

CSBG State Plan

Program Name: Community Services Block Grant

Grantee Name: Kansas Housing Resources

Report Name: CSBG State Plan

Report Period: 10/01/2021 to 09/30/2022


Report Status: Submitted

Report Sections

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CSBG Cover Page (SF-424M)

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|--|--|--|--|--|--|-------------|-----------------------------|-------|--------------------------------|
| U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES Administration for Children and Families Community Services Block Grant (CSBG) | | Form Approved OMB No: 0970-0382 Expires: 06/30/2021 | | | | | | | |
| COVER PAGE | | | | | | | | | |
| * 1.a. Type of Submission: <input type="radio"/> Application <input checked="" type="radio"/> Plan <input type="radio"/> Other (2 Year) | * 1.b. Frequency: <input type="radio"/> Annual <input checked="" type="radio"/> Other (2 Year) | * 1.c. Consolidated Application/Plan/Funding Request? Explanation: 2. Date Received: 3. Applicant Identifier: 4a. Federal Entity Identifier: 4b. Federal Award Identifier: | * 1.d. Version: <input checked="" type="radio"/> Initial <input type="radio"/> Resubmission <input type="radio"/> Revision <input type="radio"/> Update State Use Only: 5. Date Received By State: 6. State Application Identifier: | | | | | | |
| 7. APPLICANT INFORMATION | | | | | | | | | |
| * a. Legal Name: Kansas Housing Resources Corporation (KHRC) | | | | | | | | | |
| * b. Employer/Taxpayer Identification Number (EIN/TIN): 1710950729A1 | | * c. Organizational DUNS: 137043662 | | | | | | | |
| * d. Address: | | | | | | | | | |
| * Street 1: | 611 S Kansas Ave, Suite 300 | Street 2: | | | | | | | |
| * City: | Topeka | County: | Shawnee | | | | | | |
| * State: | KS | Province: | Kansas | | | | | | |
| * Country: | United States | * Zip / Postal Code: | 66603 | | | | | | |
| e. Organizational Unit: | | | | | | | | | |
| Department Name: CSBG | | Division Name: Community Solutions Division | | | | | | | |
| f. Name and contact information of person to be contacted on matters involving this application: | | | | | | | | | |
| Prefix: | * First Name: Marilyn | Middle Name: | * Last Name: Stanley | | | | | | |
| Suffix: | Title: CSBG Program Manager | Organizational Affiliation: Kansas Housing Resources Corporation | | | | | | | |
| * Telephone Number: (785) 217-2044 | Fax Number: (785) 232-8073 | * Email: mstanley@kshousingcorp.org | | | | | | | |
| * 8a. TYPE OF APPLICANT: A: State Government | | | | | | | | | |
| b. Additional Description: | | | | | | | | | |
| * 9. Name of Federal Agency: | | | | | | | | | |
| <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%;"></td> <td style="width: 33%; text-align: center;">Catalog of Federal Domestic Assistance Number:</td> <td style="width: 33%; text-align: center;">CFDA Title:</td> </tr> <tr> <td>10. CFDA Numbers and Titles</td> <td style="text-align: center;">93569</td> <td style="text-align: center;">Community Services Block Grant</td> </tr> </table> | | | | | Catalog of Federal Domestic Assistance Number: | CFDA Title: | 10. CFDA Numbers and Titles | 93569 | Community Services Block Grant |
| | Catalog of Federal Domestic Assistance Number: | CFDA Title: | | | | | | | |
| 10. CFDA Numbers and Titles | 93569 | Community Services Block Grant | | | | | | | |
| 11. Descriptive Title of Applicant's Project By formula, Kansas Community Services Block Grant funds are sublet to Community Services Block Grant eligible entities for use in locally developed programming and activities designed to mitigate the causes and effects of poverty. | | | | | | | | | |
| 12. Areas Affected by Funding: Kansas | | | | | | | | | |
| 13. CONGRESSIONAL DISTRICTS OF: | | | | | | | | | |
| * a. Applicant KS | | b. Program/Project: CSBG | | | | | | | |
| Attach an additional list of Program/Project Congressional Districts if needed. N/A | | | | | | | | | |
| 14. FUNDING PERIOD: | | 15. ESTIMATED FUNDING: | | | | | | | |
| a. Start Date: | b. End Date: | * a. Federal (\$): \$0 | b. Match (\$): \$0 | | | | | | |
| * 16. IS SUBMISSION SUBJECT TO REVIEW BY STATE UNDER EXECUTIVE ORDER 12372 PROCESS? | | | | | | | | | |
| a. This submission was made available to the State under the Executive Order 12372 | | | | | | | | | |
| Process for Review on : | | | | | | | | | |

| | |
|---|---|
| b. Program is subject to E.O. 12372 but has not been selected by State for review. | |
| c. Program is not covered by E.O. 12372. | |
| * 17. Is The Applicant Delinquent On Any Federal Debt? <input type="radio"/> YES <input checked="" type="radio"/> NO | |
| Explanation: N/A | |
| 18. By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001) **I Agree <input checked="" type="checkbox"/> | |
| ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions. | |
| 18a. Typed or Printed Name and Title of Authorized Certifying Official | 18c. Telephone (area code, number and extension) |
| | 18d. Email Address |
| 18b. Signature of Authorized Certifying Official  | 18e. Date Report Submitted (Month, Day, Year) 08/24/2021 |
| Attach supporting documents as specified in agency instructions. | |

Section 1: CSBG Lead Agency, CSBG Authorized Official, CSBG Point of Contact, and Official State Designation Letter

| | | | |
|---|--|--|-----------------------|
| U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES Administration for Children and Families Community Services Block Grant (CSBG) | | Form Approved OMB No:0970-0382 Expires:06/30/2021 | |
| SECTION 1 CSBG Lead Agency, CSBG Authorized Official, CSBG Point of Contact, and Official State Designation Letter | | | |
| 1.1. Identify whether this is a One-Year or a Two-Year Plan | | <input type="radio"/> one-year <input checked="" type="radio"/> two-year | |
| 1.1a. Provide the federal fiscal years this plan covers: | | Year One 2022 | Year Two 2023 |
| 1.2. Lead Agency: Update the following information in relation to the lead agency designated to administer CSBG in the state, as required by Section 676(a) of the CSBG Act. <i>Information should reflect the responses provided in the Application for Federal Assistance, SF-424M.</i> | | | |
| Has information in regards to the state lead agency has changed since the last submission of the state plan? <input type="radio"/> Yes <input checked="" type="radio"/> No | | | |
| If yes, provide the date of change and select the fields that have been updated | | | |
| <input type="checkbox"/> Lead Agency | <input type="checkbox"/> Department Type | <input type="checkbox"/> Department Name | |
| <input type="checkbox"/> Authorized Official | <input type="checkbox"/> Street Address | <input type="checkbox"/> City | |
| <input type="checkbox"/> Zip Code | <input type="checkbox"/> Business Number | <input type="checkbox"/> Fax Number | |
| <input type="checkbox"/> Email Address | <input type="checkbox"/> Website | | |
| 1.2a. Lead agency | | Kansas Housing Resources Corporation (KHRC) | |
| 1.2b. Cabinet or administrative department of this lead agency <i>[Select one option and narrative where applicable]</i> | | | |
| <input type="radio"/> Community Services Department | | | |
| <input type="radio"/> Human Services Department | | | |
| <input type="radio"/> Social Services Department | | | |
| <input type="radio"/> Governor's Office | | | |
| <input type="radio"/> Community Affairs Department | | | |
| <input type="radio"/> Health Department | | | |
| <input type="radio"/> Housing Department | | | |
| <input checked="" type="radio"/> Other, describe | | | |
| KHRC is a subsidiary corporation of the KS Development Finance Authority. | | | |
| 1.2c. Cabinet or Administrative Department Name: Provide the name of the cabinet or administrative department of the CSBG authorized official | | Kansas Housing Resources Corporation (KHRC) | |
| 1.2d. Authorized official of the lead agency | | | |
| Name: Ryan Vincent | | Title: Executive Director | |
| 1.2e. Street Address | | 611 S Kansas Ave, Suite 300 | |
| 1.2f. City | | Topeka | 1.2g. State KS |
| 1.2i. Work Telephone number and extension 785 217 - 2001 ext. | | 1.2j. Fax number 785 246 - 8534 | |
| 1.2k. Email address rvincent@kshousingcorp.org | | 1.2l. Lead agency website https://kshousingcorp.org/ | |
| 1.3. Designation Letter: Attach the state's official CSBG designation letter. A new designation letter is required if the chief executive officer of the state and/or the designated agency has changed. | | | |
| 1.4. CSBG Point of Contact: provide the following information in relation to the designated state CSBG point of contact. The state CSBG point of contact should be the person that will be the main point of contact for CSBG within the state. | | | |

| | | | |
|---|---------------|--|--------------------------------|
| Has Information in regards to the state point of contact changed since the last submission of the state plan? <input checked="" type="radio"/> Yes <input type="radio"/> No | | | |
| If yes, provide the date of change and select the fields that have been updated Date picker and check all the apply 03/22/2021 | | | |
| <input type="checkbox"/> | Agency Name | <input checked="" type="checkbox"/> | Point of Contact |
| <input type="checkbox"/> | City | <input type="checkbox"/> | Zip Code |
| <input checked="" type="checkbox"/> | Fax Number | <input checked="" type="checkbox"/> | Email Address |
| <input type="checkbox"/> | | <input type="checkbox"/> | Website |
| 1.4a. Agency Name Kansas Housing Resources Corporation (KHRC) | | | |
| 1.4b Point of Contact Name | | | |
| Name: Marilyn Stanley | | Title: CSBG Program Manager | |
| 1.4c. Street Address | | 611 S Kansas Ave, Suite 300 | |
| 1.4d. City | | Topeka | 1.4e. State KS 1.4f. Zip 66603 |
| 1.4g. Telephone Number 785 217 - 2044 ext. | | 1.4h. Fax Number 785 232 - 8073 | |
| 1.4i. Email Address mstanley@kshousingcorp.org | | 1.4j. Agency Website https://kshousingcorp.org/ | |
| 1.5. Provide the following information in relation to the State Community Action Association. | | | |
| There is currently a state Community Action Association within the state. <input checked="" type="radio"/> Yes <input type="radio"/> No | | | |
| Has Information in regards to the state Community Action Association has changed since the last submission of the state plan? <input checked="" type="radio"/> Yes <input type="radio"/> No | | | |
| If yes, provide the date of change and select the fields that have been updated Date picker and check all the apply 08/02/2021 | | | |
| <input type="checkbox"/> | Agency Name | <input checked="" type="checkbox"/> | Executive Director |
| <input type="checkbox"/> | City | <input type="checkbox"/> | State |
| <input type="checkbox"/> | Office Number | <input type="checkbox"/> | Fax Number |
| <input type="checkbox"/> | | <input checked="" type="checkbox"/> | Email Address |
| <input type="checkbox"/> | Website | <input type="checkbox"/> | RPIC Lead |
| 1.5a. Agency Name Kansas Association of Community Action Programs (KACAP) | | | |
| 1.5b. Executive Director or Point of Contact | | | |
| Name: Jessica Wederski | | Title: Association Manager | |
| 1.5c. Street Address | | 455 SE Golf Park Blvd | |
| 1.5d. City | | Topeka | 1.5e. State KS 1.5f. Zip 66605 |
| 1.5g. Telephone number 785 234 - 0878 ext. | | 1.5h. Fax number 785 234 - 1652 | |
| 1.5i. Email Address Jessica@KACAP.org | | 1.5j. State Association Website https://www.kacap.org/ | |
| 1.5k. State Association currently serves as the Regional Performance Innovation Consortia (RPIC) lead <input checked="" type="radio"/> Yes <input type="radio"/> No | | | |

Section 2: State Legislation and Regulation

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
Administration for Children and Families
Community Services Block Grant (CSBG)

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OMB No: 0970-0382
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SECTION 2 State Legislation and Regulation

2.1. CSBG State Legislation:

State has a statute authorizing CSBG ☐ Yes ☒ No

2.2. CSBG State Regulation:

State has regulations for CSBG ☒ Yes ☐ No

2.3. Legislation/Regulation Document: Attach the legislation and/or regulations or provide a hyperlink(s) to the documents indicated under Item 2.1. and/or Item 2.2.

Attached and/or @ <https://kshousingcorp.org/wp-content/uploads/2021/08/Kansas-CSBG-Policy-and-Procedure-Manual-8-4-21.pdf>

2.4. State Authority:

Select a response for each of the following items about the state statute and/or regulations authorizing CSBG:

2.4a. Authorizing Legislation: State legislature enacts authorizing legislation or amendments to an existing authorizing statute, last federal fiscal year ☐ Yes ☒ No

2.4b. Regulation Amendments: State established or amended regulations for CSBG last federal fiscal year ☒ Yes ☐ No

2.4c. Designation: State statutory or regulatory authority designates the bureau, division, or office in the state government that is to be the state administering agency ☒ Yes ☐ No

Section 3: State Plan Development and Statewide Goals

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
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SECTION 3

State Plan Development and Statewide Goals

3.1. CSBG Lead Agency Mission and Responsibilities:

Briefly describe the mission and responsibilities of the state agency that serves as the CSBG Lead Agency.

Kansas Housing Resources Corporation (KHRC)'s mission is to help Kansans access the safe, affordable housing they need and the dignity they deserve. KHRC is a self-supporting, nonprofit, public corporation that serves as the primary administrator of federal housing programs for the State of Kansas. KHRC delivers solutions to those who are working to end the housing crisis in Kansas. The corporation's vision can only be achieved when all of Kansas has the support and resources they need to achieve their goals, no matter where they are in their housing journey.

3.2. State Plan Goals:

Describe the state's CSBG-specific goals for state administration of CSBG under this State Plan.

(Note: This information is associated with State Accountability Measure 1Sa(i) and pre-populates the State's Annual Report, Module 1, Item B.1.)

Kansas Housing Resources Corporation (KHRC) has set the following goals: 1) KHRC will demonstrate effective oversight of the CSBG Program by improving the satisfaction of the Kansas Community Action Network as measured by the Customer Satisfaction Index Score on the ACSI Survey. 2) KHRC will utilize CSBG Discretionary funding to support Community Action Agencies and other non-profit organizations whose primary purpose is that of eliminating the causes and effects of poverty in Kansas. 3) KHRC will maintain a high level of communication with the Community Action Agencies and Kansas Association of Community Action Programs (KACAP) through monthly conference calls, quarterly KanDo! ROMA Task Force meetings, bi-monthly meetings with the KACAP Board of Directors, monthly meetings with KACAP, and regular email communication. 4) KHRC, in partnership with KACAP, will develop and maintain effective linkages at the state level to ensure increased access and resource acquisition for CSBG Eligible Entities and their customers. 5) KHRC, in partnership with KACAP, will coordinate the delivery of effective training to help promote high-performing CSBG Eligible Entities.

3.3. State Plan Development:

Indicate the information and input the state accessed to develop this State Plan.

3.3a. Analysis of state-level tools *[Check all that apply and narrative where applicable]*



State Performance Indicators and/or National Performance Indicators (NPIs)



U.S. Census data



State performance management data (e.g., accountability measures, ACSI survey information, and/or other information from annual reports)



Monitoring Visits/Assessments



Tools not identified above (specify)

3.3b. Analysis of local-level tools *[Check all that apply and narrative where applicable]*



Eligible entity community needs assessments



Eligible entity community action plans



Public Hearings/Workshops



Tools not identified above (e.g., State required reports)[specify]

3.3c. Consultation with *[Check all that applies and narrative where applicable]*



Eligible entities (e.g., meetings, conferences, webinars; not including the public hearing)



State Association



National Association for State Community Services Programs (NASCSPP)



Community Action Partnership (The Partnership)



Community Action Program Legal Services (CAPLAW)



CSBG Tribal Training and Technical Assistance (T/TA) provider



Regional Performance Innovation Consortium (RPIC)



Association for Nationally Certified ROMA Trainers (ANCRT)



Federal CSBG Office



Organizations not identified above [Specify]

| | | | |
|--|----|-----------------|----|
| 3.4. Eligible Entity Involvement | | | |
| 3.4a. Describe the specific steps the State took in developing the State Plan to involve the eligible entities. | | | |
| <i>(Note: This information is associated with State Accountability Measures 1Sa(ii) and may pre-populate the State's annual report form)</i> | | | |
| <p>KHRC has taken the following steps to work with the CSBG Eligible Entities and KACAP to develop the state plan: 1) The CSBG Program Manager provides program updates and solicits feedback at the start of each KACAP Board of Directors meeting. 2) KHRC and KACAP have regular and consistent collaboration meetings to discuss the Training and Technical Assistance (T/TA) needs of the Community Action Network. 3) KHRC facilitates quarterly meetings of the KanDo! ROMA Task Force. These meetings focus on data reporting and technical assistance needs of the network. 4) KHRC scheduled two virtual State Plan Workgroup meetings on July 19, 2021, and two public hearings during the development of the CSBG State Plan. The first public hearing was held on August 3, 2021, at KACAP to solicit input from the CSBG Eligible Entities and the public on the proposed state plan. This hearing was scheduled to coincide with the KACAP Board of Directors meeting to maximize the participation of the Community Action Network. The second and final public hearing was held on August 18, 2021, at the Topeka and Shawnee County Public Library during which the CSBG Program Manager requested additional public comments but received none.</p> | | | |
| 3.4b. Performance Management Adjustment: Describe how the state adjusted its State Plan development procedures under this State Plan, as compared to previous plans in order to: | | | |
| <p>1) encourage eligible entity participation and 2) ensure the State Plan reflects input from eligible entities?</p> <p>Any adjustment should be based on the State's analysis of past performance in these areas, and should consider feedback from eligible entities, OCS, and other sources, such as the public hearing. If the State is not making any adjustments, provide further detail.</p> | | | |
| <i>(Note: This information is associated with State Accountability Measures 1Sb(i) and (ii) and pre-populate the Annual Report, Module 1, Item B.1.)</i> | | | |
| <p>ACSI Survey results from June 2021 encouraged KHRC to develop a plan for improvement on communication, linkages, and development of the CSBG State Plan. This included adding two State Plan Workgroup virtual opportunities on July 19, 2021. The Workgroup meetings included a detailed review of the ACSI Survey Results, plan of improvement, and the new state ACSI score goal. The additional opportunity for review and feedback will help assure communication is effective. Ongoing and consistent efforts are made by KHRC staff and the CSBG Program Manager for open and transparent communication which allows for valuable input on multiple areas of the state plan, such as monitoring, T/TA, state use of funds, ROMA, linkages, and Tripartite Boards. KHRC would like to continue to develop a trusted team approach relationship.</p> | | | |
| 3.5. Eligible Entity Overall Satisfaction: | | | |
| <i>Provide the State's target for eligible entity Overall Satisfaction during the performance period:</i> | | | |
| Year One | 65 | Year Two | 65 |
| <p>Instructional Note: The state's target score will indicate improvement or maintenance of the state's Overall Satisfaction score from the most recent American Customer Survey Index (ACSI) survey of the state's eligible entities.</p> <p><i>(Note: Item 3.5 is associated with State Accountability Measure 8S and may pre-populate the State's annual report form)</i></p> | | | |

Section 4: CSBG Hearing Requirements

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
Administration for Children and Families
Community Services Block Grant (CSBG)

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SECTION 4 CSBG Hearing Requirements

4.1. Public Inspection:

Describe the steps taken by the state to disseminate this State Plan to the public for review and comments prior to the public hearing, as required under Section 676(e)(2) of the Act.

The 2021 CSBG Pro-forma State Plan was emailed to the Community Action Agency Leaders on June 11, 2021, requesting availability to schedule the Workgroup virtual meetings in July. This resulted in two Workgroup meeting opportunities on July 19, 2021. All CSBG Eligible Entity Leaders were invited by email and calendar notice. KHRC used the CSBG State Plan Template 3.0 that was released on July 8, 2021 for the Workgroup meetings. The proposed State Plan was posted on the KHRC website on August 1, 2021.

4.2. Public Notice/Hearing:

Describe how the state ensured there was sufficient time and statewide distribution of notice of the public hearing(s) to allow the public to comment on the State Plan, as required under Section 676(a)(2)(B) of the CSBG Act.

KHRC emailed notice of the public hearing to Eligible Entities on June 23, 2021. An announcement about both public hearings and comments was published in the Kansas Register of the Kansas Secretary of State's Office Volume 40 - Issue 26 - July 1, 2021. The proposed State Plan was posted to the KHRC website on August 1, 2021, with hard copies available upon request. The public notice announced that KHRC will accept comments regarding the proposed plan through August 18, 2021, at 5 pm.

4.3. Public and Legislative Hearings:

In the table below, specify the date(s) and location(s) of the public and legislative hearing(s) held by the designated lead agency for this State Plan, as required under Section 676(a)(2)(B) and Section 676(a)(3) of the Act.

| | Date | Location | Type of Hearing [Select an option] | If a combined hearing was held, confirm that the public was invited |
|---|------------|--|---------------------------------------|---|
| 1 | 01/28/2019 | Housing Appropriations Committee, Kansas State Capitol | Legislative | <input type="checkbox"/> |
| 2 | 08/03/2021 | CRC Care Center/ KACAP Board of Directors, Topeka, KS | Public | <input type="checkbox"/> |
| 3 | 08/18/2021 | Topeka and Shawnee County Public Library, Topeka, KS | Public | <input type="checkbox"/> |

4.4. Attach supporting documentation or a hyperlink for the public and legislative hearings.
Please see attached.

Section 5: CSBG Eligible Entities

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Administration for Children and Families
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SECTION 5 CSBG Eligible Entities

5.1. CSBG Eligible Entities:

In the table below, indicate whether each eligible entity in the state, is public or private, the type(s) of entity, and the geographical area served by the entity.

Note: Table 5.1 pre-populates the Annual Report, Module 1, Table C.1.

| # | CSBG Eligible Entity | Geographical Area Served by county (Provide all counties) | Public or Nonprofit | Type of Entity [choose all that apply] |
|---|---|---|---------------------|---|
| 1 | Wichita Sedgwick County Community Action Partnership, City of Wichita | Sedgwick County (1) | Public | Community Action Agency |
| 2 | Southeast Kansas Community Action Program, Inc. | Allen, Bourbon, Chautauqua, Cherokee, Crawford, Elk, Labette, Linn, Montgomery, Neosho, Wilson, and Woodson Counties (12) | Non-Profit | Community Action Agency |
| 3 | Economic Opportunity Foundation, Inc. | Wyandotte County (1) | Non-Profit | Community Action Agency |
| 4 | East Central Kansas Economic Opportunity Corporation | Anderson, Coffey, Douglas, Franklin, Johnson, Lyon, Miami, Morris, and Osage Counties (9) | Non-Profit | Community Action Agency |
| 5 | Northeast Kansas Community Action Program | CSBG Service Area: Atchison, Brown, Doniphan, Jackson, Jefferson, Jewell, Leavenworth, Marshall, Mitchell, Nemaha, Osborne, Pottawatomie, Republic, Riley, Smith, and Washington Counties (16) | Non-Profit | Community Action Agency |
| 6 | Mid-Kansas Community Action Program | Barber, Butler, Chase, Clark, Comanche, Cowley, Edwards, Greenwood, Harper, Harvey, Kingman, Kiowa, Marion, Pawnee, Pratt, Reno, Rice, Stafford, and Sumner Counties (19) | Non-Profit | Community Action Agency |
| 7 | Community Action, Inc. | Clay, Cloud, Dickinson, Ellsworth, Geary, Lincoln, McPherson, Ottawa, Russell, Saline, Shawnee, and Wabaunsee Counties (12) | Non-Profit | Community Action Agency |
| 8 | Harvest America Corporation | Barton, Cheyenne, Decatur, Ellis, Finney, Ford, Gove, Graham, Grant, Gray, Greeley, Hamilton, Haskell, Hodgeman, Kearney, Lane, Logan, Meade, Morton, Ness, Norton, Phillips, Rawlins, Roberts, Rush, Scott, Seward, Sheridan, Sherman, Stanton, Stevens, Thomas, Trego, Wallace, and Wichita Counties (35) | Non-Profit | Community Action Agency |

5.2. Total number of CSBG eligible entities 8

5.3. Changes to Eligible Entities List:

Within the tables below, describe any changes that have occurred to the Eligible Entities within the state since the last federal fiscal Year (FFY), as applicable.

One or more of the following changes were made to the eligible entity list: [Check all that apply].

- ☐ Designation and/or Re-Designation
☐ De-designations and/or Voluntary Relinquishments
☐ Mergers
☒ No Changes to Eligible Entities List

5.3a. Designation and Re-Designation: Identify any new entities that have been designated as eligible entities, as defined under Section 676A of the Act, since the last federal fiscal year. Include any eligible entities designated to serve an area previously not served by CSBG as well as any entities designated to replace another eligible entity that was terminated (de-designated).

| CSBG Eligible Entity | Type | Start Date | Geographical Area Served | Delete |
|----------------------|------|------------|--------------------------|--------|
| | | | | |

5.3b. De-Designation and Voluntary Relinquishments: Identify any entities that are no longer receiving CSBG funding. Include any eligible entities that have been terminated (de-designated) as defined under Section 676(c) and Section 676C of the Act, or voluntarily relinquished their CSBG eligible entity status since the last Federal Fiscal Year.

| CSBG Eligible Entity | Reason | Delete | | |
|--|--------------------------------|--------------------------|----------|--------|
| | | | | |
| 5.3c. Mergers: In the table below, provide information about any mergers or other combinations of two or more eligible entities that were each listed in the prior year State Plan. | | | | |
| Original CSBG Eligible Entities | Surviving CSBG Eligible Entity | New Name (as applicable) | DUNS No. | Delete |
| | | | | |

Section 6: Organizational Standards for Eligible Entities

| | |
|---|--|
| U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES Administration for Children and Families Community Services Block Grant (CSBG) | Form Approved OMB No: 0970-0382 Expires: 06/30/2021 |
| SECTION 6 Organizational Standards for Eligible Entities | |
| Note: Reference IM 138, <i>State Establishment of Organizational Standards for CSBG Eligible Entities</i>, for more information on Organizational Standards. Click HERE for IM 138. | |
| 6.1. Choice of Standards: Confirm whether the state will implement the CSBG Organizational Standards Center of Excellence (COE) organizational standards (as described in IM 138) or an alternative set during the federal fiscal year(s) of this planning period | |
| <input checked="" type="radio"/> COE CSBG Organizational Standards <input type="radio"/> Modified version of COE CSBG Organizational Standards <input type="radio"/> Alternative set of Organizational Standards | |
| 6.1a. Modified Organizational Standards: In the case that the state is requesting to use modified COE-developed organizational standards, provide the proposed modification for the FFY of this planning period including the rationale. | |
| Not applicable, Kansas uses the Center of Excellence (COE) CSBG Organizational Standards. | |
| 6.1b. Alternative Organizational Standards: If using an alternative set of organizational standards, attach the complete list of alternative organizational standards. | |
| 6.1c. Alternative Organizational Standards Changes: If using an alternative set of organizational standards: 1) provide any changes from the last set provided during the previous State Plan submission; 2) describe the reasons for using alternative standards; and 3) describe how they are at least as rigorous as the COE-developed standards | |
| <input checked="" type="radio"/> There were no changes from the previous State Plan submission | |
| Provide reason for using alternative standards Not applicable, Kansas uses the Center of Excellence (COE) CSBG Organizational Standards. | |
| Describe rigor compared to COE-developed Standards Not applicable, Kansas uses the Center of Excellence (COE) CSBG Organizational Standards. | |
| 6.2. Implementation: Check the box that best describes how the state officially adopt(ed) organizational standards for eligible entities in the state in a manner consistent with the state's administrative procedures act. If "Other" is selected, provide a timeline and additional information, as necessary. <i>[Check all that apply and narrative where applicable]</i> | |
| <input type="checkbox"/> Regulation | |
| <input type="checkbox"/> Policy | |
| <input checked="" type="checkbox"/> Contracts with eligible entities | |
| <input type="checkbox"/> Other, describe: | |
| 6.3. Organizational Standards Assessment: Describe how the state assess eligible entities against organizational standards this federal fiscal year(s). <i>[Check all that apply.]</i> | |
| <input type="checkbox"/> Peer-to-peer review (<i>with validation by the State or state-authorized third party</i>) | |
| <input checked="" type="checkbox"/> Self-assessment (<i>with validation by the State or state-authorized third party</i>) | |
| <input type="checkbox"/> Self-assessment/peer review with state risk analysis | |
| <input type="checkbox"/> State-authorized third party validation | |
| <input type="checkbox"/> Regular, on-site CSBG monitoring | |
| <input type="checkbox"/> Other | |
| 6.3a. Assessment Process: Describe the planned assessment process. | |
| In an effort to create efficiencies in assessing the CSBG Eligible Entities' compliance with the CSBG Organizational Standards, KHRC subscribes to an internet-based organizational standards system through Community Software Group. Within this system, CSBG Eligible Entities complete a self-assessment annually and upload documentation, and make a determination as to whether the standards are met or unmet. The system requires the eligible entity to create a technical assistance plan for each unmet standard. KHRC plans to continue using an internet-based system for assessing each eligible entity's compliance with the CSBG Organizational Standards. | |
| 6.4. Eligible Entity Exemptions: Will the state make exceptions in applying the organizational standards for certain eligible entities due to special circumstances or organizational characteristics (as described in IM 138)? <input type="radio"/> Yes <input checked="" type="radio"/> No | |
| 6.4a. Provide the specific eligible entities the state will exempt from meeting organizational standards, and provide a description and a justification for each exemption | |
| Total Number of Exempt Entities: 0 | |
| CSBG Eligible Entity | Description / Justification |
| | Delete |

| | | | |
|--|-----|----------|-----|
| | | | |
| 6.5. Performance Target: Provide the percentage of eligible entities that the state expects to meet all the state-adopted organizational standards for FFY(S) for this planning period | | | |
| Year One | 88% | Year Two | 88% |
| <i>Note: Item 6.5 is associated with State Accountability Measures 6Sa and prepopulate the Annual report, Module 1, Table D.2.</i> | | | |

Section 7: State Use of Funds

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
Administration for Children and Families
Community Services Block Grant (CSBG)

Form Approved
OMB No: 0970-0382
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SECTION 7 State Use of Funds

Eligible Entity Allocation (90 Percent Funds) [Section 675C(a) of the CSBG Act]

7.1. Formula:

Select the method (formula) that best describes the current practice for allocating CSBG funds to eligible entities.

- ☐ Historic
- ☐ Base + Formula
- ☒ Formula Alone
- ☐ Formula with Variables
- ☐ Hold Harmless + Formula
- ☐ Other

7.1a. Formula Description: Describe the current practice for allocating CSBG funds to eligible entities.

The CSBG allocation formula gives consideration to the total population for whom poverty status is determined, low-income population (below poverty level), and territory (square mileage), and is re-calculated each year prior to submission of the State Plan. Using the current America Community Survey 5-year estimates, the total population for whom poverty status is determined and the low-income population is identified for each county. Counties are grouped by eligible entity service area. Each entity's percentage share of the States total population and the percentage share of the States low-income population is calculated. The territory is determined by using square mileage as calculated for use with the most recent Census. Counties are grouped by eligible entity service area. Each entity's percentage share of the States total square mileage is calculated. Each element (total population, low-income population, and territory) is assigned a weight: total population at one percent; the low-income population at ninety percent, and territory at nine percent; these weighted values are summed to achieve the agency's proportionate share. For each agency, the total of the assigned values (total population value plus low-income population value plus territory value) is multiplied by the estimated pass-through amount (90% of current year Kansas CSBG allocation). The result is the agency's FFY proportionate share in dollars. Small Area Income and Poverty Estimates (SAIPE) https://www.census.gov/data-tools/demo/saipe/#/?map_geoSelector=aa_c&s_state=20&s_year=2019 Kansas Land area in square miles, 2010 by County <https://www.indexmundi.com/facts/united-states/quick-facts/kansas/land-area#table%20County> Population Totals: 2010-2019 <https://www.census.gov/data/datasets/time-series/demo/popest/2010s-counties-total.html>

7.1b. Statute: Does a state statutory or regulatory authority specify the formula for allocating "not less than 90 percent" funds among eligible entities? ☐ Yes ☒ No

7.2. Planned Allocation:

Specify the percentage of your CSBG planned allocation that will be funded to eligible entities and "not less than of 90 percent funds" as described under Section 675C(a) of the CSBG Act.

In the table, provide the planned allocation for each eligible entity receiving funds for the fiscal year(s) covered by this plan.

Note: This information pre-populates the state's Annual Report, Module 1, Table E.2.

| | | | |
|-----------------|--------|-----------------|--------|
| Year One | 90.00% | Year Two | 90.00% |
|-----------------|--------|-----------------|--------|

Planned CSBG 90 Percent Funds

| CSBG Eligible Entity | Year One Funding Amount \$ | Delete |
|---|-------------------------------|--------|
| Wichita Sedgwick County Community Action Partnership, City of Wichita | \$967,905 | |
| Southeast Kansas Community Action Program, Inc. | \$471,267 | |
| Economic Opportunity Foundation, Inc. | \$503,116 | |
| East Central Kansas Economic Opportunity Corporation | \$1,003,533 | |
| Northeast Kansas Community Action Program | \$606,762 | |
| Mid-Kansas Community Action Program | \$630,514 | |
| Community Action, Inc. | \$572,753 | |
| Harvest America Corporation | \$641,851 | |
| Total | \$5,397,701 | |

CSBG Eligible Entity Year Two

| CSBG Eligible Entity | Year Two Funding Amount \$ | Delete |
|---|-------------------------------|--------|
| Wichita Sedgwick County Community Action Partnership, City of Wichita | \$967,905 | |
| Southeast Kansas Community Action Program, Inc. | \$471,267 | |
| Economic Opportunity Foundation, Inc. | \$503,116 | |
| East Central Kansas Economic Opportunity Corporation | \$1,003,533 | |

| | |
|---|--------------------|
| Northeast Kansas Community Action Program | \$606,762 |
| Mid-Kansas Community Action Program | \$630,514 |
| Community Action, Inc. | \$572,753 |
| Harvest America Corporation | \$641,851 |
| Total | \$5,397,701 |

7.3. Distribution Process:
Describe the specific steps in the state's process for distributing 90 percent funds to the eligible entities and include the number of days each step is expected to take; include information about state legislative approval or other types of administrative approval (such as approval by a board or commission).

The Kansas CSBG program year is April 1 through March 31. To mitigate delays typical within the Federal budget process, KHRC issues pass-through CSBG grants to Community Action Agencies six months after the start of the Federal fiscal year; continuation grants coincide with the Kansas CSBG program year (April through March). Accordingly, Community Action Agencies must build their budgets and structure their use of the annual awards on the twelve-month (April through March) program year. Community Action Agencies are, however, provided a grant period of eighteen months (April through September) to use their CSBG awards. In order to receive funding for a given program year, Community Action Agencies are required to submit an annual application to KHRC. Typical applications must include an application summary, response to triennial community needs assessment, a community action plan with targets, budget, cost allocation plan or indirect cost rate, current operating documents, certifications, and assurances as prescribed by HHS. To allow sufficient time for CSBG Eligible Entities to prepare the application and to receive board approval of the application, the release of instructions, including the announcement of the due date, is targeted 60 days prior to the due date. Entities anticipate a due date in late February or early March. Upon receipt of the refunding materials, KHRC staff review the application to ensure that all required elements have been supplied. Entities submitting incomplete applications are notified and asked to submit the missing element(s). No application is knowingly accepted absent required information. Upon acceptance of the refunding application, KHRC issues a Notice of Award/Grant Agreement which includes contractual provisions, notification of special grant conditions (if applicable), and other information which, from time to time, may be applicable. The accepted application is considered part of the binding grant agreement. So that the refunding process does not disrupt the flow of resources and based upon a renewal clause within the previous year's Notice/Agreement, entities may request an advance on the ensuing year's allotment. Entities report CSBG expenditures and receipts using the Grant Transaction Report (GTR) as provided by KHRC. These monthly reports are typically due to KHRC on the 15th of the month following the activity and are the vehicle that generates an end-of-the-month payment in the amount requested by the entity. KHRC's procedures state, "Payments will be processed to arrive on or near the first day of the month." Typically, however, payments responsive to the timely submission of GTRs are deposited to entity bank accounts between the 20th and the 28th day of each month. KHRC systems allow off-schedule payments should an entity feel such a request is necessary.

7.4. Distribution Timeframe:
Does the state plan to make funds available to eligible entities no later than 30 calendar days after OCS distributes the federal award? ☐ Yes ☒ No

7.4a. Distribution Consistency: If no, describe state procedures to ensure funds are made available to eligible entities consistently and without interruption.

Note: Item 7.4 is associated with State Accountability Measure 2Sa and may prepopulate the state's annual report form.

Please see the above response in 7.3.

7.5. Performance Management Adjustment:
Describe the state's strategy for improving grant and/or contract administration procedures under this State Plan as compared to past plans. Any improvements should be based on analysis of past performance, and should consider feedback from eligible entities, OCS, and other sources, such as the public hearing. If the state is not making any improvements, provide further detail.

Note: This information is associated with State Accountability Measure 2Sb and may prepopulate the state's annual report form.

Comments during meetings, including the facilitated network meeting and public hearings, is that some agencies would like KHRC to implement a cap on the amount of funding any given eligible entity could lose in a single funding year. Some agencies would like KHRC to consider adding to the current allocation policy to consider some of the allocation to be based on performance. There have also been comments shared during network meetings that the refunding application is too cumbersome. In response to this comment, KHRC will continue to look for ways to simplify the refunding application. KHRC will continue to encourage CSBG Eligible Entities to provide input on other needed revisions to grant administration procedures.

Administrative Funds [Section 675C(b)(2) of the CSBG Act]

Note: This information pre-populates the state's Annual Report, Module 1, Table E.4.

7.6. Allocated Funds: Specify the percentage of your CSBG planned allocation for administrative activities for the FFY(s) covered by this State plan.

| | | | |
|------------------|------|------------------|------|
| Year One (0.00%) | 5.00 | Year Two (0.00%) | 5.00 |
|------------------|------|------------------|------|

7.7. State Staff: Provide the number of state staff positions to be funded in whole or in part with CSBG funds for the FFY(s) covered by this State Plan

| | | | |
|----------|-------|----------|-------|
| Year One | 18.00 | Year Two | 18.00 |
|----------|-------|----------|-------|

7.8. State FTEs: Provide the number of state Full Time Equivalents (FTEs) to be funded with CSBG funds for the FFY(s) covered by this State Plan

| | | | |
|----------|------|----------|------|
| Year One | 2.00 | Year Two | 2.00 |
|----------|------|----------|------|

7.9. Remainder/Discretionary Funds Use: Does the state have remainder/discretionary funds, as described in Section 675C(b)(1) of the CSBG Act? ☒ Yes ☐ No

If yes, provide the allocated percentage and describe the use of the remainder/discretionary funds in the table below.

| | | | |
|------------------|-------|------------------|-------|
| Year One (0.00%) | 5.00% | Year Two (0.00%) | 5.00% |
|------------------|-------|------------------|-------|

Use of Remainder/Discretionary Funds (See Section 675C(b)(1) of the CSBG Act)

Note: This response will link to the corresponding assurance, Item 14.2.

Instructional Note: The assurance under 676(b)(2) of the Act (Item 14.2 of this State Plan) specifically requires a description of how the state intends to use remainder/discretionary funds to "support innovative community and neighborhood-based initiatives related to the purposes of [the CSBG Act]." Include this description in Item 7.9f of the table below.

If a funded activity fits under more than one category in the table, allocate the funds among the categories. For example, if the state provides funds under a contract with the State Community Action association to provide training and technical assistance to eligible entities and to create a statewide data system, the funds for that contract should be allocated appropriately between Items 7.9a. - 7.9c. If allocation is not possible, the state may allocate the funds to the main category with which the activity is associated.

Note: This information is associated with State Accountability Measures 3Sa and pre-populates the annual report Module 1, Table E.7.

| Remainder/Discretionary Fund Uses | Year One Planned \$ | Brief description of services/activities and/or activities |
|--|------------------------|---|
| 7.9a. Training/technical assistance to eligible entities | \$149,951.00 | These planned services/activities will be described in State Plan Item 8.1. |
| 7.9b. Coordination of state-operated programs and/or local programs | \$0.00 | These planned services/activities will be described in State Plan Section 9, state Linkages and Communication. |
| 7.9c. Statewide coordination and communication among eligible entities | \$0.00 | These planned services/activities will be described in State Plan Section 9, state Linkages and Communication. |
| 7.9d. Analysis of distribution of CSBG funds to determine if targeting greatest need | \$0.00 | No additional costs are planned. |
| 7.9e. Asset-building programs | \$0.00 | No additional costs are planned. |
| 7.9f. Innovative programs/activities by eligible entities or other neighborhood groups | \$149,951.00 | Proposals will be considered innovative if they: 1. Support a project that is new to the agency, 2. Support a modification to an existing project to make it more effective or efficient, 3. Address an unmet need of the agency or community, and/or 4. Support a collaboration that addresses poverty in their communities. It is important to also consider why the innovative solution is better than the more traditional method(s). Possible reasons include: -Saves time, -Is more cost-effective or efficient, -Increases reach and potential beneficiaries, -Reaches new beneficiaries that would not have been reached otherwise, -Provides a more holistic, community-lead, or sustainable solution, -Increases outcomes, -Targets a completely new area (very rare), Innovation as a specific form of change can be defined with respect to various aspects, including: -Product/service innovations, -Process innovations, -Organizational innovations, -Marketing innovations |
| 7.9g. State charity tax credits | \$0.00 | No additional costs are planned. |
| 7.9h. Other activities, specify in column 3 | \$0.00 | No additional costs are planned. |
| Total | \$299,902.00 | |
| Remainder/Discretionary Fund Uses | Year Two Planned \$ | Brief description of services/activities |
| 7.9a. Training/technical assistance to eligible entities | \$149,951.00 | These planned services/activities will be described in State Plan Item 8.1. |
| 7.9b. Coordination of state-operated programs and/or local programs | \$0.00 | These planned services/activities will be described in State Plan Section 9, state Linkages and Communication. |
| 7.9c. Statewide coordination and communication among eligible entities | \$0.00 | These planned services/activities will be described in State Plan Section 9, state Linkages and Communication. |
| 7.9d. Analysis of distribution of CSBG funds to determine if targeting greatest need | \$0.00 | No additional costs are planned. |
| 7.9e. Asset-building programs | 0 | No additional costs are planned. |
| 7.9f. Innovative programs/activities by eligible entities or other neighborhood groups | \$149,951.00 | Proposals will be considered innovative if they: 1. Support a project that is new to the agency, 2. Support a modification to an existing project to make it more effective or efficient, 3. Address an unmet need of the agency or community, and/or 4. Support a collaboration that addresses poverty in their communities. It is important to also consider why the innovative solution is better than the more traditional method(s). Possible reasons include: -Saves time, -Is more cost-effective or efficient, -Increases reach and potential beneficiaries, -Reaches new beneficiaries that would not have been reached otherwise, -Provides a more holistic, community-lead, or sustainable solution, -Increases outcomes, -Targets a completely new area (ve |

| | | |
|---|---------------------|--|
| | | ry rare), Innovation as a specific form of change can be defined with respect to various aspects, including: -Product/service innovations, -Process innovations, -Organizational innovations, -Marketing innovations |
| 7.9g. State charity tax credits | \$0.00 | No additional costs are planned. |
| 7.9h. Other activities, specify in column 3 | \$0.00 | No additional costs are planned. |
| Total | \$299,902.00 | |

7.10. Remainder/Discretionary Funds Partnerships: Select the types of organizations, if any, the State Plans to work with (by grant or contract using remainder/discretionary funds) to carry out some or all of the activities in table 7.9.
[Check all that apply and narrative where applicable]

☐ The state directly carries out all activities (No Partnerships)

☒ The state partially carries out some activities

☒ CSBG eligible entities (*if checked, include the expected number of CSBG eligible entities to receive funds*) 8

☒ Other community-based organizations

☒ State Community Action association

☒ Regional CSBG technical assistance provider(s)

☒ National technical assistance provider(s)

☒ Individual consultant(s)

☐ Tribes and Tribal Organizations

☐ Other

Note: This response will link to the corresponding CSBG assurance, item 14.2.

7.11. Performance Management Adjustment:
Describe any adjustments the state will make to the use of remainder/discretionary funds under this State Plan as compared to past plans. Any adjustment should be based on the state's analysis of past performance, and should consider feedback from eligible entities, OCS, and other sources, such as the public hearing. If the state is not making any adjustments, provide further detail.

Note: This information is associated with State Accountability Measures 3Sb, and may pre-populate the State's annual report form.

KHRC discretionary funding applications require collaboration with the applicable service area eligible entity to prevent duplicated services and encourage linkages. The CSBG Program Manager has provided a more comprehensive definition of how KHRC defines innovation when posting notices of funding availability. Innovative does not always mean the development of a new program. KHRC continues to establish guidelines for awarding discretionary grants as authorized by the Kansas Community Services Block Grant (CSBG). The primary intended use of the grant funds awarded to Kansas and to sub-grantees is to provide assistance to local communities in Kansas, working through a network of Community Action Agencies and other neighborhood-based organizations, for the reduction of poverty, the revitalization of low-income communities, and the empowerment of low-income families and individuals in rural and urban areas to become fully self-sufficient. CSBG Purpose and Goals: -Promoting maximum participation of people and communities with low-income, -Leveraging resources of other anti-poverty programs, -Strengthening community capabilities for planning or coordinating resources for local needs and conditions of poverty, -Using innovative approaches to address causes and conditions of poverty, and -Measuring impact to ensure accountability and ongoing data-driven quality improvement.

Section 8: State Training and Technical Assistance

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
Administration for Children and Families
Community Services Block Grant (CSBG)

Form Approved
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SECTION 8 State Use of Funds

8.1. Training and Technical Assistance Plan: Describe the State's plan for delivering CSBG-funded training and technical assistance to eligible entities under this State Plan by completing the table below.

Add a row for each activity; indicate the timeframe; whether it is training, technical assistance or both; and the topic.

(CSBG funding used for this activity is referenced under item 7.9a Use of, Remainder/Discretionary Funds. States should also describe training and technical assistance activities performed directly by state staff, regardless of whether these activities are funded with remainder/discretionary funds.)

Note: This information is associated with State Accountability Measure 3Scand pre-populates the Annual Report, Module 1, Table F.1.

Training and Technical Assistance - Year One

| | Planned Timeframe | Training, Technical Assistance, or Both | Topic | Brief Description of "Other" |
|---|-----------------------------|---|------------------------------------|--|
| 1 | FY1-Q4 | Both | Other | Support for annual Kansas Conference on Poverty in July (funds available to KACAP after April and expended by September) |
| 2 | Ongoing / Multiple Quarters | Both | Organizational Standards - General | |
| 3 | Ongoing / Multiple Quarters | Both | ROMA | |
| 4 | Ongoing / Multiple Quarters | Both | Reporting | |
| 5 | Ongoing / Multiple Quarters | Both | Communication | |

Training and Technical Assistance - Year Two

| | Planned Timeframe | Training, Technical Assistance, or Both | Topic | Brief Description of "Other" |
|---|-----------------------------|---|------------------------------------|--|
| 1 | FY2-Q4 | Both | Other | Support for annual Kansas Conference on Poverty in July (funds available to KACAP after April and expended by September) |
| 2 | Ongoing / Multiple Quarters | Both | Organizational Standards - General | |
| 3 | Ongoing / Multiple Quarters | Both | ROMA | |
| 4 | Ongoing / Multiple Quarters | Both | Reporting | |
| 5 | Ongoing / Multiple Quarters | Both | Communication | |

8.1a. Training and Technical Assistance Budget: The planned budget for the training and technical assistance plan *(as indicated in the Remainder/Discretionary Funds table in item 7.9):*

| | | | |
|-----------------|-----------|-----------------|-----------|
| Year One | \$149,951 | Year Two | \$149,951 |
|-----------------|-----------|-----------------|-----------|

8.1b. Training and Technical Assistance Collaboration: Describe how the state will collaborate with the state association and other stakeholders in the planning and delivery of training and technical assistance.

KHRC works closely with KACAP and CSBG Eligible Entities to identify the Training and Technical Assistance (T/TA) needs of the network. KHRC will continue to have regular ongoing meetings with the KACAP to discuss T/TA needs and activities, including those related to the Regional Performance Innovation Consortium (RPIC). KHRC will continue to attend the beginning of each bi-monthly KACAP Board of Directors meeting and coordinate the quarterly meetings of the KanDo! ROMA Taskforce. These meetings provide KHRC the opportunity to learn more about the T/TA needs of the eligible entities so that activities are relevant and meet the needs of the network. KACAP and KHRC collaborate together on reviewing the statewide T/TA Needs Assessment results and work together on developing the Kansas T/TA plan. The T/TA activities offered by KHRC will be coordinated with KACAP and will be designed to meet the specific needs of the network. The T/TA activities may be targeted to specific individual agencies or designed for the entire network. When appropriate, CSBG discretionary funding is made available to cover the cost for the T/TA activities. KHRC will continue to support the annual Kansas Conference on Poverty, a statewide conference put on by the KACAP each July.

8.2. TAPs and QIPs: Does the state have Technical Assistance Plans (TAPs) or Quality Improvement Plans (QIPs) in place for all eligible entities with unmet organizational standards, if appropriate? ☒ Yes ☐ No

Note: This information is associated with State Accountability Measure 6Sb.QIPs are described in Section 678C(a)(4) of the CSBG Act. If the State, according to their corrective action procedures, does not plan to put a QIP in place for an eligible entity with one or more unmet organizational standards, the State should put a TAP in place to support the entity in meeting the standard(s).

8.2a. Address Unmet Organizational Standards: Describe the state's plan to provide T/TA to eligible entities to ensure they address unmet Organizational Standards. As described in 8.1b, KHRC works closely with eligible entities to identify T/TA needs, including those related to unmet organizational standards. Not only is this information gathered by attending the meetings identified in 8.1b, but it is also discussed at the end of monitoring visits. When needed, KHRC will offer direct technical assistance to an eligible entity to help the agency meet requirements for organizational standards. CSBG discretionary funding may be used to support that T/TA need. In addition, KHRC plans to offer annual CSBG Organizational Standards training including expectations of the documentation required to demonstrate compliance of each standard.

8.3. Training and Technical Assistance Organizations: Indicate the types of organizations through which the State Plans to provide training and/or technical assistance as described in item 8.1, and briefly describe their involvement. *[Check all that apply.]*

- | | |
|-------------------------------------|--|
| <input type="checkbox"/> | CSBG eligible entities (<i>if checked, provide the expected number of CSBG eligible entities to receive funds</i>) |
| <input checked="" type="checkbox"/> | Other community-based organizations |
| <input checked="" type="checkbox"/> | State Community Action association |
| <input checked="" type="checkbox"/> | Regional CSBG technical assistance provider(s) |
| <input checked="" type="checkbox"/> | National technical assistance provider(s) |
| <input checked="" type="checkbox"/> | Individual consultant(s) |
| <input type="checkbox"/> | Tribes and Tribal Organizations |
| <input type="checkbox"/> | Other |

8.4. Performance Management Adjustment: Describe adjustments the state made to the training and technical assistance plan under this State Plan as compared to past plans. Any adjustment should be based on the state's analysis of past performance, and should consider feedback from eligible entities, OCS, and other sources, such as the public hearing. If the state is not making any adjustments, provide further detail.

Note: This information is associated with State Accountability Measures 3Sd may pre-populate the state's annual report form

KHRC collaborates with KACAP to develop and/or update a statewide assessment for the Kansas CSBG eligible entities. This assessment leads to the development and/or update of the Kansas Training and Technical Assistance Plan. Each year, KHRC awards discretionary funds to KACAP to support the annual Kansas Conference on Poverty, a statewide conference that delivers specific T/TA activities in response to the high priority technical assistance needs identified in the Kansas Training and Technical Assistance Plan. One-on-one T/TA is provided to eligible entities ongoing and/or as needed.

Section 9: State Linkages and Communication

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
Administration for Children and Families
Community Services Block Grant (CSBG)

Form Approved
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SECTION 9 State Linkages and Communication

Note: This section describes activities that the state may support with CSBG remainder/discretionary funds, described under Section 675C(b)(1) of the CSBG Act. The state may indicate planned use of remainder/discretionary funds for linkage/communication activities in Section 7, State Use of Funds, items 7.9(b) and (c).

9.1. State Linkages and Coordination at the state Level:

Describe the linkages and coordination at the state level that the State intends to create or maintain to ensure increased access to CSBG services to low-income people and communities under this State Plan and avoid duplication of services (as required by the assurance under Section 676(b)(5)).

Describe additional information as needed. [Check all that apply from the list below and provide a narrative]

Note: This response will link to the corresponding CSBG assurance, item 14.5. In addition, this item is associated with State Accountability Measure 7Sa and may pre-populate the State's Annual Report, Module 1, Item G.1.

- ☒ State Low Income Home Energy Assistance Program (LIHEAP) office
- ☒ State Weatherization office
- ☒ State Temporary Assistance for Needy Families (TANF) office
- ☒ State Head Start office
- ☒ State public health office
- ☒ State education department
- ☒ State Workforce Innovation and Opportunity Act (WIOA) agency
- ☒ State budget office
- ☒ Supplemental Nutrition Assistance Program (SNAP)
- ☒ State child welfare office
- ☒ State housing office
- ☐ Other

Please see the below response in 9.2.

9.2. State Linkages and Coordination at the Local Level:

Describe the linkages and coordination at the local level that the state intends to create or maintain with governmental and other social services, especially antipoverty programs, to assure the effective delivery of and coordination of CSBG services to low-income people and communities and avoid duplication of services, (and as required by assurances under Sections 676(b)(5) - (6))

Note: This response will link to the corresponding CSBG assurances, items 14.5 and 14.6., and pre-populates the Annual Report, Module 1, Item G.2.

In addition to CSBG, KHRC is the State lead for other programs specifically targeted to benefit individuals with low incomes, including the Weatherization Assistance Program (WAP), Emergency Solutions Grant (ESG), and Tenant-Based Rental Assistance (TBRA). A comprehensive view of KHRC's programs can be viewed at <https://kshousingcorp.org>. KHRC has long partnered with the Kansas Department for Children and Families (DCF). DCF administers TANF, LIHEAP, SNAP, and serves as the child welfare office. KHRC coordinates outreach efforts with the Kansas State Department of Education and Kansas Department of Labor, especially with recent Kansas Emergency Rental Assistance (KERA) activities. KHRC reports and interacts with the Kansas Division of Budget on at least a monthly basis. These activities are among the many that allow KHRC to understand the challenges faced by low-income individuals and communities. Importantly, KHRC's many affiliations provide forums through which effective, non-duplicative solutions can be explored and deployed. In addition to the activities described above, KHRC will continue to support KACAP's annual Conference on Poverty, a statewide conference that brings together a wide range of stakeholders. The Conference on Poverty provides an opportunity for CSBG Eligible Entities to network with State departments and create linkages to increase access to services for their customers.

9.3. Eligible Entity Linkages and Coordination

9.3a. State Assurance of Eligible Entity Linkages and Coordination:

Describe how the state will assure that the eligible entities will coordinate and establish linkages to assure the effective delivery of and coordination of CSBG services to low-income people and communities and avoid duplication of services (as required by the assurance under Section 676(b)(5)).

Note: This response will link to the corresponding CSBG assurance, item 14.5. and pre-populates the Annual Report, Module 1, Item G.3a.

During the annual CSBG application submission, eligible entities certify that they will coordinate and establish linkages between governmental and other social services programs to assure the effective delivery of services to low-income individuals and to avoid duplication of services. Each eligible entity tracks linkages ongoing. These linkages are verified and evaluated periodically and/or during CSBG on-site monitoring visits. In addition, eligible entities report the progress of linkages during CSBG narrative and module reporting periods.

9.3b State Assurance of Eligible Entity Linkages to Fill Service Gaps:

Describe how the eligible entities will develop linkages to fill identified gaps in the services, through the provision of information, referrals, case management, and follow-up consultations, according to the assurance under Section 676(b)(3)(B) of the CSBG Act.

Note: This response will link to the corresponding CSBG assurance, item 14.3b., and pre-populates the Annual Report, Module 1, Item G.3b.

All Kansas CSBG Eligible Entities operate within a network of local social service providers ongoing. These networks exist in order to reduce duplication of effort, coordinate resources, and develop referral networks to address customer and/or community needs. Networks throughout the state include ongoing city, county, and/or regional area coalitions or workgroups.

9.4. Workforce Innovation and Opportunity Act (WIOA) Employment and Training Activities:

Does the state intend to include CSBG employment and training activities as part of a WIOA Combined State Plan, as allowed under the Workforce Innovation and Opportunity Act (as required by the assurance under Section 676(b)(5) of the CSBG Act)? ☐ Yes ☒ No

Note: This response will link to the corresponding CSBG assurance, item 14.5.

9.4a. WIOA Combined Plan: If the state selected "yes" under item 9.4, provide the CSBG-specific information included in the state's WIOA Combined Plan. This information includes a description of how the state and the eligible entities will coordinate the provision of employment and training activities through statewide and local WIOA workforce development systems. This information may also include examples of innovative employment and training programs and activities conducted by community action agencies or other neighborhood-based organizations as part of a community antipoverty strategy.

9.4b. Employment and Training Activities: If the state selected "no" under item 9.4, describe the coordination of employment and training activities, as defined in Section 3 of WIOA, by the state and by eligible entities providing activities through the WIOA system.

Although the Kansas Workforce Innovation and Opportunity Act (WIOA) Combined Plan does not specifically include CSBG eligible entities, entities partner and coordinate activities with the local Kansas Workforce Centers <https://www.kansascommerce.gov/contact/contact-workforce> on a regular basis. The Kansas WIOA is delivered by the Department for Commerce. WIOA continues to include the mandate that any programs that provide employment and training activities carried out under the Community Services Block Grant Act are required partners. Employment and training activities are defined as any activity described in Section 134 of WIOA, and includes activities such as job search and placement assistance, career counseling, job recruitment, providing workforce and labor statistics such as job vacancy listings and job market information, providing information regarding the filing of claims for unemployment compensation, assistance in establishing eligibility for programs of financial aid assistance for training and education programs that are not funded under WIOA, or referrals to any such services. During the annual CSBG application submission, eligible entities describe how they coordinate and establish linkages for the provision of employment and training activities with entities providing activities through statewide and local workforce investment systems and other programs, to assure the effective delivery of services to low-income individuals and to avoid duplication of services. Each eligible entity tracks activities ongoing. These activities are verified and evaluated periodically and/or during CSBG on-site monitoring visits. In addition, eligible entities report the progress of activities during CSBG narrative and module reporting periods.

9.5. Emergency Energy Crisis Intervention:

Describe how the state will assure, where appropriate, that emergency energy crisis intervention programs under Title XXVI (relating to Low-Income Home Energy Assistance) are conducted in each community in the state, as required by the assurance under Section 676(b)(6) of the CSBG Act).

Note: This response will link to the corresponding CSBG assurance, item 14.6.

During the annual CSBG application submission, eligible entities certify that they will ensure coordination between anti-poverty programs in their communities and ensure, where appropriate, that emergency energy crisis intervention programs under title XXVI (relating to low-income home energy assistance) are conducted. The Kansas Low-Income Energy Assistance Program (LIEAP) is delivered by the Department for Children and Families (DCF). The Kansas CSBG policy requires eligible entities to communicate with emergency energy crisis intervention programs at the local level. KHRC alerts eligible entities of DCF's LIEAP application period and as it becomes known. Typically, eligible entities relay the information to their customers and in some cases, assist customers in completing the application. Given that KHRC administers the Weatherization Assistance Program (WAP), regular conversations between KHRC and DCF frequently occur. At least once each year, KHRC staff will meet with LIEAP (Low-Income Energy Assistance Program) staff to support ongoing collaboration and to explore avenues for further cooperation between the two programs. To the maximum extent possible, KHRC and LIEAP staff will jointly participate in interagency task forces. Through these efforts, KHRC staff will seek further avenues through which CSBG and LIEAP can work together. Eligible entities execute the assurance by maintaining communication with local DCF service center offices <http://www.dcf.ks.gov/DCFContacts/Pages/default.aspx> that distribute LIEAP funds. Eligible entities make reasonable efforts to establish procedures for sharing information on recipients of energy assistance services with local LIEAP programs so that duplication of energy assistance services can be avoided. KHRC staff may review efforts to coordinate services with LIEAP through its monitoring of eligible entities.

9.6. Faith-based Organizations, Charitable Groups, Community Organizations:

Describe how the state will assure local eligible entities will coordinate and form partnerships with other organizations, including faith-based organizations, charitable groups, and community organizations, according to the state's assurance under Section 676(b)(9) of the CSBG Act.

Note: this response will link to the corresponding assurance, item 14.9.

Kansas Eligible Entities have a long history of working in partnership with a variety of groups, organizations, and institutions. Many of these groups are represented on CSBG Eligible Entities' Boards of Directors. Included are banks, chambers of commerce, child care providers, civic groups, faith-based organizations, governmental entities, health care institutions, and practitioners, neighborhood groups, schools, service providers, private foundations, professional associations, and unions. KHRC encourages eligible entities to inventory their partnerships, to intentionally consider the scope and depth of each, and to tie each partnership to their respective strategic plans. Each eligible entity tracks partnerships ongoing. These partnerships are verified and evaluated periodically and/or during CSBG on-site monitoring visits. In addition, eligible entities report progress during CSBG narrative and module reporting periods.

9.7. Coordination of Eligible Entity 90 Percent Funds with Public/Private Resources:

Describe how the eligible entities will coordinate CSBG 90 percent funds with other public and private resources, according to the assurance under Section 676(b)(3)(C) of the CSBG Act.

Note: this response will link to the corresponding assurance, item 14.3c.

A strength of the Kansas Network is the ability of the entities to use their CSBG dollars to leverage additional public and private resources to target to achieve outcomes within the framework of the three national goals. In addition to the direct services provided for low-income families and communities, CSBG resources are used to support the infrastructure of Kansas Eligible Entities. This coordinated and comprehensive approach to mitigating the causes and effects of poverty pursued by Kansas entities requires sound systems of governance and of fiscal and personnel management. CSBG funds allow Kansas CSBG Eligible Entities to develop and maintain these essential systems. The resulting accountability and capacity enable Kansas agencies to access, or leverage, additional public, and private resources to further their direct service efforts. According to the FFY 2019 State CSBG Facts Sheet published by NASCSP at <https://nascsp.org/wp-content/uploads/2021/04/Kansas.pdf>, Kansas eligible entities reported for every \$1 of CSBG, Kansas eligible entities leveraged \$8.45 from federal, state, local, and private sources, including the value of volunteers.

9.8. Coordination among Eligible Entities and State Community Action Association:

Describe state activities for supporting coordination among the eligible entities and the state community action association.

Note: This information will pre-populate the Annual Report, Module 1, Item G.5.

As identified throughout this plan, KHRC continues its commitment to support coordination and collaboration among the eligible entities and KACAP together as a team. KHRC recognizes benefit in the association of the State's eligible entities and, as a best practice, encourages each to maintain a partnership with their peers through membership in KACAP. Tangible benefits accrue to affiliated entities and membership provides a vehicle through which entities can contribute to the statewide effort to impact the causes and effects of poverty. KHRC staff are willing and able to participate in all affinity groups or meetings lead by KACAP and/or eligible entities.

9.9. Communication with Eligible Entities and the State Community Action Association:

In the table below, detail how the state intends to communicate with eligible entities, the state community action association, and other partners identified under this State Plan on the topics listed below. For any topic that is not applicable, select "Not Applicable" under Expected Frequency.

| Communication Plan | | | |
|--|--------------------|---|------------------------------|
| Subject Matter | Expected Frequency | Format | Brief description of "Other" |
| Upcoming Public and/or Legislative Hearings | Annually | Meetings/Presentation Email Website 1:1 Phone Calls Public Notice Other | Video conference |
| State Plan Development | Annually | Meetings/Presentation Email Website 1:1 Phone Calls Public Notice Other | Video conference |
| Organizational Standards Progress | As needed | Meetings/Presentation Email 1:1 Phone Calls Other | Video conference |
| State Accountability Measures Progress | Quarterly | Meetings/Presentation Email 1:1 Phone Calls Other | Video conference |
| Community Needs Assessments/Community Action Plans | Annually | Meetings/Presentation Email 1:1 Phone Calls Other | Video conference |
| State Monitoring Plans and Policies | Annually | Meetings/Presentation Email 1:1 Phone Calls Other | Video conference |
| Training and Technical Assistance (T/TA) Plans | Annually | Meetings/Presentation Email 1:1 Phone Calls Other | Video conference |
| ROMA and Performance Management | Quarterly | Meetings/Presentation Email 1:1 Phone Calls Other | Video conference |
| State Interagency Coordination | As needed | Meetings/Presentation Email 1:1 Phone Calls Other | Video conference |
| CSBG Legislative/Programmatic Updates | As needed | Meetings/Presentation Email 1:1 Phone Calls Other | Video conference |
| Tripartite Board Requirements | Quarterly | Meetings/Presentation Email | Video conference |

| | | | |
|--|--|-----------------------------|---------------|
| | | 1:1 Phone Calls Other | |
| | Topic | Expected Frequency | Format |
| 1 | Multiple ongoing communication formats will be used for all subject matters. | As needed | |
| 9.10. Feedback to Eligible Entities and State Community Action Association: Describe how the state will provide information to local entities and state community action associations regarding performance on state accountability measures. | | | |
| <i>Note: This information is associated with State Accountability Measure 5S(iii). and will pre-populate the Annual Report, Module 1, Item G.6</i> | | | |
| Ongoing, KHRC provides information and feedback to local entities and KACAP through written/oral reports at the KACAP meeting immediately following the availability of the information. KACAP meetings typically occur on the first Tuesday of even-numbered months. The written report and any supplemental documents that may be included are delivered to entities upon completion of the meeting including those entities not represented at the meeting. If circumstances prevent an oral presentation, a written report providing feedback to local entities and KACAP regarding performance on State Accountability Measures will be provided within 60 days of the availability of the information. An oral report will follow at the next KACAP meeting. | | | |
| 9.11. Performance Management Adjustment: Describe any adjustments the state made to the Communication Plan in this State Plan as compared to past plans. Any adjustment should be based on the state's analysis of past performance, and should consider feedback from eligible entities, OCS, and other sources, such as the public hearing. If the state is not making any adjustments, provide further detail. | | | |
| <i>Note: This information is associated with State Accountability Measures 7Sb; this response may pre-populate the state's annual report form.</i> | | | |
| Consistent and ongoing communication with eligible entities and KACAP, individually and as a group through a variety of formats (email, video, phone, in-person) will help to develop a trusted working relationship based on collaboration, respect, and mutual support. KHRC will be a true partner in the work and purposes of CSBG. KHRC will continue to work toward and foster, open and transparent communication with all eligible entities and KACAP. | | | |

Section 10: Monitoring, Corrective Action, and Fiscal Controls

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
Administration for Children and Families
Community Services Block Grant (CSBG)

Form Approved
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SECTION 10 State Use of Funds

Monitoring, Corrective Action and Fiscal Controls (Section 678B(a) of the Act)

10.1. Specify the proposed schedule for planned monitoring visits-including: full on-site reviews; on-site reviews of newly designated entities; follow-up reviews - including return visits to entities that failed to meet State goals, standards, and requirements; and other reviews as appropriate.

This is an estimated schedule to assist states in planning. States may indicate "no review" for entities the state does not plan to monitor in the performance period.

Note: This information is associated with State Accountability Measure 4Sa(i); this response pre-populates the Annual Report, Module 1, Table H.1.

| | CSBG Eligible Entity | Monitoring Type | Review Type | Target Quarter | Start Date of Last Full Onsite Review | End Date of Last Full Onsite Review | Brief Description of "Other" |
|---|---|-----------------|---------------|----------------|---------------------------------------|-------------------------------------|------------------------------|
| 1 | Wichita Sedgwick County Community Action Partnership, City of Wichita | No review | | | | | |
| 2 | Southeast Kansas Community Action Program, Inc. | Full On-site | Onsite Review | FY1 Q3 | 05/05/2021 | 05/07/2021 | |
| 3 | Economic Opportunity Foundation, Inc. | Full On-site | Onsite Review | FY1 Q1 | 11/08/2021 | 11/10/2021 | |
| 4 | East Central Kansas Economic Opportunity Corporation | Full On-site | Onsite Review | FY1 Q3 | 05/25/2021 | 05/27/2021 | |
| 5 | Northeast Kansas Community Action Program | No review | | | | | |
| 6 | Mid-Kansas Community Action Program | No review | | | | | |
| 7 | Community Action, Inc. | Full On-site | Onsite Review | FY1 Q3 | 04/27/2021 | 04/29/2021 | |
| 8 | Harvest America Corporation | No review | | | | | |

| | CSBG Eligible Entity | Monitoring Type | Review Type | Target Quarter | Start Date of Last Full Onsite Review | End Date of Last Full Onsite Review | Brief Description of "Other" |
|---|---|-----------------|---------------|----------------|---------------------------------------|-------------------------------------|------------------------------|
| 1 | Wichita Sedgwick County Community Action Partnership, City of Wichita | Full On-site | Onsite Review | FY2 Q1 | 07/13/2021 | 07/15/2021 | |
| 2 | Southeast Kansas Community Action Program, Inc. | No review | | | | | |
| 3 | Economic Opportunity Foundation, Inc. | No review | | | | | |
| 4 | East Central Kansas Economic Opportunity Corporation | No review | | | | | |
| 5 | Northeast Kansas Community Action Program | Full On-site | Onsite Review | FY2 Q4 | 07/20/2021 | 07/22/2021 | |
| 6 | Mid-Kansas Community Action Program | Full On-site | Onsite Review | FY2 Q4 | 08/10/2021 | 08/12/2021 | |
| 7 | Community Action, Inc. | No review | | | | | |
| 8 | Harvest America Corporation | Full On-site | Onsite Review | FY2 Q1 | 10/19/2021 | 10/21/2021 | |

10.2. Monitoring Policies:

Provide a copy of state monitoring policies and procedures by attaching and/or providing a hyperlink.

Monitoring is an ongoing process that includes a review of grant applications, KanDo! ROMA quarterly reports, monthly Grant Transaction Reports (GTR), independent or single audit reports and management letters, governing board meeting agendas and minutes, and the review of monitoring reports from other entities (as available). On-site monitoring may occur at any time and without notice. Typically, however, written notice of the monitoring visit will be provided, at a minimum, one week in advance of the visit. CSBG Eligible Entities can generally anticipate a biennial (every other year) on-site review by KHRC staff for the purposes of monitoring. The monitoring review will determine the CSBG eligible entity's compliance with applicable federal regulations and state requirements, including, but not limited to, the Federal CSBG Act, Uniform Administrative Requirements for HHS Awards (45 C.F.R Part 75), Center of Excellence's CSBG Organizational Standards and the Kansas CSBG Policies and Procedures. An on-site monitoring visit may include, but is not limited to, a review of 1) Program policies and procedures 2) Income guidelines and verification procedures 3) Intake forms and procedures 4) Program work plans and activities 5) CSBG administrative files 6) Personnel policies, files, and job descriptions 7) Inventory and procurement procedures 8) Cooperative agreements and contracts, including consultant contracts 9) Organizational structure and lines of authority 10) Board member files, bylaws, and meeting documentation 11) Fiscal policies and practices 12) Service activity reports, data tracking, and reporting systems, and supporting documentation 13) Interviews with program staff regarding program operations and job functions 14) Interviews with administrative and fiscal staff 15) Interviews with members of the governing/administering boards. For additional information see Section 4 of KHRC's Kansas CSBG Policy and Procedure Manual.

| |
|---|
| 10.3. Initial Monitoring Reports: According to the state's procedures, by how many calendar days must the State disseminate initial monitoring reports to local entities? |
| <i>Note: This item is associated with State Accountability Measure 4Sa(ii) and may pre-populate the state's annual report form.</i> |
| 60 |
| Corrective Action, Termination and Reduction of Funding and Assurance Requirements (Section 678C of the Act) |
| 10.4. Closing Findings: Are state procedures for addressing eligible entity findings/deficiencies and documenting the closure of findings, included in the State monitoring protocols attached above? <input checked="" type="radio"/> Yes <input type="radio"/> No |
| 10.4a. Closing Findings Procedures: If no describe state procedures for addressing eligible entity findings/deficiencies, and documenting the closure of findings. |
| Described above, please see Item 10.2 |
| 10.5. Quality Improvement Plans (QIPs): Provide the number of eligible entities currently on QIPs, if applicable. |
| <i>Note: The QIP information is associated with State Accountability Measures 4Sc.</i> |
| 0 |
| 10.6. Reporting of QIPs: Describe the state's process for reporting eligible entities on QIPs to the Office of Community Services within 30 calendar days of the State approving a QIP |
| <i>Note: This item is associated with State Accountability Measures 4Sa(iii).</i> |
| Section 4 of the Kansas CSBG Manual of Policies and Procedures provides information on the CSBG monitoring process, a description of how the results of the monitoring visits are shared with the eligible entities and the process for developing Quality Improvement Plans is in section 4100. As described in the policy and procedures manual, a written summary of the monitoring visit is provided to the eligible entity within 60 days following the visit. Compliance matters are identified in the monitoring report and require an entity's response, which may be a technical assistance plan (TAP) or a Quality Improvement Plan (QIP). The TAP or QIP is due to KHRC within 30 days following the issuance of the report. KHRC reviews and provides a response to the submitted TAP or QIP within 30 days of its receipt. The CSBG Program Manager will notify the Office of Community Services (OCS) within 30 days of the acceptance of a QIP (Kansas CSBG Manual of Policies and Procedures, section 4106). Unless otherwise specified, this notification to OCS will be an email sent by the CSBG Program Manager to the OCS Program Specialist. Eligible entities with active QIPs provide the CSBG Program Manager quarterly updates regarding their progress toward achieving the QIP. Once all of the compliance matters within the QIP have successfully been resolved and the QIP has been closed, the CSBG Program Manager will notify the OCS Program Specialist. |
| 10.7. Assurance on Funding Reduction or Termination: The state assures, "that any eligible entity that received CSBG funding the previous fiscal year will not have its funding terminated or reduced below the proportional share of funding the entity received in the previous fiscal year unless, after providing notice and an opportunity for a hearing on the record, the State determines that cause exists for such termination or such reduction, subject to review by the Secretary as provided in Section 678C(b)" per Section 676(b)(8). <input checked="" type="radio"/> Yes <input type="radio"/> No |
| <i>Note: This response will link with the corresponding assurance under item 14.8.</i> |
| Policies on Eligible Entity Designation, De-designation, and Re-designation |
| 10.8. Eligible Entity Designation: Do the State CSBG statute and/or regulations provide for the designation of new eligible entities? <input type="radio"/> Yes <input checked="" type="radio"/> No |
| 10.8a. New Designation Citation: If yes, provide the citation(s) of the law and/or regulation. |
| 10.8b. New Designation Procedures: If no, describe state procedures for the designation of new eligible entities and how the procedures were made available to eligible entities and the public |
| The state follows the procedures described in Section 676A of the Coats Human Services Reauthorization Act of 1998 (CSBG Act) and CSBG Information Memorandum 116 for the designation of new eligible entities. |
| 10.9. Eligible Entity Termination: Do State CSBG statute and/or regulations provide for termination of eligible entities <input type="radio"/> Yes <input checked="" type="radio"/> No |
| 10.9a. Termination Citation: If yes, provide the citation(s) of the law and/or regulation. |
| 10.9b. Termination Procedures: If no, describe state procedures for termination of new eligible entities and how the procedures were made available to eligible entities and the public |
| The state's policy follows the procedures described in Section 676A of the Coats Human Services Reauthorization Act of 1998 (CSBG Act) and CSBG Information Memorandum 116 for the termination of eligible entities (please see Item 10.2 above, CSBG Policy and Procedure Manual, Section 4500). |
| 10.10. Eligible Entity Re-designation: Does the State CSBG statute and/or regulations provide for re-designation of an existing eligible entity? <input type="radio"/> Yes <input checked="" type="radio"/> No |
| 10.10a. Re-Designation Citation: If Yes, provide the citation(s) of the law and/or regulation. |
| 10.10b. Re-Designation Procedures: If no, describe state procedures for re-designation of existing eligible entities and how the procedures were made available to eligible entities and the public. |
| The state follows the procedures described in Section 676A of the Coats Human Services Reauthorization Act of 1998 (CSBG Act) and CSBG Information Memorandum 116 for the re-designation of eligible entities. |
| Fiscal Controls and Audits and Cooperation Assurance |
| 10.11. Fiscal Controls and Accounting: Describe how the state's fiscal controls and accounting procedures will a) permit preparation of the SF-425 Federal fiscal reports (FFR) and b) p |

permit the tracing of expenditures adequate to ensure funds have been used appropriately under the block grant, as required by Block Grant regulations applicable to CSBG at 45 CFR 96.30(a).

KHRC has policies and procedures and financial controls in place that provide for the segregation of duties and, in many cases, redundancies that ensure accurate CSBG financial records and compliance with Federal grant requirements. 1) The SF-425 Federal fiscal report requires information regarding grant funds received, disbursed, on hand, obligated and unliquidated. In addition, information about program income earned and expended is required. This information is tracked by the accounting software and a project cost module used by KHRC. The accounting software reports are balanced monthly with information from the HHS Division of Payment Management as well as against reports from sub-recipients. This ensures accurate information is available for use in the preparation of the SF-425 Federal fiscal report. Submission of the report is accomplished by way of the HHS On-Line Data Collection (OLDC) system. OLDC requires the involvement of multiple individuals each of whom verifies the accuracy of report information prior to submission. Hard copy documentation supporting the submission is maintained electronically by the Division Director, CSBG Program Manager, and Fiscal Monitor. 2) Grant expenditures are traceable through KHRC's accounting software back to hard-copy, source documentation maintained by KHRC's Finance Division. Source documentation demonstrates that transactions are Federally allowable and in compliance with applicable grant requirements.

10.12. Single Audit Management Decisions:

Describe state procedures for issuing management decisions for eligible entity single audits, as required by Block Grant regulations applicable to CSBG at 45 CFR 75.521.

Note: This information is associated with State Accountability Measure 4Sd.

The Kansas CSBG Policy and Procedure Manual guides eligible entities to provide a courtesy notification of their annual Single Audit submission to the Federal Audit Clearinghouse within 30-days of submission. That notification of submission prompts a review of the Single Audit report. KHRC's Finance Division leads and documents the review of each Single Audit annually. Single Audit Reports are revisited by CSBG staff during monitoring and at the time of annual refunding application. This redundancy serves as a check to the Finance Division's timely release of the management decision. The process continues as reports are received. Additionally, an Audit Certification is required of each eligible entity during the refunding application submission. For those subject to a Single Audit, the Audit Certification asks for the audit schedule. As an added measure, sub-recipient board meeting minutes are monitored.

10.13. Assurance on Federal Investigations:

The state will "permit and cooperate with Federal investigations undertaken in accordance with Section 678D" of the CSBG Act, as required by the assurance under Section 676(b)(7) of the CSBG Act. ☒ Yes ☐ No

Note: This response will link with the corresponding assurance, Item 14.7.

10.14. Performance Management Adjustment:

Describe any adjustments the state made to monitoring procedures in this State Plan as compared to past plans. Any adjustment should be based on the state's analysis of past performance, and should consider feedback from eligible entities, OCS, and other sources, such as the public hearing. If the state is not making any adjustments, provide further detail.

Note: This item is associated with State Accountability Measure 4Sb and may pre-populate the state's annual report form.

KHRC subscribes to Community Software Group's (CSG) Organizational Standards web-based system. KHRC requires eligible entities to upload and submit all standards documentation annually by March 31. KHRC then reviews documentation for compliance for each standard for each eligible entity. Since the implementation of CSG in FY2018, KHRC no longer conducts on-site initial assessments of the organizational standards in conjunction with on-site monitoring visits. ACSI survey and other eligible entity comments recommend that KHRC continue to look for opportunities to eliminate duplicate information. KHRC will carefully analyze documentation uploaded to CSG as compared to documentation requested for on-site monitoring visits to eliminate the duplication of documentation.

Section 11: Eligible Entity Tripartite Board

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
Administration for Children and Families
Community Services Block Grant (CSBG)

Form Approved
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SECTION 11 State Use of Funds

11.1. Tripartite Board Verification: Verify which of the following measures are taken to ensure that the state verifies CSBG Eligible Entities are meeting Tripartite Board requirements under Section 676B(a)(2) of the CSBG Act *[Check all that applies and narrative where applicable]*

- ☒ Attend Board meetings
- ☒ Organizational Standards Assessment
- ☒ Monitoring
- ☒ Review copies of Board meeting minutes
- ☒ Track Board vacancies/composition
- ☒ **Other** Board members may be interviewed during CSBG monitoring visits.

11.2. Tripartite Board Updates: Provide how often the state requires eligible entities (which are not on TAPs or QIPs) to provide updates regarding their Tripartite Boards. This includes but is not limited to copies of meeting minutes, vacancy alerts, changes to bylaws, low-income member's election process, etc., *[Select one and narrative where applicable]*

- ☐ Annually
- ☐ Semiannually
- ☐ Quarterly
- ☐ Monthly
- ☒ As it Occurs
- ☐ Other

11.3. Tripartite Board Representation Assurance: Describe how the state will verify that eligible entities have policies and procedures by which individuals or organizations can petition for adequate representation on an eligible entity's Tripartite Board as required by the assurance under Section 676(b)(10) of the CSBG Act

Note: This response will link with the corresponding assurance, item 14.10.

The Kansas CSBG Policy and Procedure Manual (Section 6300) guides eligible entities to establish procedures within their bylaws for adequate representation of each Tripartite Board. In addition, KHRC reviews each entity's by-laws annually during standards review and/or during regular on-site monitoring visits to assure this requirement is met.

11.4. Tripartite Board Alternative Representation: Does the state permit public eligible entities to use, as an alternative to a Tripartite Board, "another mechanism specified by the state to assure decision-making and participating by low income individuals in the development, planning, implementation, and evaluation of programs" as allowed under Section 676B(b)(2) of the CSBG Act. ☒ Yes ☐ No

11.4a. Tripartite Board Alternative Mechanism: If yes, describe the mechanism used by public eligible entities as an alternative to a Tripartite Board.

Kansas has one public eligible entity, by statute, an alternative for this entity is an Administering Board. (CSBG Policy and Procedure Manual - Section 6101)

Section 12: Individual and Community Eligibility Requirements

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
Administration for Children and Families
Community Services Block Grant (CSBG)

Form Approved
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SECTION 12 Individual and Community Income Eligibility Requirements

12.1. Required Income Eligibility:

Provide the income eligibility threshold for services in the state.

[Check one item below.]

☒ 125% of the HHS poverty line ☐ X% of the HHS poverty line (fill in the threshold) ☐ Varies by eligible entity

0% % *[Response Option: numeric field]*

12.1a. Income Eligibility Policy and Procedures: Describe any state policy and/or procedures for income eligibility, such as treatment of income and family/household composition.

The Kansas CSBG Policy and Procedure Manual (Section 8000) thoroughly describes eligibility and consistency among each eligible entity. Section 8001 specifies entities shall develop and implement written standards of operation, including program policies and procedures, to govern programs operated in whole or part with CSBG funds. Eligible entities shall, on submission of the annual refunding application, ensure that KHRC is provided a current, dated copy of the approved program policies and procedures. Section 8002, provides a list of what should be included in the program and procedures developed by the eligible entity. Item B in section 8002, specifies that the program policies and procedures shall include the eligibility criteria, including the income verification period, income guidelines, eligibility period and residency verification procedures and criteria. Section 8004, Items A-E provides guidance to the eligible entities on verification of family income and provides a detailed description of what shall be included when determining family income. As noted in this section, family income means total cash receipts before taxes from all sources, with the exceptions noted within the section. Income includes money wages or salary before deductions and net income from non-farm self-employment. Tax refunds are excluded when determining family income. The poverty guideline must be followed when providing material aid by programs operated solely with CSBG funds. The poverty guideline is not a consideration when non-consumer-specific, community development activities are undertaken to alleviate the causes and effects of poverty. The timeframes used for determining income verification vary between eligible entities. Generally, the timeframes are not less than 3 months and no more than 12 months. During the annual CSBG monitoring visits, the CSBG Program Manager reviews the eligible entity's compliance with the established income verification process.

12.2. Income Eligibility for General/Short-Term Services:

Describe how the state ensures eligible entities generally verify income eligibility for those services with limited in-take procedures (where individual income verification is not possible or practical). An example of these services is emergency food assistance.

The Kansas CSBG Policy and Procedure Manual (Section 8004) allows for eligible entities to use a self-declaration of income with client signature, as a method of last resort for a clients unable to provide other income verification methods. Applicants with no income must declare so in writing. Each of the eligible entities have incorporated this language in their income verification procedures. During the annual CSBG monitoring visits, the CSBG Program Manager reviews the eligible entity's compliance with the established income verification process.

12.3. Community-targeted Services: Describe how the state ensures eligible entities' services target and benefit low-income communities for services that provide a community-wide benefit (e.g., development of community assets/facilities, building partnerships with other organizations).

The state ensures eligible entity services target and benefit low-income communities that benefit entire communities by engagement and monitoring with all CSBG activities including but not limited to Community Needs Assessments, Strategic Plans, Community Action Plans, Organizational Standards compliance, Tripartite Boards, Linkages, and overall income eligibility requirements as outlined above in 12.1 and 12.3.

Section 13: Results Oriented Management and Accountability (ROMA) System

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
Administration for Children and Families
Community Services Block Grant (CSBG)

Form Approved
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SECTION 13 Results Oriented Management and Accountability (ROMA) System

13.1. Performance Management System:

Identify the performance measurement system that the state and all eligible entities use, as required by Section 678E(a) of the CSBG Act and the assurance under Section 676(b)(12) of the CSBG Act.

Note: This response will also link to the corresponding assurance, Item 14.12. and will pre-populate the Annual Report, Module 1, Item I.1.

- ☒ The Results Oriented Management and Accountability (ROMA) System
- ☐ Another performance management system that meets the requirements by Section 678E(b) of the CSBG Act
- ☐ An alternative system for measuring performance and results

13.1a. ROMA Description: If ROMA was chosen in Item 13.1, describe the state's written policies, procedures, or guidance documents on ROMA.

The Kansas Community Action Network developed the KanDo! ROMA Taskforce many years ago. The KanDo! ROMA Taskforce is comprised of members from the eight Community Action Agencies, KACAP, and KHRC. The KanDo! ROMA Plan was developed by the Task Force as a fluid document that can be revised as needed to keep the network current with all national and state reporting requirements. It provides a uniform understanding of the national goals, performance indicators, expectations for the use of data for performance management, and details regarding quarterly and annual reporting of customer demographics and outcomes. The Kansas CSBG Policy and Procedure Manual (Section 6501) requires entities to have a documented process that ensures initial and continued ROMA training for each entity Board of Directors.

13.1b. Alternative System Description: If an alternative system was chosen in Item 13.1, describe the system the state will use for performance measurement.

13.2. Outcome Measures: Indicate and describe the outcome measures the state will use to measure eligible entity performance in promoting self-sufficiency, family stability, and community revitalization, as required under Section 676(b)(12) of the CSBG Act.

Note: This response will also link to the corresponding assurance, Item 14.12.

- ☒ CSBG National Performance Indicators (NPIs)
- ☐ NPIs and others
- ☐ Others

Kansas uses the National Performance Indicators to measure eligible entities' performance in promoting self-sufficiency, family stability, and community revitalization. KHRC encourages eligible entities to list other indicators if the NPIs do not capture the outcomes the eligible entity is trying to capture. Eligible Entities use the NASCSP Smart Forms (Excel Workbook) to track outcomes for Modules 2, 3, and 4. Eligible entities submit the Smart Form (Excel Workbooks) Module 2 and 3 to KHRC annually and Smart Form Module 4 cumulative quarterly. In addition to Module 4 cumulative quarterly reports, eligible entities submit a narrative report quarterly. Following each submission of the quarterly report forms (Module 4 and the narrative report), KHRC offers a video conference with the eligible entity to review data. Eligible Entities are required to submit an annual refunding application that includes the Community Action Plan, logic models, and performance targets. Performance toward the outcome measures and the agency's targeting accuracy is reviewed following the submission of the quarterly report forms and after the submission of the annual report forms. Performance is also examined during the on-site CSBG Monitoring visits.

13.3. Eligible Entity Support: Describe how the state supports the eligible entities in using the ROMA or alternative performance measurement system.

Note: The activities described under Item 13.3 may include activities listed in "Section 8: State Training and Technical Assistance." If so, mention briefly, and/or cross-reference as needed. This response will also link to the corresponding assurance, item 14.12.

KHRC deploys multiple strategies to support the Eligible Entities in using ROMA. These strategies include: 1. Quarterly meetings of the KanDo! Task Force. The Task Force is comprised of representatives from each of the eligible entities. Discussion topics at Task Force meetings typically include strategies for executing the complete ROMA cycle, reporting essentials, software concerns and challenges and needs and methods for data authentication. The changes to, and challenges presented by, the CSBG Annual Report have been discussed during the KanDo! Taskforce meetings and will continue to be a topic. 2. KHRC offers each eligible entity the opportunity to review their data after each submission of the quarterly Module 4 and narrative reports. These calls provide an opportunity for KHRC and the eligible entity to review the data together and discuss any data issues they may be encountering. 3. The development and annual update of a KanDo! Plan provides the entire Kansas Network with a uniform understanding of the national goals and performance indicators, expectations for the use of the data for performance management, and detail regarding quarterly and annual reporting of customer demographics and outcomes. 4. The review of performance targets established within the Community Action Plan and the tracking of progress toward those targets, quarterly and over time. 5. Earmarking remainder/discretionary funds to potentially support eligible entity performance management needs including technology and ROMA credentialing.

13.4. Eligible Entity Use of Data:

Describe how the state plans to validate that the eligible entities are using data to improve service delivery.

Note: This response will also link to the corresponding assurance, Item 14.12.

Through the activities described in 13.3, entities are supported in their use of the entire ROMA performance management cycle. The execution of the complete performance management cycle, including the use of collected data to improve service delivery, is evaluated during CSBG on-site monitoring.

Community Action Plans and Needs Assessments

13.5. Community Action Plan: Describe how the state will secure a Community Action Plan from each eligible entity, as a condition of receipt of

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| <p>CSBG funding by each entity, as required by Section 676(b)(11) of the CSBG Act.</p> |
| <p><i>Note: this response will link to the corresponding assurance, Item 14.11.</i></p> |
| <p>In accordance with the assurance in 676(b)(11) of the Act, KHRC will ensure that each of the State's eligible entities, as part of their annual application, has completed a Community Needs Assessment and produced a Community Action Plan. Kansas CSBG Eligible Entities are required to complete a full Community Needs Assessment at least every three years. Updates are to be provided annually, as applicable. The full assessment and the annual updates are maintained on file by KHRC as a demonstration of compliance with the State's requirements. Each entity is required, as part of their annual Community Action Plan, to describe how entity leadership, including the governing board, used the assessment to plan for the expenditure of its pending CSBG award. Within the framework of the three national goals, applicants must connect their assessment findings with a plan that seeks outcomes for low-income families and communities. Applications for the CSBG program year (April - March) are typically due one month prior to the start of the program year. Application instructions provide detailed guidance related to the Community Needs Assessment and the preparation of the annual Community Action Plan.</p> |
| <p>13.6. Community Needs Assessment: Describe how the State will assure that each eligible entity includes a community needs assessment for the community served (which may be coordinated with community needs assessments conducted by other programs) in each entity's Community Action Plan, as required by Section 676(b)(11) of the CSBG Act.</p> |
| <p><i>Note: this response will link to the corresponding assurance, Item 14.11.</i></p> |
| <p>Kansas CSBG Eligible Entities are required to complete a full Community Needs Assessment at least every three years. Updates are to be provided annually, as applicable. The full assessment and the annual updates are maintained on file by KHRC as a demonstration of compliance with the State's requirements. Each entity is required, as part of their annual Community Action Plan, to describe how entity leadership, including the governing board, used the assessment to plan for the expenditure of its pending CSBG award. Within the framework of the three national goals, applicants must connect their assessment findings with a plan that seeks outcomes for low-income families and communities. Applications for the CSBG program year (April - March) are typically due one month prior to the start of the program year. Application instructions provide detailed guidance related to the community assessment and the preparation of the annual Community Action Plan.</p> |

Section 14: CSBG Programmatic Assurances and Information Narrative

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
Administration for Children and Families
Community Services Block Grant (CSBG)

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SECTION 14 State Use of Funds

14.1 Use of Funds Supporting Local Activities

CSBG Services

14.1a. 676(b)(1)(A): Describe how the state will assure "that funds made available through grant or allotment will be used -

(A) to support activities that are designed to assist low-income families and individuals, including families and individuals receiving assistance under title IV of the Social Security Act, homeless families and individuals, migrant or seasonal farm workers, and elderly low-income individuals and families, and a description of how such activities will enable the families and individuals--

- (i) to remove obstacles and solve problems that block the achievement of self sufficiency (particularly for families and individuals who are attempting to transition off a State program carried out under part A of title IV of the Social Security Act);
- (ii) to secure and retain meaningful employment;
- (iii) to attain an adequate education with particular attention toward improving literacy skills of the low-income families in the community, which may include family literacy initiatives;
- (iv) to make better use of available income;
- (v) to obtain and maintain adequate housing and a suitable living environment;
- (vi) to obtain emergency assistance through loans, grants, or other means to meet immediate and urgent individual and family needs;
- (vii) to achieve greater participation in the affairs of the communities involved, including the development of public and private grassroots partnerships with local law enforcement agencies, local housing authorities, private foundations, and other public and private partners to -
 - (I) document best practices based on successful grassroots intervention in urban areas, to develop methodologies for wide spread replication; and
 - (II) strengthen and improve relationships with local law enforcement agencies, which may include participation in activities such as neighborhood or community policing efforts;

Annually, each Kansas eligible entity provides the State with a statement signed by both the executive director and the board chair assuring that it will use CSBG funds for projects responsive to the Section 676(b)(1)(A) of the CSBG Act. KHRC ensures compliance with this Assurance through its review of Community Action Plans and budgets required and submitted annually by each eligible entity. Each Plan defines the entity's scope of work for the ensuing year. Reports received and reviewed by KHRC following the conclusion of each Federal fiscal year quarter, detail each entity's progress in completing the Plan. KHRC examines the entity's progress toward their Community Action Plans during on-site CSBG Monitoring visits and ongoing.

Needs of Youth

14.1b. 676(b)(1)(B) Describe how the state will assure "that funds made available through grant or allotment will be used -

(B) to address the needs of youth in low-income communities through youth development programs that support the primary role of the family, give priority to the prevention of youth problems and crime, and promote increased community coordination and collaboration in meeting the needs of youth, and support development and expansion of innovative community-based youth development programs that have demonstrated success in preventing or reducing youth crime, such as--

- (i) programs for the establishment of violence-free zones that would involve youth development and intervention models (such as models involving youth mediation, youth mentoring, life skills training, job creation, and entrepreneurship programs); and
- (ii) after-school child care programs;

Annually, each Kansas eligible entity provides the State with a statement signed by both the executive director and the board chair assuring that it will use CSBG funds for projects responsive to the Section 676(b)(1)(A) of the CSBG Act. KHRC ensures compliance with this Assurance through its review of Community Action Plans and budgets required and submitted annually by each eligible entity. Each Plan defines the entity's scope of work for the ensuing year. Reports received and reviewed by KHRC following the conclusion of each Federal fiscal year quarter, detail each entity's progress in completing the Plan. KHRC examines the entity's progress toward their Community Action Plans during on-site CSBG Monitoring visits.

Coordination of Other Programs

14.1c. 676(b)(1)(C) Describe how the state will assure "that funds made available through grant or allotment will be used -

(C) to make more effective use of, and to coordinate with, other programs related to the purposes of this subtitle (including state welfare reform efforts)

Annually, each Kansas eligible entity provides the State with a statement signed by both the executive director and the board chair assuring that it will use CSBG funds for projects responsive to the Section 676(b)(1)(C) of the CSBG Act. KHRC ensures compliance with this Assurance through its review of Community Action Plans and budgets, required and submitted annually by each eligible entity. Each Plan defines the entity's scope of work for the ensuing year. Reports received and reviewed by KHRC following the conclusion of each Federal fiscal year quarter, detail each entity's progress in completing the Plan. KHRC examines the entity's progress toward their Community Action Plans during on-site CSBG Monitoring visits.

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| State Use of Discretionary Funds |
| 14.2 676(b)(2) Describe "how the state intends to use discretionary funds made available from the remainder of the grant or allotment described in section 675C(b) in accordance with this subtitle, including a description of how the state will support innovative community and neighborhood-based initiatives related to the purposes of this subtitle." |
| <i>Note: The State describes this assurance under "State Use of Funds: Remainder/Discretionary," items 7.9 and 7.10</i> |
| Eligible Entity Service Delivery, Coordination, and Innovation |
| 14.3. 676(b)(3) "Based on information provided by eligible entities in the state, a description of..." |
| 14.3a. 676(b)(3)(A) Describe "the service delivery system, for services provided or coordinated with funds made available through grants made under 675C(a), targeted to low-income individuals and families in communities within the state; |
| Annually, each Kansas eligible entity provides the State with a statement signed by both the executive director and the board chair assuring that it will use CSBG funds for projects responsive to the Section 676(b)(3)(A) of the CSBG Act. KHRC ensures compliance with this Assurance through its review of Community Action Plans and budgets required and submitted annually by each eligible entity. Each Plan defines the entity's scope of work for the ensuing year. Reports received and reviewed by KHRC following the conclusion of each Federal fiscal year quarter, detail each entity's progress in completing the Plan. KHRC examines the entity's progress toward their Community Action Plans during on-site CSBG Monitoring visits. |
| Eligible Entity Linkages - Approach to Filling Service Gaps |
| 14.3b. 676(b)(3)(B) Describe "how linkages will be developed to fill identified gaps in the services, through the provision of information, referrals, case management, and followup consultations." |
| <i>Note: The state describes this assurance in the state linkages and communication section, item 9.3b.</i> |
| All Kansas CSBG Eligible Entities operate within a network of local social service providers ongoing. These networks exist in order to reduce duplication of effort, coordinate resources, and develop referral networks to address customer and/or community needs. Networks throughout the state include ongoing city, county, and/or regional area coalitions or workgroups. |
| Coordination of Eligible Entity Allocation 90 Percent Funds with Public/Private Resources |
| 14.3c. 676(b)(3)(C) Describe how funds made available through grants made under 675C(a) will be coordinated with other public and private resources." |
| <i>Note: The state describes this assurance in the state linkages and communication section, item 9.7.</i> |
| A strength of the Kansas Network is the ability of the entities to use their CSBG dollars to leverage additional public and private resources to target to achieve outcomes within the framework of the three national goals. In addition to the direct services provided for low-income families and communities, CSBG resources are used to support the infrastructure of Kansas Eligible Entities. This coordinated and comprehensive approach to mitigating the causes and effects of poverty pursued by Kansas entities requires sound systems of governance and of fiscal and personnel management. CSBG funds allow Kansas CSBG Eligible Entities to develop and maintain these essential systems. The resulting accountability and capacity enable Kansas agencies to access, or leverage, additional public, and private resources to further their direct service efforts. According to the FFY 2019 State CSBG Facts Sheet published by NASCSP at https://nascsp.org/wp-content/uploads/2021/04/Kansas.pdf , Kansas eligible entities reported for every \$1 of CSBG, Kansas eligible entities leveraged \$8.45 from federal, state, local, and private sources, including the value of volunteers. |
| Eligible Entity Innovative Community and Neighborhood Initiatives, Including Fatherhood/Parental Responsibility |
| 14.3d. 676(b)(3)(D) Describe "how the local entity will use the funds [made available under Section 675C(a)] to support innovative community and neighborhood-based initiatives related to the purposes of this subtitle, which may include fatherhood initiatives and other initiatives with the goal of strengthening families and encouraging parenting." |
| <i>Note: The description above is about eligible entity use of 90 percent funds to support these initiatives. States may also support these types of activities at the local level using State remainder/discretionary funds, allowable under Section 675C(b)(1)(F). In this State Plan, the State indicates funds allocated for these activities under item 7.9(f).</i> |
| Annually, each Kansas eligible entity provides the State with a statement signed by both the executive director and the board chair assuring that it will use CSBG funds for projects responsive to the Section 676(b)(1)(A) of the CSBG Act. KHRC ensures compliance with this Assurance through its review of Community Action Plans and budgets required and submitted annually by each eligible entity. Each Plan defines the entity's scope of work for the ensuing year. Reports received and reviewed by KHRC following the conclusion of each Federal fiscal year quarter, detail each entity's progress in completing the Plan. KHRC examines the entity's progress toward their Community Action Plans during on-site CSBG Monitoring visits. |
| Eligible Entity Emergency Food and Nutrition Services |
| 14.4. 676(b)(4) Describe how the state will assure "that eligible entities in the state will provide, on an emergency basis, for the provision of such supplies and services, nutritious foods, and related services, as may be necessary to counteract conditions of starvation and malnutrition among low-income individuals." |
| Annually, each Kansas eligible entity provides the State with a statement signed by both the executive director and the board chair assuring that it will use CSBG funds for projects responsive to the Section 676(b)(4) of the CSBG Act. KHRC ensures compliance with this Assurance through its review of Community Action Plans and budgets required and submitted annually by each eligible entity. Each Plan defines the entity's scope of work for the ensuing year. Reports received and reviewed by KHRC following the conclusion of each Federal fiscal year quarter, detail each entity's progress in completing the Plan. KHRC examines the entity's progress toward their Community Action Plans during on-site CSBG Monitoring visits. |
| State and Eligible Entity Coordination/linkages and Workforce Innovation and Opportunity Act Employment and Training Activities |

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| <p>14.5. 676(b)(5) Describe how the state will assure "that the state and eligible entities in the state will coordinate, and establish linkages between, governmental and other social services programs to assure the effective delivery of such services, and [describe] how the State and the eligible entities will coordinate the provision of employment and training activities, as defined in section 3 of the Workforce Innovation and Opportunity Act, in the state and in communities with entities providing activities through statewide and local workforce development systems under such Act."</p> <p><i>Note: The state describes this assurance in the state linkages and communication section, items 9.1, 9.2, 9.3a, 9.4, 9.4a, and 9.4b.</i></p> |
| <p align="center">State Coordination/Linkages and Low-income Home Energy Assistance</p> |
| <p>14.6. 676(b)(6) Provide "an assurance that the state will ensure coordination between antipoverty programs in each community in the state, and ensure, where appropriate, that emergency energy crisis intervention programs under title XXVI (relating to low income home energy assistance) are conducted in such community."</p> <p><i>Note: The state describes this assurance in the state linkages and communication section, items 9.2 and 9.5.</i></p> |
| <p align="center">Federal Investigations</p> |
| <p>14.7. 676(b)(7) Provide "an assurance that the state will permit and cooperate with Federal investigations undertaken in accordance with section 678D." Yes</p> <p><i>Note: The state addresses this assurance in the Fiscal Controls and Monitoring section, item 10.13.</i></p> |
| <p align="center">Funding Reduction or Termination</p> |
| <p>14.8. 676(b)(8) Provide "an assurance that any eligible entity in the state that received funding in the previous fiscal year through a community services block grant made under this subtitle will not have its funding terminated under this subtitle, or reduced below the proportional share of funding the entity received in the previous fiscal year unless, after providing notice and an opportunity for a hearing on the record, the state determines that cause exists for such termination or such reduction, subject to review by the Secretary as provided in section 678C(b)." Yes</p> <p><i>Note: The state addresses this assurance in the Fiscal Controls and Monitoring section, item 10.7.</i></p> |
| <p align="center">Coordination with Faith-based Organizations, Charitable Groups, Community Organizations</p> |
| <p>14.9. 676(b)(9) Describe how the state will assure "that the state and eligible entities in the state will, to the maximum extent possible, coordinate programs with and form partnerships with other organizations serving low-income residents of the communities and members of the groups served by the state, including religious organizations, charitable groups, and community organizations."</p> <p><i>Note: The state describes this assurance in the state Linkages and Communication section, item 9.6.</i></p> |
| <p align="center">Eligible Entity Tripartite Board Representation</p> |
| <p>14.10. 676(b)(10) Describe how "the state will require each eligible entity in the state to establish procedures under which a low-income individual, community organization, or religious organization, or representative of low-income individuals that considers its organization, or low-income individuals, to be inadequately represented on the board (or other mechanism) of the eligible entity to petition for adequate representation."</p> <p><i>Note: The state describes this assurance in the Eligible Entity Tripartite Board section, 11.3.</i></p> <p>The Kansas CSBG Policy and Procedure Manual (Section 6300) guides eligible entities to establish procedures within their bylaws for adequate representation of each Tripartite Board. In addition, KHRC reviews each entity's by-laws annually during standards review and/or during regular on-site monitoring visits to assure this requirement is met.</p> |
| <p align="center">Eligible Entity Community Action Plans and Community Needs Assessments</p> |
| <p>14.11. 676(b)(11) Provide "an assurance that the state will secure from each eligible entity in the services block grant made under this subtitle for a program, a community action plan (which shall be submitted to the Secretary, at the request of the Secretary, with the State plan) that includes a community-needs assessment for the community served, which may be coordinated with community-needs assessments conducted for other programs."</p> <p><i>Note: The state describes this assurance in the ROMA section, items 13.5 and 13.6.</i></p> |
| <p align="center">State and Eligible Entity Performance Measurement: ROMA or Alternate system</p> |
| <p>14.12. 676(b)(12) Provide "an assurance that the state and all eligible entities in the State will, not later than fiscal year 2001, participate in the Results Oriented Management and Accountability System, another performance measure system for which the Secretary facilitated development pursuant to 678E(b), or an alternative system for measuring performance and results that meets the requirements of that section, and [describe] outcome measures to be used to measure eligible entity performance in promoting self-sufficiency, family stability, and community revitalization."</p> <p><i>Note: The state describes this assurance in the ROMA section, items 13.1, 13.2, 13.3, and 13.4.</i></p> |
| <p align="center">Validation for CSBG Eligible Entity Programmatic Narrative Sections</p> |
| <p>14.13. 676(b)(13) Provide "information describing how the state will carry out the assurances described in this section."</p> <p><i>Note: The state provides information for each of the assurances directly in section 14 or in corresponding items throughout the State Plan, which are included as hyperlinks in section 14.</i></p> |
| <p><input checked="" type="checkbox"/> By checking this box, the state CSBG authorized official is certifying the assurances set out above.</p> |

Section 15: Federal Certifications

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES
Administration for Children and Families
Community Services Block Grant (CSBG)

Form Approved
OMB No:0970-0382
Expires:06/30/2021

SECTION 15 Federal Certifications

15.1. CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The box after each certification must be checked by the state CSBG authorized official.

15.1. Lobbying

After assurance select a check box:



By checking this box, the state CSBG authorized official is providing the certification set out above.

15.2. CERTIFICATION REGARDING DRUG-FREE WORKPLACE REQUIREMENTS

This certification is required by the regulations implementing the Drug-Free Workplace Act of 1986: 45 CFR Part 76, Subpart, F. Sections 76.630(c) and (d)(2) and 76.645 (a)(1) and (b) provide that a Federal agency may designate a central receipt point for STATE-WIDE AND STATE AGENCY-WIDE certifications, and for notification of criminal drug convictions. For the Department of Health and Human Services, the central point is: Division of Grants Management and Oversight, Office of Management and Acquisition, Department of Health and Human Services, Room 517-D, 200 Independence Avenue, SW Washington, DC 20201.

Certification Regarding Drug-Free Workplace Requirements (Instructions for Certification)

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification set out below.

2. The certification set out below is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, the agency, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.

3. For grantees other than individuals, Alternate I applies.

4. For grantees who are individuals, Alternate II applies.

5. Workplaces under grants, for grantees other than individuals, need to be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.

6. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or state highway department while in operation, state employees in each local unemployment office, performers in concert halls or radio studios).

7. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph five).

8. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

Controlled substance means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);.

Conviction means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the federal or state criminal drug statutes;

Criminal drug statute means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

Employee means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All direct charge employees; (ii) All indirect charge employees unless their impact or involvement is insignificant to the performance of the grant; and, (iii) Temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in cov

ered workplaces).

Certification Regarding Drug-Free Workplace Requirements

Alternate I. (Grantees Other Than Individuals)

The grantee certifies that it will or will continue to provide a drug-free workplace by:

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

(b) Establishing an ongoing drug-free awareness program to inform employees about--

(1) The dangers of drug abuse in the workplace;

(2) The grantee's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

(c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);

(d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will - -

(1) Abide by the terms of the statement; and (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

(e) Notifying the agency in writing, within 10 calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

(f) Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d)(2), with respect to any employee who is so convicted - -

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a federal, state, or local health, law enforcement, or other appropriate agency;

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e) and (f).

The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Check if there are workplaces on file that are not identified here.

Alternate II. (Grantees Who Are Individuals)

(a)The grantee certifies that, as a condition of the grant, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant;

(b)If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, he or she will report the conviction, in writing, within 10 calendar days of the conviction, to every grant officer or other designee, unless the Federal agency designates a central point for the receipt of such notices. When notice is made to such a central point, it shall include the identification number(s) of each affected grant.

[55 FR 21690, 21702, May 25, 1990]

15.2. Drug-Free Workplace Requirements

After assurance select a check box:



By checking this box, the state CSBG authorized official is providing the certification set out above.

15.3. CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS

Certification Regarding Debarment, Suspension, and Other Responsibility Matters - - Primary Covered Transactions

Instructions for Certification

1. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.

2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.

3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

4. The prospective primary participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

5. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.

6. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

7. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusive-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions

8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

Certification Regarding Debarment, Suspension, and Other Responsibility Matters - - Primary Covered Transactions

(1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency;

(b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, state or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and

(d) Have not within a three-year period preceding this application/proposal had one or more public transactions (federal, state or local) terminated for cause or default.

(2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - - Lower Tier Covered Transactions

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below

2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other

3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or had become erroneous by reason of changed circumstances.

4. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meaning set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.

5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

6. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from covered transactions, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

9. Except for transactions authorized under paragraph five of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - - Lower Tier Covered Transactions

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

15.3. Debarment

After assurance select a check box:



By checking this box, the state CSBG authorized official is providing the certification set out above.

15.4. CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE

Public Law 103227, Part C Environmental Tobacco Smoke, also known as the Pro Children Act of 1994, requires that smoking not be permitted in any portion of any indoor routinely owned or leased or contracted for by an entity and used routinely or regularly for provision of health, day care, education, or library services to children under the age of 18, if the services are funded by Federal programs either directly or through state or local governments, by Federal grant, contract, loan, or loan guarantee. The law does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions of facilities used for inpatient drug or alcohol treatment. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1000 per day and/or the imposition of an administrative compliance order on the responsible entity by signing and submitting this application the applicant/grantee certifies that it will comply with the requirements of the Act.

The applicant/grantee further agrees that it will require the language of this certification be included in any subawards which contain provisions for the children's services and that all subgrantees shall certify accordingly.

15.4. Environmental Tobacco Smoke

After assurance select a check box:



By checking this box, the state CSBG authorized official is providing the certification set out above.

THE PAPERWORK REDUCTION ACT OF 1995 (Pub. L. 104-13)

Public reporting burden for this collection of information is estimated to average 10 hours per response, including the time for reviewing instructions, gathering and maintaining the data needed, and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.