. The state has instituted Docu-Sign for sub-award agreements to improve and expedite the process of receiving executed documents from eligible entities and having them approved. In further attempts to streamline and expedite the process, an internal tracking document was created to*

*allow all members of the State Office Team to quickly and easily see where a contract is in the process.*

**Note:** This information is associated with State Accountability Measure 2Sb and may pre-populate the state's annual report form.

**Administrative Funds** [Section 675C(b)(2) of the CSBG Act]

**7.6. Allocated Funds:** Specify the percentage of your CSBG planned allocation for administrative activities for the FFY(s) covered by this State Plan.

**Year One** 5 % **Year Two** 5 %

**Note:** This information pre-populates the state's Annual Report, Module 1, Table E.4.

**7.7. State Staff:** Provide the number of state staff positions to be funded in whole or in part with CSBG funds for the FFY(s) covered by this State Plan.

**Year One** 12 **Year Two** 12

**7.8. State FTEs:** Provide the number of state Full Time Equivalents (FTEs) to be funded with CSBG funds for the FFY(s) covered by this State Plan?

**Year One** 5 **Year Two** 5

**Use of Remainder/Discretionary Funds** [Section 675C(b) of the CSBG Act]

**7.9. Remainder/Discretionary Funds Use:** Does the state have remainder/discretionary funds as described in Section 675C(b) of the CSBG Act? ☐ Yes ☐ No Yes

**GUIDANCE:** "No" should only be selected if the percentages provided under 7.2. and 7.6. equal 100%.

If yes, provide the allocated percentage and describe the use of the remainder/discretionary funds in the table below. **Year One** 5 % **Year Two** 5 %

**Note:** This response will link to the corresponding assurance, Item 14.2.

**INSTRUCTIONAL NOTE:** The assurance under 676(b)(2) of the Act (Item 14.2 of this State Plan) specifically requires a description of how the state intends to use remainder/discretionary funds to "support innovative community and neighborhood-based initiatives related to the purposes of [the CSBG Act]." Include this description in Item 7.9f of the table below and/or attach the information.

If a funded activity fits under more than one category in the table, allocate the funds among the categories. For example, if the state provides funds under a contract with the State Community Action association to provide training and technical assistance to eligible entities and to create a statewide data system, the funds for that contract should be allocated appropriately between Items 7.9a. – 7.9c. If allocation is not possible, the state may allocate the funds to

the primary category with which the activity is associated.

**Note:** This information is associated with State Accountability Measures 3Sa and pre-populates the Annual Report, Module 1, Table E.7.

#### Use of Remainder/Discretionary Funds – Year One

Remainder/Discretionary Fund Uses (See 675C(b)(1) of the CSBG Act)	Planned \$	Brief Description of Services and/or Activities
7.9a. Training/Technical Assistance to eligible entities	\$150,000	These planned services/activities will be described in State Plan Item 8.1 <a href="#">[Read-Only]</a>
7.9b. Coordination of state-operated programs and/or local programs	\$50,000	These planned services/activities will be described in State Plan Section 9, State Linkages and Communication <a href="#">[Read-Only]</a>
7.9c. Statewide coordination and communication among eligible entities	Click or tap here to enter text.	These planned services/activities will be described in State Plan Section 9, State Linkages and Communication <a href="#">[Read-Only]</a>
7.9d. Analysis of distribution of CSBG funds to determine if targeting greatest need (Briefly describe under Column 4)	Click or tap here to enter text.	
7.9e. Asset-building programs (Briefly describe under Column 4)	Click or tap here to enter text.	
7.9f. Innovation programs/activities by eligible entities or other neighborhood groups (Briefly describe under Column 4)	\$175,000	Expungement projects to reduce barriers to employment for low income individuals; competitively awarded funds for CBOs and/or CAAs
7.9g. State Charity tax credits (Briefly describe under Column 4)	Click or tap here to enter text.	
7.9h. Other activities (Specify these other activities under Column 4)	\$485,000	Annual fee for statewide Elogic Genesis case management and data collection system (\$350,000), \$135,000 for disaster relief efforts for events that do not rise to the level of a federal disaster declaration.
<b>Totals (Auto-Calculated)</b>	<b>\$860,000.00</b>	

*To auto-calculate, select the "\$0.00", right-click, and then select "Update Field". Each description allows for 4000 characters.*

#### Use of Remainder/Discretionary Funds – Year Two

Remainder/Discretionary Fund Uses (See 675C(b)(1) of the CSBG Act)	Planned \$	Brief Description of Services and/or Activities
7.9a. Training/Technical Assistance to eligible entities	\$150,000	These planned services/activities will be described in State Plan Item 8.1 <a href="#">[Read-Only]</a>
7.9b. Coordination of state-operated programs and/or local programs	\$50,000	These planned services/activities will be described in State Plan Section 9,

Remainder/Discretionary Fund Uses (See 675C(b)(1) of the CSBG Act)	Planned \$	Brief Description of Services and/or Activities
		State Linkages and Communication <a href="#">[Read-Only]</a>
7.9c. Statewide coordination and communication among eligible entities	Click or tap here to enter text.	These planned services/activities will be described in State Plan Section 9, State Linkages and Communication <a href="#">[Read-Only]</a>
7.9d. Analysis of distribution of CSBG funds to determine if targeting greatest need (Briefly describe under Column 4)	Click or tap here to enter text.	
7.9e. Asset-building programs (Briefly describe under Column 4)	Click or tap here to enter text.	
7.9f. Innovation programs/activities by eligible entities or other neighborhood groups (Briefly describe under Column 4)	\$175,000	Expungement projects to reduce barriers to employment for low income individuals; competitively awarded funds for CBOs and/or CAAs
7.9g. State Charity tax credits (Briefly describe under Column 4)	Click or tap here to enter text.	
7.9h. Other activities (Specify these other activities under Column 4)	\$485,000	Annual fee for statewide Elogic Genesis case management and data collection system (\$350,000), \$135,000 for disaster relief efforts for events that do not rise to the level of a federal disaster declaration.
<b>Totals (Auto-Calculated)</b>	<b>\$860,000.00</b>	

To auto-calculate, select the "\$0.00", right-click, and then select "Update Field". Each description allows for 4000 characters.

**GUIDANCE:** If the percentages provided under 7.2. and 7.6. do not equal 100%, the remaining percentage should be reported under 7.9. If the state does not have any remainder/discretionary fund activities (as listed in 7.9a. – 7.9g.), the remainder should be described in 7.9h.

**7.10. Remainder/Discretionary Funds Partnerships:** Select the types of organizations, if any, the state intends to work with (by grant or contract using remainder/discretionary funds) to carry out some or all the activities in Table 7.9. [\[Check all that applies and narrative where applicable\]](#)

- ☐ The State Directly Carries Out All Activities (No Partnerships)
- ☐ The State Partially Carries Out Some Activities
- ☐ CSBG Eligible Entities (if checked, include the expected number of CSBG eligible entities to receive funds) [\[Numeric response, 0 – 100\]](#) Click or tap here to enter text.
- ☐ Other Community-based Organizations
- ☒ State Community Action Association

- ☒ Regional CSBG Technical Assistance Provider(s)
- ☒ National Technical Assistance Provider(s)
- ☒ Individual Consultant(s)
- ☒ Tribes and Tribal Organizations
- ☐ Other [\[Narrative, 2500 characters\]](#)

**Note:** This response will link to the corresponding CSBG assurance in Item 14.2.

**7.11. Use of Remainder/Discretionary Funds Performance Management Adjustment:**

Describe any adjustments the state will make to the use of remainder/discretionary funds under this State Plan as compared to past State Plans? Any adjustment should be based on the state's analysis of past performance, and should consider feedback from eligible entities, OCS, and other sources, such as the public hearing. If the state is not making any adjustments, provide further detail. [\[Narrative, 4000 characters\]](#)

**Note:** This information is associated with State Accountability Measures 3Sb and may pre-populate the state's annual report form.

*We competitively awarded discretionary funds to eligible entities for the first time with the previous State Plan. This decision was based on feedback from eligible entities. Many eligible entities utilized discretionary funds during the pandemic to implement innovative programs in their communities and are seeking funding to continue to offer expanded programming after seeing the demand for such services in their communities. The State Office is currently working on revising the process developed during the pandemic for competitively awarding CARES discretionary funds to eligible entities to a process that can be used on a long term basis.*

## SECTION 8: State Training and Technical Assistance

**8.1. Training and Technical Assistance Plan:** Describe the state’s plan for delivering CSBG-funded training and technical assistance to eligible entities under this State Plan by completing the table below. The T/TA plan should include all planned CSBG-funded T/TA activities funded through the administrative or remainder/discretionary funds of the CSBG award (as reported in Section 7). The CSBG T/TA plan should include training and technical assistance conducted directly by the state or through partnerships (as specified in 8.3). Add a row for each activity: indicate the timeframe; whether it is training, technical assistance, or both; and the topic.

**Note:** This information is associated with State Accountability Measure 3Sc and pre-populates the Annual Report, Module 1, Table F.1.

### Training and Technical Assistance – Year One

Planned Timeframe	Training, Technical Assistance, or Both	Topic	Brief Description of “Other”
Ongoing/Multiple Quarters	Both	Strategic Planning/Community Action Plans	
Ongoing/Multiple Quarters	Both	Organizational Standards - for eligible entities with umet TAPs or QIPs	
Ongoing/Multiple Quarters	Both	ROMA	
Ongoing/Multiple Quarters	Both	Other	Equal Opportunity Compliance
Ongoing/Multiple Quarters	Both	Reporting	
Ongoing/Multiple Quarters	Both	Other	ELogic Genesis (Statewide data collection and reporting system)

**NOTE: ADD-A-ROW FUNCTION** – States can add rows for each additional training. To add a row within this form: highlight the row and then select the plus sign (+) at the end of the row. Brief Description of “Other” allows for 500 characters.

### Training and Technical Assistance – Year Two

Planned Timeframe	Training, Technical Assistance, or Both	Topic	Brief Description of “Other”
Ongoing/Multiple Quarters	Both	Strategic Planning/Community Action Plans	
Ongoing/Multiple Quarters	Both	Organizational Standards - for eligible entities with umet TAPs or QIPs	
Ongoing/Multiple Quarters	Both	ROMA	



Ongoing/Multiple Quarters	Both	Other	Equal Opportunity Compliance
Ongoing/Multiple Quarters	Both	Reporting	
Ongoing/Multiple Quarters	Both	Other	ELogic Genesis (Statewide data collection and reporting system)

**NOTE: ADD-A-ROW FUNCTION** – States can add rows for each additional training. To add a row within this form: highlight the row and then select the plus sign (+) at the end of the row. Brief Description of “Other” allows for 500 characters.

**8.1a. Training and Technical Assistance Budget:** The planned budget for all training and technical assistance:

**Year One** \$150,000 **Year Two** \$150,000

**8.1b.** Training and Technical Assistance Collaboration: Describe how the state will collaborate with the State Association and other stakeholders in the planning and delivery of training and technical assistance. [Narrative, 2500 characters]

*The State meets with the State Association monthly via in-person meetings or teleconferences to discuss the training needs of the Network. Based on expressed and demonstrated needs, the State and the State Association agree on training topics to be included at planned conferences and during the State's regular quarterly trainings. Trainers are recommended by the Network and other stakeholders, as well as by regional and national partners. Evaluation and satisfaction surveys are made available to the Network immediately following a training, presentation or conference and the State utilizes the surveys to plan future trainings and to obtain new or repeat presenters.*

**8.2. Organizational Standards Technical Assistance:** Does the state have Technical Assistance Plans (TAPs) in place for all eligible entities with unmet organizational standards, if appropriate? ☐ Yes ☐ No Yes

**Note:** 8.2 is associated with State Accountability Measure 6Sb. The state should put a TAP in place to support eligible entities with one or more unmet organizational standards.

**8.2a. Address Unmet Organizational Standards:** Describe the state's plan to provide T/TA to eligible entities to ensure they address unmet Organizational Standards. [Narrative, 2500 characters]

*Any eligible entity with unmet Organizational Standards is required to have a Technical Assistance Plan in place. The plan has prescribed timelines for having a task initiated, in progress and completed and it is monitored regularly by the assigned Specialist. Any eligible entity with a TAP receives training and technical assistance on the specific unmet Organizational Standard. This T/TA may include regularly scheduled teleconferences with the assigned Specialist as well as site visits.*

**8.3. Training and Technical Assistance Organizations:** Indicate the types of organizations through which the state intends to provide training and/or technical assistance as described in Item 8.1, and briefly describe their involvement. (Check all that apply.)  
**[Check all that applies and narrative where applicable]**

- ☐ All T/TA is conducted by the state
- ☐ CSBG eligible entities (if checked, provide the expected number of CSBG eligible entities to receive funds) [\[Numeric response, 0 – 100\]](#) [Click or tap here to enter text.](#)
- ☐ Other community-based organizations
- ☒ State Community Action Association
- ☒ Regional CSBG technical assistance provider(s)
- ☒ National technical assistance provider(s)
- ☒ Individual consultant(s)
- ☒ Tribes and Tribal Organizations
- ☐ Other [\[Narrative, 1000 characters\]](#)

*The State Community Action Association holds 1-2 annual conferences per year in which T/TA is provided on a variety of topics, such as CSBG Reporting, Monitoring, LIHEAP, Head Start, WIOA/CSBG Collaboration, Board Governance, and more. National TA providers such as NCAP often provide training at these conferences. The State Office has been collaborating with the CSBG Tribal Office (Intertribal Council of Louisiana) and they have provided T/TA at recent convenings of Louisiana CAAs.*

**8.4. CSBG-Funded T/TA Performance Management Adjustment:** Describe adjustments the state made to the training and technical assistance plan under this State Plan as compared to past plans. Any adjustment should be based on the state's analysis of past performance, and should consider feedback from eligible entities, OCS, and other sources, such as the public hearing. If the state is not making any adjustments, provide further detail. [\[Narrative, 2500 Characters\]](#)

**Note:** This information is associated with State Accountability Measures 3Sd and may pre-populate the state's annual report form.

*The State will offer more targeted training and technical assistance on Strategic Planning as this was an area of weakness identified in the Organizational Standards assessments completed most recently.*

## SECTION 9: State Linkages and Communication

**Note:** This section describes activities that the state may support with CSBG remainder/discretionary funds, described under Section 675C(b)(1) of the CSBG Act. The state may indicate planned use of remainder/discretionary funds for linkage/communication activities in Section 7, State Use of Funds, items 7.9(b) and (c).

- 9.1. State Linkages and Coordination at the State Level:** Describe the linkages and coordination at the state level that the state intends to create or maintain to ensure increased access to CSBG services to low-income people and communities under this State Plan and avoid duplication of services (as required by the assurance under Section 676(b)(5)). Describe additional information as needed. [\[Check all that apply from the list below and provide a Narrative, 4000 characters\]](#)

**Note:** This response will link to the corresponding CSBG assurance, Item 14.5. In addition, this information is associated with State Accountability Measure 7Sa and pre-populates the Annual Report, Module 1, Item G.1.

- ☒ State Low Income Home Energy Assistance Program (LIHEAP) office
- ☒ State Weatherization office
- ☒ State Temporary Assistance for Needy Families (TANF) office
- ☐ Head Start State Collaboration offices
- ☒ State public health office
- ☒ State education department
- ☒ State Workforce Innovation and Opportunity Act (WIOA) agency
- ☐ State budget office
- ☒ Supplemental Nutrition Assistance Program (SNAP)
- ☒ State child welfare office
- ☒ State housing office
- ☐ Other

*The State will attend continue to attend regular meetings with the Recovery Support Functions Health and Social Services Group (RSF3) to ensure increased access to CSBG services and to avoid a duplication of services. State RSFs and stakeholders organize and request assistance and/or contribute resources and solutions. Together, the State RSFs facilitate local stakeholder recovery participation and promote intergovernmental and public-private partnerships. The State Office will continue to attend monthly Zoom calls with all Local Workforce Development Boards (LWDB) in the State to stay abreast of the activities and services being provided at the local level and identify opportunities for partnerships with the eligible entities in those areas. The State will also continue to hold joint trainings/roundtable discussions with LWDBs to support CSBG/WIOA collaborations and partnerships. In late FY22, the State initiated a relationship with the Intertribal Council of Louisiana (ITCLA)/Institute for Indian Development (IID). The State will continue to develop this relationship by including ITCLA/IID on all network wide calls and in all training opportunities. The*

*State will continue to have a strong relationship with the state LIHEAP and Weatherization offices, within the Louisiana Housing Corporation (LHC). LHC is a presenter at State Association Conferences and provides updated trainings to the eligible entities as needed. The State initiated conversations with LHC in June 2022 about ways to share data to reduce the administrative burden on the eligible entities who are providing LIHEAP services. These conversations led to more targeted efforts by the software developers for the LIHEAP mandated system and the ELogic Genesis system to build connections between the two systems. The State built funding into the ELogic Genesis contract to support these efforts, which will be continued into FY2024.*

*The State Office initiated a partnership with the Louisiana Department of Health to offer vaccination clinics at Expungement clinics supported by CSBG Discretionary funds.*

- 9.2. State Linkages and Coordination at the Local Level:** Describe how the state is encouraging partnerships and collaborations at the state level with public and private sector organizations, to assure the effective delivery and coordination of CSBG services to transform low-income communities and avoid duplication of services (as required by assurances under Section 676(b)(5) – (6)). [\[Narrative, 4000 characters\]](#)

**Note:** This response will link to the corresponding CSBG assurances, Items 14.5 and 14.6, and pre-populates the Annual Report, Module 1, Item G.2.

*At the local level, the State will continue to create and foster linkages and coordination between Eligible entities and the Local Workforce Development Boards to ensure effective delivery of and coordination of CSBG services. The State will continue to identify funding opportunities and other resources available at the local level through the Infrastructure Investment and Jobs Act (IIJA) and to ensure Eligible entities are involved in efforts to connect low income individuals and families to these resources.*

*Many eligible entities and LWBDs utilize the Unite Us/211 system to make referrals to other community resources.*

**9.3. Eligible Entity Linkages and Coordination**

- 9.3a. State Assurance of Eligible Entity Linkages and Coordination:** Describe how the state will assure that eligible entities will partner and collaborate with public and private sector organizations to assure the effective delivery and coordination of CSBG services to low-income people and communities and avoid duplication of services (as required by the assurance under Section 676(b)(5)). [\[Narrative, 4000 characters\]](#)

**Note:** This response will link to the corresponding CSBG assurance, Item 14.5. and pre-populates the Annual Report, Module 1, Item G.3a.

*The State requires CSBG eligible entities to coordinate funds and services at the local level by forming partnerships with civic, educational, business, faith-based organizations, and providing a broad range of services to low-income*

*people and communities. These partnerships and their resulting collaborative efforts allow for the identification of resources within the community that meet specific needs so that services are not duplicated. This allows individuals and families to know where to go for the help they need. The annual Community Action Plan required by the State requires each eligible entity to describe its coordination efforts with other community organizations in detail to assure that said linkages are established.*

- 9.3b. State Assurance of Eligible Entity Linkages to Fill Service Gaps:** Describe how the eligible entities will develop linkages to fill identified gaps in the services, through the provision of information, referrals, case management, and follow-up consultations, according to the assurance under Section 676(b)(3)(B) of the CSBG Act. [\[Narrative, 4000 characters\]](#)

**Note:** This response will link to the corresponding CSBG assurance, Item 14.3b. and pre-populates the Annual Report, Module 1, Item G.3b.

*The State encourages eligible entities to utilize information, referrals, case management and consultations to link with other community organizations to fill identified gaps in services through contractual agreement. The annual Community Action Plan requires eligible entities to identify gaps in services and the 3-year Community Needs Assessment insures that the challenges low income individuals and families actually face are adequately addressed.*

- 9.4. Workforce Innovation and Opportunity Act (WIOA) Employment and Training Activities:** Does the state intend to include CSBG employment and training activities as part of a WIOA Combined State Plan, as allowed under the Workforce Innovation and Opportunity Act (as required by the assurance under Section 676(b)(5) of the CSBG Act)?  
☐ Yes ☐ No [Choose an item.](#)

**Note:** This response will link to the corresponding CSBG assurance, Item 14.5.

- 9.4a. WIOA Combined Plan:** If the state selected yes under Item 9.4, provide the CSBG-specific information included in the state's WIOA Combined Plan. This information includes a description of how the state and the eligible entities will coordinate the provision of employment and training activities through statewide and local WIOA workforce development systems. This information may also include examples of innovative employment and training programs and activities conducted by community action agencies or other neighborhood-based organizations as part of a community antipoverty strategy. [\[Narrative, 4000 characters\]](#)

Louisiana's Combined State Plan includes the six core programs funded under WIOA (Adult, Dislocated Worker, Youth, Wagner-Peyser, Adult Education and Vocational Rehabilitation), as well as the following partner programs:

- Unemployment Insurance

- Temporary Assistance for Needy Families Program (TANF)
- Employment and training programs under the Supplemental Nutrition Assistance Program (SNAP)
- Trade Adjustment Assistance for Workers Programs
- Jobs for Veterans State Grants Program
- Community Services Block Grant

*Within the Louisiana Workforce Commission's Office of Workforce Development, the CSBG Unit's mission is to be the lead poverty reduction agency in the State, administer CSBG funds, provide guidance to Community Action Agencies, ensure that resources are utilized to help local, public and non-profit agencies assist low-income individuals and families achieve self-sufficiency, revitalize communities, ensure CSBG funds are expended in accordance with applicable rules and regulations, ensure that CSBG services are accessible through and coordinated with the One-Stop Career Centers, as specified in the Workforce Innovation and Opportunity Act (WIOA), and encourage innovative initiatives to combat the effects of poverty throughout the State of Louisiana.*

*Community Action Agencies align services to ensure that customers receive the best available employment and training resources, as well as employment supports, to achieve their employment and self-sufficiency goals. As partners in the workforce continuum of services, these services target vulnerable populations and other least job-ready customers by focusing on reduction of barriers to employment.*

**9.4b. Employment and Training Activities:** If the state selected no under Item 9.4, describe the coordination of employment and training activities, as defined in Section 3 of WIOA, by the state and by eligible entities providing activities through the WIOA system. [\[Narrative, 4000 characters\]](#)

**9.5. Emergency Energy Crisis Intervention:** Describe how the State will assure, where appropriate, that emergency energy crisis intervention programs under title XXVI (relating to Low Income Home Energy Assistance Program) are conducted in each community in the State, as required by the assurance under Section 676(b)(6) of the CSBG Act). [\[Narrative, 4000 characters\]](#)

**Note:** This response will link to the corresponding CSBG assurance, Item 14.6.

*The Louisiana Workforce Commission actively partners with the Louisiana Housing Corporation, the entity which administers the Low-Income Energy Assistance Program (LIHEAP) and the Weatherization Assistance Program (WAP) in the State of Louisiana. A majority of CSBG eligible entities are also recipients of the LIHEAP grant. The entities are required to submit a Community Action Plan and Quarterly Activity Plan at the beginning of the federal fiscal year which describes how they will provide emergency*



*energy crisis services to low-income families and estimates the number of families they expect to assist in this regard.*

- 9.6. Faith-based Organizations, Charitable Groups, and Community Organizations:** Describe how the state will assure local eligible entities will coordinate and form partnerships with other organizations, including faith-based organizations, charitable groups, and community organizations, according to the state's assurance under Section 676(b)(9) of the CSBG Act. [\[Narrative, 4000 characters\]](#)

**Note:** this response will link to the corresponding assurance, Item 14.9

*As detailed in 9.3a, the State requires eligible entities to coordinate and form partnerships with other organizations, including faith-based organizations, charitable groups and community organizations by listing said partnerships in their annual Community Action Plans. Additionally, the State monitors the existence of these required partnerships via desk reviews and onsite visits.*

- 9.7. Coordination of Eligible Entity 90 Percent Funds with Public/Private Resources:** Describe how the eligible entities will coordinate CSBG 90 percent funds with other public and private resources, according to the assurance under Section 676(b)(3)(C) of the CSBG Act. [\[Narrative, 4000 characters\]](#)

**Note:** This response will link to the corresponding assurance, Item 14.3c.

*The annual Community Action Plan eligible entities must submit describes how they coordinate CSBG funds with other public and private resources in their communities. Many of the entities obtain either in-kind donations or funds from private and public organizations and/or local government to support the programs they administer. These in-kind donations can include office space, IT support, transportation, etc.*

- 9.8. Coordination among Eligible Entities and State Community Action Association:** Describe state activities for supporting coordination among the eligible entities and the State Community Action Association. [\[Narrative, 4000 characters\]](#)

**Note:** This information will pre-populate the Annual Report, Module 1, Item G.5.

The State communicates with the State Community Action Association (ACAP) on a regular basis via a standing monthly meeting, conference calls and email correspondence to discuss ways that the State can better meet the needs of eligible entities. The State Association is invited to participate in bimonthly Field Leadership Advisory Team meetings and Small/Medium/Large Community Action Agency Chats for input on use of discretionary funds, training and technical assistance and other issues. The State partners with the State Association in hosting two training conferences each calendar year for eligible entities. The State publishes a quarterly newsletter which contains upcoming events sponsored by the State, the Intertribal Council and tribal communities, the State Association and eligible entities.

- 9.9. Communication with Eligible Entities and the State Community Action Association:** In the table below, detail how the state intends to communicate with eligible entities, the

State Community Action Association, and other partners identified under this State Plan on the topics listed below.

For any topic that is not applicable, select *Not Applicable* under Expected Frequency.

#### Communication Plan

Subject Matter	Expected Frequency	Format	Brief Description of "Other"
Upcoming Public and/or Legislative Hearings	As needed	Public Notice	Email, conference calls
State Plan Development	As needed	Email	Conference calls
Organizational Standards Progress	Quarterly	Email	Conference calls, letters
State Accountability Measures Progress	Annually	Email	
Community Needs Assessments/Community Action Plans	As needed	Email	1:1, Conference Calls, Letters
State Monitoring Plans and Policies	As needed	Email	Conference calls, use of Constant Contact platform
Training and Technical Assistance (T/TA) Plans	As needed	Letters/Hard Copies	Conference calls, emails, 1:1
ROMA and Performance Management	As needed	Email	
State Interagency Coordination	As needed	Email	
CSBG Legislative/Programmatic Updates	As needed	Email	Use of Constant Contact Platform
Tripartite Board Requirements	Quarterly	Email	Elogic Genesis
Program Updates	Monthly	Other	Zoom meetings, use of Constant Contact Platform, newsletter

**Note:** ADD-A-ROW FUNCTION – States can add rows for each additional communication topic. To add a row within this form: highlight the row and then select the plus sign (+) at the end of the row. Brief Description of "Other" allows for 250 characters.

**9.10. Feedback to Eligible Entities and State Community Action Association:** Describe how the state will provide information to local entities and State Community Action Associations regarding performance on State Accountability Measures. [Narrative, 4000 characters]

**Note:** This information is associated with State Accountability Measure 5S(iii) and will pre-populate the Annual Report, Module 1, Item G.6.

**GUIDANCE:** Under this question, include how the state will provide information to local entities and state associations within 60 days of receiving feedback from OCS.

**Within 30 days of receiving information from OCS on State Accountability Measures, the State will provide all eligible entities and the State Association with the results via**



*email. Thereafter, the State and the State Association will confer to discuss the results in detail and will develop a collaborative plan to address any areas of concern.*

- 9.11. Communication Plan Performance Management Adjustment:** Describe any adjustments the state made to the Communication Plan in this State Plan as compared to past plans. Any adjustment should be based on the state's analysis of past performance, and should consider feedback from eligible entities, OCS, and other sources, such as the public hearing. If the state is not making any adjustments, provide further detail. [\[Narrative, 4000 characters\]](#)

**Note:** This information is associated with State Accountability Measures 7Sb; this response may pre-populate the state's annual report form.

*The State has adopted Constant Contact as a tool for communicating with the Eligible entities about various program updates, training opportunities, and newsletters from the State Office. Survey Monkey and Slido are used to gather feedback via survey and live polling tools. The State is currently piloting a monthly newsletter format (sent via Constant Contact) as a way to more frequently update the Eligible entities.*

## SECTION 10: Monitoring, Corrective Action, and Fiscal Controls

### Monitoring of Eligible Entities (Section 678B(a) of the CSBG Act)

- 10.1.** Specify the proposed schedule for planned monitoring visits including: full on-site reviews; on- site reviews of newly designated entities; follow-up reviews – including return visits to entities that failed to meet state goals, standards, and requirements; and other reviews as appropriate.

This is an estimated schedule to assist states in planning. States may indicate “no review” for entities the state does not plan to monitor in the performance period.

**Note:** This information is associated with State Accountability Measure 4Sa(i); this response pre-populates the Annual Report, Module 1, Table H.1.

**GUIDANCE:** Monitoring that is specific to organizational standards should be referenced within Section 6, Item 6.3a.

#### Monitoring Schedule – Year One

CSBG Eligible Entity	Monitoring Type	Review Type	Target Quarter	Start Date of Last Full Onsite Review	End Date of Last Full Onsite Review	Brief Description of “Other”
Allen Action Agency, Inc	Full On-Site	Review	FY1 Q1	Click or tap to enter a date.	Click or tap to enter a date.	
ASSIST Agency, Inc	Full On-Site	Review	FY1 Q1	Click or tap to enter a date.	Click or tap to enter a date.	
Assumption Parish Police Jury	Full On-Site	Review	FY1 Q1	Click or tap to enter a date.	Click or tap to enter a date.	
Avoyelles Progress Action Committee	Full On-Site	Review	FY1 Q2	Click or tap to enter a date.	Click or tap to enter a date.	
Beauregard CAA, Inc	Full On-Site	Review	FY1 Q2	Click or tap to enter a date.	Click or tap to enter a date.	
Calcasieu Parish Police Jury	Full On-Site	Review	FY1 Q2	Click or tap to enter a date.	Click or tap to enter a date.	
Cameron CAA, Inc	Full On-Site	Review	FY1 Q2	Click or tap to enter a date.	Click or tap to enter a date.	

CSBG Eligible Entity	Monitoring Type	Review Type	Target Quarter	Start Date of Last Full Onsite Review	End Date of Last Full Onsite Review	Brief Description of "Other"
CENLA Community Action Committee, Inc	Full On-Site	Review	FY1 Q3	Click or tap to enter a date.	Click or tap to enter a date.	
City of Baton Rouge Division of Human Development and Services	Full On-Site	Review	FY1 Q3	Click or tap to enter a date.	Click or tap to enter a date.	
Vernon Community Action Council	Full On-Site	Review	FY1 Q3	Click or tap to enter a date.	Click or tap to enter a date.	
St. Landry Community Action Agency	Full On-Site	Review	FY1 Q3	Click or tap to enter a date.	Click or tap to enter a date.	
Lafourche Parish Council Office of Community Action	Full On-Site	Review	FY1 Q4	Click or tap to enter a date.	Click or tap to enter a date.	
Plaquemines Parish CAA	Full On-Site	Review	FY1 Q4	Click or tap to enter a date.	Click or tap to enter a date.	
Pointe Coupee Community Advancement, Inc	Full On-Site	Review	FY1 Q4	Click or tap to enter a date.	Click or tap to enter a date.	

**NOTE: WITHIN OLDC, the add-a-row function will not be available on this table and the first column is read-only. To add a row within this form: highlight the row and then select the plus sign (+) at the end of the row. A Brief Description of Other allows for 500 characters.**

#### Monitoring Schedule – Year Two

CSBG Eligible Entity	Monitoring Type	Review Type	Target Quarter	Start Date of Last Full Onsite Review	End Date of Last Full Onsite Review	Brief Description of "Other"
West Baton Rouge Parish Council	Full On-Site	Review	FY2 Q1	Click or tap to enter a date.	Click or tap to enter a date.	

<b>CSBG Eligible Entity</b>	<b>Monitoring Type</b>	<b>Review Type</b>	<b>Target Quarter</b>	<b>Start Date of Last Full Onsite Review</b>	<b>End Date of Last Full Onsite Review</b>	<b>Brief Description of "Other"</b>
St. Bernard Parish Government	Full On-Site	Review	FY2 Q1	Click or tap to enter a date.	Click or tap to enter a date.	
St. Charles Parish Department of Community Services	Full On-Site	Review	FY2 Q1	Click or tap to enter a date.	Click or tap to enter a date.	
SMILE Community Action Agency, Inc	Full On-Site	Review	FY2 Q1	Click or tap to enter a date.	Click or tap to enter a date.	
St. Tammany Parish Dept of Community Action	Full On-Site	Review	FY2 Q2	Click or tap to enter a date.	Click or tap to enter a date.	
Terrebonne Parish Consolidated Government	Full On-Site	Review	FY2 Q2	Click or tap to enter a date.	Click or tap to enter a date.	
Total Community Action, Inc.	Full On-Site	Review	FY2 Q2	Click or tap to enter a date.	Click or tap to enter a date.	
St. James Parish Government Dept of Human Resources	Full On-Site	Review	FY2 Q2	Click or tap to enter a date.	Click or tap to enter a date.	
Evangeline CAA, Inc	Full On-Site	Review	FY2 Q3	Click or tap to enter a date.	Click or tap to enter a date.	
Iberville Parish Council/OSS	Full On-Site	Review	FY2 Q3	Click or tap to enter a date.	Click or tap to enter a date.	
St. John Department of	Full On-Site	Review	FY2 Q3	Click or tap to enter a date.	Click or tap to enter a date.	

CSBG Eligible Entity	Monitoring Type	Review Type	Target Quarter	Start Date of Last Full Onsite Review	End Date of Last Full Onsite Review	Brief Description of "Other"
Community Services						
St. Mary CAA, Inc	Full On-Site	Review	FY2 Q3	Click or tap to enter a date.	Click or tap to enter a date.	
Quad Area CAA, Inc	Full On-Site	Review	FY2 Q4	Click or tap to enter a date.	Click or tap to enter a date.	
Jefferson Community Action Programs	Full On-Site	Review	FY2 Q4	Click or tap to enter a date.	Click or tap to enter a date.	

**NOTE: WITHIN OLDC, the add-a-row function will not be available on this table and the first column is read-only. To add a row within this form: highlight the row and then select the plus sign (+) at the end of the row. A Brief Description of Other allows for 500 characters.**

**GUIDANCE:** Comprehensive monitoring includes a review of program, administrative, fiscal and organizational standards.

If you are monitoring an entity as a follow up to an issue with another program, this can be listed under "Other."

When providing the date of your last full onsite review – this could be for any type of review that took place **onsite**. No dates for desk reviews should be provided here.

**10.2. Monitoring Policies:** Provide a copy of state monitoring policies and procedures by attaching and/or providing a hyperlink. [\[Attach a document or add a link\]](#)

*\*Monitoring policy will be uploaded here.*

**10.3. Initial Monitoring Reports:** According to the state's procedures, by how many calendar days must the state disseminate initial monitoring reports to local entities?

[\[Insert a number from 1 – 100\]](#)

60

**Note:** This item is associated with State Accountability Measure 4Sa(ii) and may pre-populate the state's annual report form.

### Corrective Action, Termination and Reduction of Funding and Assurance Requirements (Section 678C of the Act)

**10.4. Closing Findings:** Are state procedures for addressing eligible entity findings/deficiencies and the documenting closure of findings included in the state monitoring policies attached under 10.2?

☐ Yes ☐ No

Yes

**10.4a. Closing Findings Procedures:** If no, describe state procedures for addressing eligible entity findings/deficiencies and the documenting closure of findings.  
[Narrative, 2500 characters]

**10.5. Quality Improvement Plans (QIPs):** Provide the number of eligible entities currently on QIPs, if applicable. [Numeric Response, 0 – 100] 0

**Note:** The QIP information is associated with State Accountability Measures 4Sc.

**10.6. Reporting of QIPs:** Describe the state’s process for reporting eligible entities on QIPs to the Office of Community Services within 30 calendar days of the state approving a QIP?  
[Narrative, 4000 characters]

**Note:** This item is associated with State Accountability Measure 4Sa(iii)).

*The State will report eligible entities on QIPs to OCS within 30 days of State approval via email and telephone call to our assigned Specialist.*

**10.7. Assurance on Funding Reduction or Termination:** The state assures that “any eligible entity that received CSBG funding the previous fiscal year will not have its funding terminated or reduced below the proportional share of funding the entity received in the previous fiscal year unless, after providing notice and an opportunity for a hearing on the record, the state determines that cause exists for such termination or such reduction, subject to review by the Secretary as provided in Section 678C(b)” per Section 676(b)(8) of the CSBG Act. ☐ Yes ☐ No Yes

**Note:** This response will link with the corresponding assurance under item 14.8.

#### **Policies on Eligible Entity Designation, De-designation, and Re-designation**

**10.8. Eligible Entity Designation:** Does the state CSBG statute and/or regulations provide for the designation of new eligible entities? ☐ Yes ☐ No Yes

**10.8a. New Designation Citation:** If yes, provide the citation(s) of the law and/or regulation. [Narrative, 2500 Characters]

Louisiana Administrative Code, Title 40, Part XVII Community Services Block Grant

<https://www.doa.la.gov/media/2wxg4qhw/40.pdf>

**10.8b. New Designation Procedures:** If no, describe state procedures for the designation of new eligible entities and how the procedures were made available to eligible entities and the public. [Narrative, 4000 characters]

**10.9. Eligible Entity Termination:** Does the state CSBG statute and/or regulations provide for termination of eligible entities? ☐ Yes ☐ No No

**10.9a. Termination Citation:** If yes, provide the citation(s) of the law and/or regulation.  
[Narrative, 2500 characters]

**10.9b. Termination Procedures:** If no, describe state procedures for termination of new eligible entities and how the procedures were made available to eligible entities and the public. [Narrative, 4000 characters]

*Although there is no statute or regulation providing for termination of eligible entities, the State Lead Agency does have a policy which contains provisions for termination. This policy was drafted with input from the Field Leadership Advisory Team and was distributed to all eligible entities via email. The policy is attached.*

**10.10. Eligible Entity Re-Designation:** Do the state CSBG statute and/or regulations provide for re-designation of an existing eligible entity? ☐ Yes ☐ No ☐ No

**10.10a. Re-Designation Citation:** If yes, provide the citation(s) of the law and/or regulation. [Narrative, 2500 Characters]

**10.10b. Re-Designation Procedures:** If no, describe state procedures for re-designation of existing eligible entities and how the procedures were made available to eligible entities and the public. [Narrative, 4000 characters]

*The State Lead Agency does have a policy on re-designation which was drafted with input from the Field Leadership Advisory Team and was distributed to all eligible entities via email. The policy is attached.*

**GUIDANCE:** Re-designation implies that an entity that is already designated/receiving funds is now performing the duties and receiving funds that were previously designated to another entity, in addition to the funding that they are already receiving. This is different from a merger as an entity is not absorbing another entity. This re-designation may be permanent (**requires a formula redistribution**) or temporary while the state has officially designated a new entity and has completed a formula redistribution. See CSBG Act 676A, *Designation and Redesignation...*, for more information.

#### **Fiscal Controls and Audits and Cooperation Assurance**

**10.11. Fiscal Controls and Accounting:** Describe how the state's fiscal controls and accounting procedures will a) permit preparation of the SF-425 Federal fiscal reports (FFR) and b) permit the tracing of expenditures adequate to ensure funds have been used appropriately under the block grant, as required by Block Grant regulations applicable to CSBG at 45 CFR 96.30(a). [Narrative, 4000 characters]

*(a) The eligible entity's general ledger is the source for the SF-425 Federal Fiscal Reports. The reports are prepared by State accountants and approved by management prior to submission. Reports are prepared according to program rules and regulations.*

*(b) All expenditures are recorded in the State's accounting system. Indexes, grant numbers and fund numbers allow for identification of charges to a specific grant and cost categories. Procedures are in place to ensure compliance with Block Grant regulations and federal guidelines. Independent annual audits and single audits are performed for the State.*

*All drawdowns by eligible entities must be consistent with the most recently approved budget. Drawdowns are then processed by State accountants. Back-up documentation to support drawdowns is reviewed during monitoring.*

- 10.12. Single Audit Management Decisions:** Describe state procedures for issuing management decisions for eligible entity single audits, as required by Block Grant regulations applicable to CSBG at 45 CFR 75.521. [\[Narrative, 4000 characters\]](#)

**Note:** This information is associated with State Accountability Measure 4Sd.

*Upon receipt of the Single Audit, a review is completed to determine if the packet submitted is complete and all opinions are provided. If the audit contains findings, it is reviewed and discussed by management and staff to determine the appropriate steps to ensure the eligible entity corrects the issues identified in the audit report or management letter.*

*The State will issue correspondence to the eligible entity, identifying that corrective action measures must be performed and requiring that support documentation be provided. The eligible entity will be given a timeframe to respond to the correspondence and to complete the corrective action. At a maximum, the eligible entity must correct all identified issues within six (6) months of the Single Audit being submitted to the Federal Clearing House.*

- 10.13. Assurance on Federal Investigations:** The state will “permit and cooperate with Federal investigations undertaken in accordance with Section 678D” of the CSBG Act, as required by the assurance under Section 676(b)(7) of the CSBG Act.

☐ Yes ☐ No [Choose an item.](#)

**Note:** This response will link with the corresponding assurance, Item 14.7

- 10.13a. Federal Investigations Policies:** Are state procedures for permitting and cooperating with federal investigations included in the state monitoring policies attached under 10.2?

☐ Yes ☐ No [Yes](#)

- 10.14. Monitoring Procedures Performance Management Adjustment:** Describe any adjustments the state made to monitoring procedures in this State Plan as compared to past plans? Any adjustment should be based on the state’s analysis of past performance, and should consider feedback from eligible entities, OCS, and other sources, such as the public hearing. If the state is not making any adjustments, provide further detail. [\[Narrative, 2500 Characters\]](#)

**Note:** This item is associated with State Accountability Measure 4Sb and may pre-populate the state’s annual report form.



*In FY23, the State CSBG Team made collaborative efforts to streamline the monitoring process by working closely with the Equal Opportunity and Compliance Division (EOCD) and the Compliance and Monitoring Unit (CAMU) within LWC to eliminate duplication of effort and promote sharing of information across teams. EOCD and CAMU were granted access to the agencies' organizational standards compliance documentation, as well as drawdown requests and expenditure reports in the ELogic Genesis software. This allowed all parties conducting monitoring activities to review documentation already provided by the CAAs and reduce administrative burden on the CAAs during the monitoring process. Monitoring tools were updated and edited. The CSBG Team reviewed available risk assessment tools and will be conducting risk assessments on all 42 CAAs.*

## SECTION 11: Eligible Entity Tripartite Board

**11.1. Tripartite Board Verification:** Verify which of the following measures are taken to ensure that the state verifies CSBG eligible entities are meeting Tripartite Board requirements under Section 676B(a)(2) of the CSBG Act. [\[Check all that applies and narrative where applicable\]](#)

- ☐ Attend Board meetings
- ☒ Organizational Standards Assessment
- ☒ Monitoring
- ☒ Review copies of Board meeting minutes
- ☒ Track Board vacancies/composition
- ☐ Other [\[Narrative, 2500 characters\]](#)

**11.2. Tripartite Board Updates:** Provide how often the state requires eligible entities (which are not on TAPs or QIPs) to provide updates regarding their Tripartite Boards. This includes but is not limited to copies of meeting minutes, vacancy alerts, changes to bylaws, low-income member selection process, etc. [\[Select one and provide a narrative where applicable\]](#)

- ☐ Annually
- ☐ Semiannually
- ☐ Quarterly
- ☐ Monthly
- ☒ As It Occurs
- ☐ Other [\[Narrative, 2500 characters\]](#)

*Eligible entities are to provide updates to their Tripartite Boards as changes occur and are ratified by the Board. The ELogic Genesis system has a Tripartite Board module in which CAAs enter their Board member information. The system automatically alerts CAA staff and the State specialist when Board member terms are expiring to allow for better tracking of vacancies.*

**11.3. Tripartite Board Representation Assurance:** Describe how the states will verify that eligible entities have policies and procedures by which individuals or organizations can petition for adequate representation on an eligible entity's Tripartite Board as required by the assurance under Section 676(b)(10) of the CSBG Act. [\[Narrative, 2500 Characters\]](#)

**Note:** This response will link with the corresponding assurance, Item 14.10.

*The State requires each eligible entity to have a policy for individuals and organizations to petition for adequate representation on the Tripartite Board if it is deemed that such representation does not exist. The eligible entity must define its policy in writing and the policy must be available for review. Review of this policy is part of the programmatic monitoring tool.*

**11.4. Tripartite Board Alternative Representation:** Does the state permit public eligible entities to use, as an alternative to a Tripartite Board, “another mechanism specified by the state to assure decision-making and participation by low-income individuals in the development, planning, implementation, and evaluation of programs” as allowed under Section 676B(b)(2) of the CSBG Act? ☐ Yes ☐ No Yes

**11.4a.** If yes, describe the mechanism used by public eligible entities as an alternative to a Tripartite Board. [\[Narrative, 2500 Characters\]](#)

*Title 40, Part XVII, Chapter 7, Sec. 701.D.2 allows for "another mechanism specified by the grantor to assure low-income citizens' participation in the planning, administration and evaluation of projects for which such organization has been funded."*

## SECTION 12: Individual and Community Income Eligibility Requirements

**12.1. Required Income Eligibility:** Provide the income eligibility threshold for services in the state. [\[Select one item below and numeric response where applicable.\]](#)

- ☒ 125% of the HHS poverty line
- ☐ X % of the HHS poverty line (fill in the threshold): 200% [\[Numeric response\]](#)
- ☐ Varies by eligible entity [\[Narrative, 4000 characters\]](#)

**GUIDANCE:** Under *Varies by eligible entity*, provide the threshold and the reason that it varies by entity.

**12.1a.** Describe any state policy and/or procedures for income eligibility, such as treatment of income and family/household composition. [\[Narrative, 4000 characters\]](#)

*The State's CSBG sub-award agreement requires that individuals and families be deemed eligible for assistance by meeting the current CSBG Income Guidelines of 200% of the U.S. Federal Poverty Guidelines issued by the Department of Health and Human Services in effect at the time of the application for assistance. This amount was increased to 200% in response to the COVID-19 pandemic and remains in place.*

*Eligible entities are required to establish eligibility policies and determination procedures for CSBG-funded programs and activities in which low-income individuals receive a monetary benefit. Eligible entities must maintain accurate documentation of applicant eligibility determinations. Policies on eligibility determination, as well as client file samples showing how eligibility was determined, are reviewed during the monitoring process.*

*The new Community Action Plan template implemented in FY2023 contains a Community Eligibility Requirements section in which the Eligible Entity must provide a narrative description of how they verify participant income eligibility as well as a checklist to indicate which documents are used to determine eligibility.*

**12.2. Income Eligibility for General/Short Term Services:** Describe how the state ensures eligible entities generally verify income eligibility for those services with limited intake procedures (where individual income verification is not possible or practical). An example of these services is emergency food assistance. [\[Narrative, 4000 characters\]](#)

*When intake procedures are limited, due to the declaration of a Federal or State disaster, eligible entities can proceed to provide services with additional flexibilities per IM 154. The new Community Action Plan template implemented in FY2023 requires CAAs to answer the following question: "Describe how your agency verifies participant income eligibility for services with limited in-take procedures (where individual income verification is not possible or practical; an example of these services is emergency food assistance)." The State provides training and technical assistance*

*on this issue as needed and shares resources from the national partners, such as CAPLAW's Guide to Client Eligibility with the network.*

- 12.3. Community-targeted Services:** Describe how the state ensures eligible entities' services target and benefit low-income communities for those services that provide a community-wide benefit (e.g., development of community assets/facilities, building partnerships with other organizations). [\[Narrative, 4000 characters\]](#)

*Eligible entities are required to describe in their Community Action Plan their partnerships with public and private sector entities and how these partnerships directly benefit low income communities. Recently, the Department of Energy's Justice to the People Roadshow came to Lake Charles, Louisiana. The State met with DOE staff and connected them to the eligible entity in Lake Charles, who provided space for the event and ensured low income communities were at the table to discuss their needs and the potential benefits to the community of IJA funding.*

## SECTION 13: Results Oriented Management and Accountability (ROMA) System

- 13.1. Performance Measurement System:** Identify the performance measurement system that the state and all eligible entities use, as required by Section 678E(a) of the CSBG Act and the assurance under Section 676(b)(12) of the CSBG Act. [\[Select one\]](#)

**Note:** This response will also link to the corresponding assurance, Item 14.12. and will pre-populate the Annual Report, Module 1, Item I.1.

- ☐ The Results Oriented Management and Accountability (ROMA) System
- ☐ Another performance management system that meets the requirements of Section 678E(b) of the CSBG Act
- ☐ An alternative system for measuring performance and results

- 13.1a. ROMA Description:** If ROMA was chosen in Item 13.1, describe the state's written policies, procedures, or guidance documents on ROMA. [\[Narrative, 4000 characters\]](#)

*The State has incorporated ROMA principles in the areas of reporting, community action plans, strategic planning, community needs assessments, goal/target setting, case management and Board trainings. Entities report quarterly on outcomes for family, agency and community goals identified in their community action plan. These reports are then used to evaluate entity performance.*

*The State began in 2021 the process of implementing ELogic Genesis, a statewide data collection and reporting system that is built on ROMA principles. The system allows for all eligible entities to enter client level demographic, service, and outcome data. Eligible entities must complete a primary intake assessment and re-assessments to show movement towards outcomes. The system has robust reporting capabilities that allow data to be viewed and analyzed at the local and state level to gain a better understanding of statewide needs and whether the programs and services implemented by eligible entities are meeting those needs. Use of the ELogic Genesis system is mandated for all eligible entities and full implementation is ongoing.*

*In 2019, the State hired a consultant to provide targeted training and technical assistance to the CSBG network on the Community Needs Assessment process. This consultant created a Community Needs Assessment Toolkit that has been used as a training resource.*

*All State staff are either certified as ROMA Implementers or in the process of obtaining this certification.*

*The monitoring tool utilized by the State contains questions about whether the eligible entity staff has had ROMA training, and the Organizational Standards assessments require that eligible entities show evidence of how the ROMA cycle was incorporated into their planning and reporting processes.*

**13.1b. Alternative System Description:** If an alternative system was chosen in Item 13.1, describe the system the state will use for performance measurement. [\[Narrative, 4000 characters\]](#)

**13.2. Outcome Measures:** Indicate and describe the outcome measures the state will use to measure eligible entity performance in promoting self-sufficiency, family stability, and community revitalization, as required under Section 676(b)(12) of the CSBG Act. [\[Select one and provide a narrative, 4000 characters\]](#)

**Note:** This response will also link to the corresponding assurance, Item 14.12.

- ☒ CSBG National Performance Indicators (NPIs)
- ☐ NPIs and others
- ☐ Others

*The State utilizes the CSBG National Performance Indicators (NPIs) as a framework for the eligible entities to report client outcomes. The ELogic Genesis system allows eligible entities to enter client demographic, service, and outcome data in one place and generate a Module 4 report that will show client outcomes for each NPI. Client Diagnostic Reports are run quarterly to show any missing or incomplete data and are used as a data quality improvement tool for the eligible entities.*

**13.3. Eligible Entity Support:** Describe how the state supports the eligible entities in using ROMA or an alternative performance management system. [\[Narrative, 4000 characters\]](#)

**Note:** The activities described under Item 13.3 may include activities listed in “Section 8: Training and Technical Assistance.” If so, mention briefly, and/or cross-reference as needed. This response will also link to the corresponding assurance, Item 14.12.

There are 13 Nationally Certified ROMA Trainers (NCRT) and 5 Nationally Certified ROMA Implementers (NCRI) throughout the State. The State has two NCRI and will gain three additional ones as the CSBG Specialists are undergoing training currently.

**13.4. Eligible Entity Use of Data:** Describe how the state intends to validate that the eligible entities are using data to improve service delivery. [\[Narrative, 4000 characters\]](#)

**Note:** This response will also link to the corresponding assurance, Item 14.12.

*The State requires each CSBG eligible entity to establish goals and objectives for service delivery in the Community Action Plan submitted at the beginning of the fiscal year. This Community Action Plan is now embedded into ELogic Genesis. Thereafter, eligible entities or the State can run Quarterly Activity Reports in ELogic Genesis which includes targeted outcomes and results for the specific quarter. The State reviews and compares target numbers of goals and objectives against actual program results and communicates with eligible entities when those numbers indicate areas of concern. At the end of the program year, the State conducts an evaluation of contract performance measures for each eligible entity. In the past, the Eligible entities were given a "satisfactory" score if their overall performance target accuracy fell within*

*then 80%-120% range. Currently, performance measurement is shifting to be focused more on how the eligible entities use performance data from one period to inform service planning and delivery in the next period. The new Community Action Plan template requires eligible entities to respond to the statement "Describe how your organization uses data to analyze and improve your agency's service delivery model and programmatic development" and to the question "Does your agency actively monitor data quality within CSBG and other programs? If Yes, please describe." The State will be utilizing the responses to these statements, combined with reviews of the Community Action Plans and Quarterly Activity Reports, to ensure the eligible entities are using data to improve service delivery.*

### **Community Action Plans and Needs Assessments**

- 13.5. Community Action Plan:** Describe how the state will secure a Community Action Plan from each eligible entity, as a condition of receipt of CSBG funding by each entity, as required by Section 676(b)(11) of the CSBG Act. [Narrative, 4000 characters]

**Note:** This response will link to the corresponding assurance, Item 14.11.

*Submission of an annual Community Action Plan by each eligible entity is a requirement of the State contract. The State provides eligible entities with the template for completion of the plan (now embedded into the ELogic Genesis system) and a deadline for submission prior to the start of the fiscal year to ensure that the Community Action Plan is submitted and approved before the term of the contract is set to begin.*

- 13.6. Community Needs Assessment:** Describe how the state will assure that each eligible entity includes a community needs assessment for the community served (which may be coordinated with community needs assessments conducted by other programs) in each entity's Community Action Plan, as required by Section 676(b)(11) of the CSBG Act. [Narrative, 4000 characters]

**Note:** This response will link to the corresponding assurance, Item 14.11.

*Eligible entities are required, by contract, to complete and submit a Community Needs Assessment every three (3) years. The State monitors the due dates of all community needs assessments and works with each eligible entity to ensure that quantitative and qualitative data are included in addressing identified needs.*



**SECTION 14: CSBG Programmatic Assurance and Information Narrative**  
***(Section 676(b) of the CSBG Act)***

**14.1. Use of Funds Supporting Local Activities**

***CSBG Services***

**14.1a. 676(b)(1)(A)** Describe how the state will assure “that funds made available through grant or allotment will be used –

- (A) to support activities that are designed to assist low-income families and individuals, including families and individuals receiving assistance under title IV of the Social Security Act, homeless families and individuals, migrant or seasonal farmworkers, and elderly low-income individuals and families, and a description of how such activities will enable the families and individuals--
  - (i) to remove obstacles and solve problems that block the achievement of self- sufficiency (particularly for families and individuals who are attempting to transition off a State program carried out under part A of title IV of the Social Security Act);
  - (ii) to secure and retain meaningful employment;
  - (iii) to attain an adequate education with particular attention toward improving literacy skills of the low-income families in the community, which may include family literacy initiatives;
  - (iv) to make better use of available income;
  - (v) to obtain and maintain adequate housing and a suitable living environment;
  - (vi) to obtain emergency assistance through loans, grants, or other means to meet immediate and urgent individual and family needs;
  - (vii) to achieve greater participation in the affairs of the communities involved, including the development of public and private grassroots partnerships with local law enforcement agencies, local housing authorities, private foundations, and other public and private partners to –
    - (I) document best practices based on successful grassroots intervention in urban areas, to develop methodologies for widespread replication; and
    - (II) strengthen and improve relationships with local law enforcement agencies, which may include participation in activities such as neighborhood or community policing efforts;

[Narrative, 4000 characters]

***The Community Action Plan, required annually by CSBG eligible entities, and the Quarterly Activity Reports, include proposed activities, services, and performance***

*goals which are directly tied to the Community Needs Assessment. The State reviews the plans, reports, and assessments when submitted and then monitors to ensure that activities supported are qualified uses of CSBG funds and correspond to the above noted assurances.*

### ***Needs of Youth***

**14.1b. 676(b)(1)(B)** Describe how the state will assure “that funds made available through grant or allotment will be used –

- (B) to address the needs of youth in low-income communities through youth development programs that support the primary role of the family, give priority to the prevention of youth problems and crime, and promote increased community coordination and collaboration in meeting the needs of youth, and support development and expansion of innovative community-based youth development programs that have demonstrated success in preventing or reducing youth crime, such as--
  - (i) programs for the establishment of violence-free zones that would involve youth development and intervention models (such as models involving youth mediation, youth mentoring, life skills training, job creation, and entrepreneurship programs); and
  - (ii) after-school child care programs;

[Narrative, 4000 characters]

***The eligible entities are required, by contract, to submit an annual Community Action Plan which is based on the Community Needs Assessment. If the submitted plan specifies that youth programs will be developed, the State reviews the proposed services and activities to ensure that CSBG funds are utilized to meet the performance goals set.***

### ***Coordination of Other Programs***

**14.1c. 676(b)(1)(C)** Describe how the state will assure “that funds made available through grant or allotment will be used –

- (C) to make more effective use of, and to coordinate with, other programs related to the purposes of this subtitle (including State welfare reform efforts)

[Narrative, 4000 characters]

***Within the annual Community Action Plan required under the State contract, eligible entities must specify funding coordination, coordination with WIOA programs, referrals to local child support offices and participation in social service coalitions. The State reviews the coordination efforts of the eligible entities to ensure that they are appropriate uses of CSBG funds.***

### ***State Use of Discretionary Funds***

**14.2. 676(b)(2)** Describe “how the State intends to use discretionary funds made available from the remainder of the grant or allotment described in section 675C(b) in accordance with this subtitle, including a description of how the State will support innovative community and neighborhood-based initiatives related to the purposes of this subtitle.”

**Note:** The State describes this assurance under “State Use of Funds: Remainder/Discretionary,” items 7.9 and 7.10

[No response as the state describes this assurance under 7.9 and 7.10.]

### ***Eligible Entity Service Delivery, Coordination, and Innovation***

- 14.3. 676(b)(3)** “Based on information provided by eligible entities in the State, a description of...”

#### ***Eligible Entity Service Delivery System***

- 14.3a. 676(b)(3)(A)** Describe “the service delivery system, for services provided or coordinated with funds made available through grants made under 675C(a), targeted to low-income individuals and families in communities within the State;”

[Narrative, 4000 characters]

*The annual Community Action Plan required contractually by the State, includes a description of the service delivery system, delineates parishes served, identifies facilities where services are available and details how the eligible entity coordinates funds with other organizations in the community.*

#### ***Eligible Entity Linkages – Approach to Filling Service Gaps***

- 14.3b. 676(b)(3)(B)** Describe “how linkages will be developed to fill identified gaps in the services, through the provision of information, referrals, case management, and followup consultations.”

**Note:** The state describes this assurance in the State Linkages and Communication section, item 9.3b.

[No response as the state describes this assurance under 9.3b.]

#### ***Coordination of Eligible Entity Allocation 90 Percent Funds with Public/Private Resources***

- 14.3c. 676(b)(3)(C)** Describe how funds made available through grants made under 675C(a) will be coordinated with other public and private resources.”

**Note:** The state describes this assurance in the State Linkages and Communication section, item 9.7.

[No response as the state describes this assurance under 9.7]

#### ***Eligible Entity Innovative Community and Neighborhood Initiatives, Including Fatherhood/Parental Responsibility***

- 14.3d. 676(b)(3)(D)** Describe “how the local entity will use the funds [made available under 675C(a)] to support innovative community and neighborhood-based initiatives related to the purposes of this subtitle, which may include fatherhood initiatives and other initiatives with the goal of strengthening families and encouraging parenting.”

**Note:** The description above is about eligible entity use of 90 percent funds to support these initiatives. States may also support these types of activities at the local level using state remainder/discretionary funds, allowable under Section 675C(b)(1)(F). In this State Plan, the state indicates funds allocated for these activities under item 7.9(f).

[Narrative, 4000 characters]

*Part of the annual Community Action Plan submitted by eligible entities requires a description of any innovative community and neighborhood-based initiatives that the entities may be involved in. The State intends to competitively award discretionary funds to eligible entities to support innovative programs.*

#### **Eligible Entity Emergency Food and Nutrition Services**

- 14.4. 676(b)(4)** Describe how the state will assure “that eligible entities in the State will provide, on an emergency basis, for the provision of such supplies and services, nutritious foods, and related services, as may be necessary to counteract conditions of starvation and malnutrition among low-income individuals.”

[Narrative, 4000 characters]

*The Community Action Plan submitted on an annual basis by eligible entities contains a section on how emergency supplies and services will be provided to counteract conditions of starvation and malnutrition. Most CSBG eligible entities partner with either a local food pantry or food bank to provide nutritious meals in these circumstances. If no other resources are available, CSBG funds are used to provide nutritional support for those experiencing food insecurity.*

#### **State and Eligible Entity Coordination/linkages and Workforce Innovation and Opportunity Act Employment and Training Activities**

- 14.5. 676(b)(5)** Describe how the state will assure “that the State and eligible entities in the State will coordinate, and establish linkages between, governmental and other social services programs to assure the effective delivery of such services, and [describe] how the State and the eligible entities will coordinate the provision of employment and training activities, as defined in section 3 of the Workforce Innovation and Opportunity Act, in the State and in communities with entities providing activities through statewide and local workforce development systems under such Act.”

**Note:** The state describes this assurance in Section 9, State Linkages and Communication, specifically under 9.1 – 9.4b.

[No response as the state describes this assurance under Section 9.1 – 9.4b]

#### **State Coordination/Linkages and Low-income Home Energy Assistance**

- 14.6. 676(b)(6)** Provide “an assurance that the State will ensure coordination between antipoverty programs in each community in the State, and ensure, where appropriate, that emergency energy crisis intervention programs under title XXVI (relating to low- income home energy assistance) are conducted in such community.”

**Note:** The state describes this assurance in Section 9, State Linkages and Communication section, items 9.2 and 9.5.

[No response as the state describes this assurance under 9.2 and 9.5]

#### ***Federal Investigations***

- 14.7. 676(b)(7)** Provide “an assurance that the State will permit and cooperate with Federal investigations undertaken in accordance with section 678D.”

**Note:** The state addresses this assurance in Section 10, Fiscal Controls and Monitoring under 10.13.

[No response as the state describes this assurance under 10.13]

#### ***Funding Reduction or Termination***

- 14.8. 676(b)(8)** Provide “an assurance that any eligible entity in the State that received funding in the previous fiscal year through a community services block grant made under this subtitle will not have its funding terminated under this subtitle, or reduced below the proportional share of funding the entity received in the previous fiscal year unless, after providing notice and an opportunity for a hearing on the record, the State determines that cause exists for such termination or such reduction, subject to review by the Secretary as provided in section 678C(b).”

**Note:** The state addresses this assurance in Section 10 Fiscal Controls and Monitoring under 10.7.

[No response as the state describes this assurance under 10.7]

#### ***Coordination with Faith-based Organizations, Charitable Groups, Community Organizations***

- 14.9. 676(b)(9)** Describe how the state will assure “that the State and eligible entities in the State will, to the maximum extent possible, coordinate programs with and form partnerships with other organizations serving low-income residents of the communities and members of the groups served by the State, including religious organizations, charitable groups, and community organizations.”

**Note:** The state describes this assurance in Section 9 State Linkages and Communication, under 9.6.

[No response as the state describes this assurance under 9.6]

#### ***Eligible Entity Tripartite Board Representation***

- 14.10. 676(b)(10)** Describe how “the State will require each eligible entity in the State to establish procedures under which a low-income individual, community organization, or religious organization, or representative of low-income individuals that considers its organization, or low-income individuals, to be inadequately represented on the board (or other mechanism) of the eligible entity to petition for adequate representation.”

**Note:** The state describes this assurance in Section 11 Eligible Entity Tripartite Boards, under 11.3.

[\[No response as the state describes this assurance under 11.3\]](#)

***Eligible Entity Community Action Plans and Community Needs Assessments***

- 14.11. 676(b)(11)** Provide “an assurance that the State will secure from each eligible entity in the State, as a condition to receipt of funding by the entity through a community services block grant made under this subtitle for a program, a community action plan (which shall be submitted to the Secretary, at the request of the Secretary, with the State plan) that includes a community-needs assessment for the community served, which may be coordinated with community-needs assessments conducted for other programs.”

**Note:** The state describes this assurance in Section 13 ROMA, under 13.5 and 13.6.

[\[No response as the state describes this assurance under 13.5 and 13.6\]](#)

***State and Eligible Entity Performance Measurement: ROMA or Alternate system***

- 14.12. 676(b)(12)** Provide “an assurance that the State and all eligible entities in the State will, not later than fiscal year 2001, participate in the Results Oriented Management and Accountability System, another performance measure system for which the Secretary facilitated development pursuant to section 678E(b), or an alternative system for measuring performance and results that meets the requirements of that section, and [describe] outcome measures to be used to measure eligible entity performance in promoting self-sufficiency, family stability, and community revitalization.”

**Note:** The state describes this assurance in Section 13 ROMA under 13.1 – 13.4.

[\[No response as the state describes this assurance under 13.1 – 13.4\]](#)

***Validation for CSBG Eligible Entity Programmatic Narrative Sections***

- 14.13. 676(b)(13)** Provide “information describing how the State will carry out the assurances described in this section.”

**Note:** The state provides information for each of the assurances directly in section 14 or in corresponding items throughout the State Plan, which are included as hyperlinks in section 14.

[\[No response for this item\]](#)

- ☒ By checking this box, the state CSBG authorized official is certifying the assurances set out above.





## SECTION 15: Federal Certifications

*The box after each certification must be checked by the State CSBG authorized official.*

### 15.1. Lobbying

#### ***Certification for Contracts, Grants, Loans, and Cooperative Agreements***

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

#### ***Statement for Loan Guarantees and Loan Insurance***

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

- ☐ By checking this box, the state CSBG authorized official is providing the certification set out above.

## 15.2. Drug-Free Workplace Requirements

This certification is required by the regulations implementing the Drug-Free Workplace Act of 1988: 45 CFR Part 76, Subpart, F. Sections 76.630(c) and (d)(2) and 76.645 (a)(1) and (b) provide that a Federal agency may designate a central receipt point for STATE-WIDE AND STATE AGENCY-WIDE certifications, and for notification of criminal drug convictions. For the Department of Health and Human Services, the central point is: Division of Grants Management and Oversight, Office of Management and Acquisition, Department of Health and Human Services, Room 517-D, 200 Independence Avenue, SW Washington, DC 20201.

### ***Certification Regarding Drug-Free Workplace Requirements*** (Instructions for Certification)

- (1) By signing and/or submitting this application or grant agreement, the grantee is providing the certification set out below.
- (2) The certification set out below is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, the agency, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
- (3) For grantees other than individuals, Alternate I applies.
- (4) For grantees who are individuals, Alternate II applies.
- (5) Workplaces under grants, for grantees other than individuals, need to be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
- (6) Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio studios).
- (7) If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph five).
- (8) Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

**Controlled substance** means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

**Conviction** means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

**Criminal drug statute** means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

Employee means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All direct charge employees; (ii) All indirect charge employees unless their impact or involvement is insignificant to the performance of the grant; and, (iii) Temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

### ***Certification Regarding Drug-Free Workplace Requirements***

#### **Alternate I. (Grantees Other Than Individuals)**

The grantee certifies that it will or will continue to provide a drug-free workplace by:

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing an ongoing drug-free awareness program to inform employees about - -
  - (1) The dangers of drug abuse in the workplace;
  - (2) The grantee's policy of maintaining a drug-free workplace;
  - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
- (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will - -
  - (1) Abide by the terms of the statement; and
  - (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- (e) Notifying the agency in writing, within 10 calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
- (f) Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d)(2), with respect to any employee who is so convicted - -
  - (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

- (g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e) and (f).

The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code) [\[Narrative, 2500 characters\]](#)

- ☐ Check if there are workplaces on file that are not identified here. Alternate II. (Grantees Who Are Individuals)
- (a) The grantee certifies that, as a condition of the grant, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant;
- (b) If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, he or she will report the conviction, in writing, within 10 calendar days of the conviction, to every grant officer or other designee, unless the Federal agency designates a central point for the receipt of such notices. When notice is made to such a central point, it shall include the identification number(s) of each affected grant.

[55 FR 21690, 21702, May 25, 1990]

- ☐ By checking this box, the state CSBG authorized official is providing the certification set out above.

### 15.3. Debarment

#### ***CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS***

##### ***Certification Regarding Debarment, Suspension, and Other Responsibility Matters — Primary Covered Transactions***

###### Instructions for Certification

- (1) By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.
- (2) The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.
- (3) The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.
- (4) The prospective primary participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- (5) The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.
- (6) The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
- (7) The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusive-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

- (8) A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.
- (9) Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- (10) Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

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***Certification Regarding Debarment, Suspension, and Other Responsibility Matters — Primary Covered Transactions***

- (1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:
  - (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency;
  - (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
  - (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- (2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

## ***Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion — Lower Tier Covered Transactions***

### Instructions for Certification

- (1) By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
- (2) The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
- (3) The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or had become erroneous by reason of changed circumstances.
- (4) The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meaning set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.
- (5) The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
- (6) The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
- (7) A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from covered transactions, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.
- (8) Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.



- (9) Except for transactions authorized under paragraph five of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

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***Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion — Lower Tier Covered Transactions***

- (1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.
- ☐ By checking this box, the state CSBG authorized official is providing the certification set out above.

#### 15.4. Environmental Tobacco Smoke

Public Law 103227, Part C Environmental Tobacco Smoke, also known as the Pro Children Act of 1994, requires that smoking not be permitted in any portion of any indoor routinely owned or leased or contracted for by an entity and used routinely or regularly for provision of health, day care, education, or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions of facilities used for inpatient drug or alcohol treatment. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1000 per day and/or the imposition of an administrative compliance order on the responsible entity by signing and submitting this application the applicant/grantee certifies that it will comply with the requirements of the Act.

The applicant/grantee further agrees that it will require the language of this certification be included in any subawards which contain provisions for the children's services and that all subgrantees shall certify accordingly.

- ☐ By checking this box, the state CSBG authorized official is providing the certification set out above.