CSBG Annual Report, Understanding Module 3, Community Level

July 17, 2017

Housekeeping

- All attendees' audio is muted.
- If you have a question, please enter it into the Chat addressed to "All Panelists."
  - The Chat box is located on the right-hand side of your screen.
- Questions will be answered during the Q&A period at the end of the webinar. Questions that are not answered during the webinar will be answered in an FAQ.

Presenters

- Seth Hassett, Director, Division of Community Assistance, OCS

- Denise Harlow, Chief Executive Officer, CAP

- Jarle Crocker, Director, Training and Technical Assistance, CAP

- Barbara Mooney, ANCRT

- Katy Kujawski, Research Director, NASCSP

- Lauren Cook, CSBG Deputy Director, NASCSP

Agenda

- Module 3: Community Level
  - Consider the elements in the New Annual Report, Module 3
    - Section A: Community Initiative Status Form
    - Section B: Community National Performance Indicators (NPIs)
    - Section C: Community Strategies
- Tools and Resources

Introduction

Setting the stage

PERFORMANCE MANAGEMENT FRAMEWORK

Performance Management Framework

- Local Organizational Standards

- State and Federal Accountability Measures

- Results Oriented Management and Accountability system

- ROMA Next Generation

- CSBG Annual Report, which includes an updated and refined set of CSBG outcome measures (NPIs).

ROMA Next Generation Is Intended To…

- Demonstrate a commitment to Outcomes.

- Strengthen local solutions for families and communities.

- Improve CSBG **Performance Management and Performance Measurement.**

- Demonstrate Continuous Quality Improvement across a complex system.

- Build agency capacity for the long term.

Reporting Timelines

CSBG Reporting Timelines for States and local CSBG Eligible Entities

FFY October 1- September 30	State Reporting Period A July 1 – June 1 (7 States)	State Reporting Period B October 1 – September 30 (29 States)	State Reporting Period C January 1 – December 31 (17 States)	Data Submission for *States*:	Data Submission for *local CSBG Eligible Entities*:
FFY 2017: October 1, 2016- September 30, 2017	State Reporting Period: July 1, 2016- June 30, 2017 ✔	State Reporting Period: October 1, 2016- September 30, 2017 **(In Progress)**	State Reporting Period: January 1, 2017- December 31, 2017 **(In Progress)**	**Due March 31, 2018:** *CSBG IS Survey* is submitted to NASCSP. *Module 1* is completed based on the 2017 Federal Fiscal Year and submitted through OLDC.	*CSBG IS Survey*
FFY 2018: October 1, 2017- September 30, 2018	State Reporting Period: July 1, 2017- June 30, 2018 **(In Progress)**	State Reporting Period: October 1, 2017- September 30, 2018	State Reporting Period: January 1, 2018- December 31, 2018	**Due March 31, 2019:** *CSBG Annual Report (Modules 1-4)* is submitted through OLDC. Module 1 is based on the Federal Fiscal Year. Modules 2-4 are based on the State Reporting Period.	*CSBG Annual Report* (replaces CSBG IS Survey)

HOW DOES THIS ALL FIT TOGETHER?

CAA Community Needs Assessment	→	CAA Strategic Plan	→	CAA Community Action Plan
CSBG State Plan	→	CSBG Annual Report	→	Congressional Report

The National Community Action Network Theory of Change

Community Action Goals

Goal 1: Individuals and families with low incomes are stable and achieve economic security.

Goal 2: Communities where people with low incomes live are healthy and offer economic opportunity.

Goal 3: People with low incomes are engaged and active in building opportunities in communities.

Services and Strategies

Employment

Education & Cognitive Development

Income, Infrastructure & Asset Building

Housing

Health/Social Behavioral Development

Civic Engagement & Community Involvement

Core Principles

- Recognize the complexity of the issues of poverty
- Build local solutions specific to local needs
- Support family stability as a foundation for economic security
- Advocate for systemic change
- Pursue positive individual, family, and community level change
- Maximize involvement of people with low incomes
- Engage local community partners and citizens in solutions
- Leverage state, federal, and community resources

Performance Management

How well does the network operate? ⟷ What difference does the network make?

- Local Organizational Standards
- State and Federal Accountability Measures
- Results Oriented Management and Accountability System

- Individual and Family National Performance Indicators
- Community National Performance Indicators

CLOSE TO FINAL

A national network of over 1,000 high performing Community Action Agencies, State Associations, State offices, and Federal partners supported by the Community Services Block Grant (CSBG) to mobilize communities to fight poverty.

CLOSE TO FINAL

CSBG Annual Report

Module 1	• State Administration
Module 2	• CSBG Eligible Entity Expenditures, Capacity, and Resources
Module 3	• Community Level ⬅
Module 4	• Individual and Family Level

Module 3
COMMUNITY LEVEL

Community Level Work

- **Economic Opportunity Act and CSBG Act** include the importance of addressing poverty on both the individual/family and community levels.

112 STAT. 2728   PUBLIC LAW 105–285—OCT. 27, 1998

42 USC 9801 note.

SEC. 119. REPEAL OF HEAD START TRANSITION PROJECT ACT.

The Head Start Transition Project Act (42 U.S.C. 9855–9855g) is repealed.

TITLE II—COMMUNITY SERVICES BLOCK GRANT PROGRAM

SEC. 201. REAUTHORIZATION.

The Community Services Block Grant Act (42 U.S.C. 9901 et seq.) is amended to read as follows:

Community Services Block Grant Act.

"Subtitle B—Community Services Block Grant Program

42 USC 9901 note.

"SEC. 671. SHORT TITLE.

"This subtitle may be cited as the 'Community Services Block Grant Act'.

42 USC 9901.

"SEC. 672. PURPOSES AND GOALS.

"The purposes of this subtitle are—

"(1) to provide assistance to States and local communities, working through a network of community action agencies and other neighborhood-based organizations, for the reduction of poverty, the revitalization of low-income communities, and the empowerment of low-income families and individuals in rural and urban areas to become fully self-sufficient (particularly families who are attempting to transition off a State program carried out under part A of title IV of the Social Security Act (42 U.S.C. 601 et seq.)); and

"(2) to accomplish the goals described in paragraph (1) through—

"(A) the strengthening of community capabilities for planning and coordinating the use of a broad range of Federal, State, local, and other assistance (including private resources) related to the elimination of poverty, so that this assistance can be used in a manner responsive to local needs and conditions;

"(B) the organization of a range of services related to the needs of low-income families and individuals, so that these services may have a measurable and potentially major impact on the causes of poverty in the community and may help the families and individuals to achieve self-sufficiency;

"(C) the greater use of innovative and effective community-based approaches to attacking the causes and effects of poverty and of community breakdown;

"(D) the maximum participation of residents of the low-income communities and members of the groups served by programs assisted through the block grants made under this subtitle to empower such residents and members to respond to the unique problems and needs within their communities; and

CSBG Act

- Included in the purpose and goals:

"...to provide assistance to States and local communities, working through a network of community action agencies and other neighborhood based organizations for the reduction of poverty, the revitalization of low-income communities, and the empowerment of low-income families and individuals..."

"...the greater use of innovative and effective community-based approaches to attacking the causes and effects of poverty and of community breakdown;"

Community Level Work

- Module 3 is a renewal of the CSBG network's understanding of the necessity of working toward community change as well as individual and family outcomes.

- Module 3 creates the reporting space for CAAs who are tackling complex community level issues.

NEW CSBG ANNUAL REPORT | MODULE 3

- **Section A:** Community Initiative Status Form

- **Section B:** Community National Performance Indicators (NPIs)

- **Section C:** Community Strategies

Module 3 – Community Level	CSBG IS Survey
Section A: Community Initiative Status Form	National Performance Indicator 4.1 - Expanding Opportunities through Community-Wide Partnerships
Section B: Community National Performance Indicators (NPIs)	National Performance Indicator 2.1 – Community Improvement and Revitalization National Performance Indicator 2.2 – Community Quality of Life and Assets National Performance Indicator 2.3 – Community Engagement National Performance Indicator 3.2 – Community Empowerment through Maximum Feasible Participation
Section C: Community Strategies	National Performance Indicator 2.1 – Community Improvement and Revitalization

Community Needs

- When we hear agencies talk about needs that were assessed, they are often community level needs:
  - Our community lacks living wage jobs.
  - There is a need for more affordable housing.
  - We have a high unemployment rate.
  - We have a low high school graduation rate in the school district that serves our customers with low incomes.

Community Responses – Identifying Outcomes

- ROMA Next Generation asks the entire CSBG network to consider how we might change community conditions

- What will change in communities?
  - Increase in living wage jobs
  - Addition of more affordable housing units?
  - Increased high school graduation rate for low-income students?

Community Responses – Identifying Strategies

- ROMA Next Generation asks the entire CSBG network to consider **strategies** that change community conditions.

- What will agencies do to help make these kinds of changes in their communities?

- Who will we partner with (as these difficult problems usually involve multiple organizations.)

Module 3: Community Level

- Collects **information** on the agency's community level initiatives.

- Showcases the **connection** between initiatives and outcomes.

- Demonstrates how initiatives would be **reported on overtime.**

- **Auto-population** where information carries over from year to year; **auto-calculation** of results.

- Expects that most CAAs have a handful of initiatives that include community strategies and **achieve community level change.**

Module 3: Community Level

What types of community level work are to be reported under Module 3?

- Only report on initiatives with community level outcomes that are planned/achieved.

- Each reporting period the CAA should include initiatives that were started, continued or completed.

- Initiatives that the CAA does alone or in partnership with others should be included.

- **Do not report on community meetings, community efforts, or other activities that are not designed to pursue specific community level outcome(s).**

Module 3: Community Level

The Community Initiative Status Form looks complicated and time consuming, is it going to take me a lot of time to complete this?

- A CAA will include only initiatives that have community level outcomes. For most CAAs it is expected they will have perhaps a handful of initiatives each year.

- Dropdown menus and auto-population will be included in the online system to make it quicker.

- Agencies should have all the information readily available and will only need to complete the form once a year, depending on State reporting requirements.

Module 3: Community Level

Will all local agencies be required to report in Module 3?

- CAAs are required to complete a Community Needs Assessment (CNA) and provide the State with a Community Action Plan that responds to the unique local causes and conditions of poverty.

- A CAA is expected to be able to defend their programmatic decisions based on having conducted a viable CNA, having clear outcomes identified, and a rational for selecting the strategy(s) being implemented.

COMMUNITY INITIATIVE STATUS FORM

Module 3, Section A: Community Initiative Status Form

Name of CSBG Eligible Entity Reporting: _____

	Use the dropdown menu to select the response where appropriate.
1. Initiative Name	
2. Initiative Year	1-7+ years
3. Problem Identification	Narrative (Provide a narrative on the scope of the problem)
4. Goal/Agenda	Narrative (Provide a narrative on the goal/agenda)
5. Issue/CSBG Community Domains	Employment; Education and Cognitive Development; Income, Infrastructure, and Asset Building; Housing; Health and Social/Behavioral Development; or Civic Engagement and Community Involvement
6. Ultimate Expected Outcome	Community Level National Performance Indicators (NPIs) (Reference the Community NPIs listed in Section B)
7. Identified Community	Neighborhood, City, School District, County, Service Area, State, Region, or Other
8. Expected Duration	Narrative (Provide the range in years, e.g. 1-3 years)
9. Partnership Type	Independent CAA Initiative, CAA is the core organizer of multi-partner Initiative, or CAA is one of multiple active investors and partners

Module 3, Section A: Community Initiative Status Form

10. Partners	Narrative (Provide a narrative on the key 1-3 partners)
11. Strategy(ies)	Select from the Community Level Strategies listed in Section C
12. Progress on Outcomes/Indicators	No Outcomes to Report, Interim Outcomes, Final Outcomes
13. Impact of Outcomes	Narrative (Provide additional information on the scope of the impact of these outcomes. e.g. If an initiative created a health clinic, please describe how many individuals and families are expected to be impacted.)
14. Outcomes/Indicators to Report	Community Level National Performance Indicators (NPIs) (Reference the Community NPIs listed in Section B)
15. Final Status	Initiative Active, Initiative Ended Early, Initiative Ended as Planned, Completed Still Delivering Value
16. Lessons Learned	Narrative

COMMUNITY LEVEL| NATIONAL PERFORMANCE INDICATORS

Organized by Domains:

1. Employment

2. Education and Cognitive Development

3. Income, Infrastructure, and Asset Building

4. Housing

5. Health and Social/Behavioral Development

6. Civic Engagement and Community Involvement

Module, Section B: Community National Performance Indicators (NPIs)

- NPIs are a menu of options.

- Every domain includes an "other" option.

- Community Level NPIs vs. Individual/Family

- Two indicator types, counts of change and rates of change.

- Some rates of change NPIs are outcomes that will take multiple years to achieve and the CAA will be leading or working with a number of partners.

Community Level NPIs vs. Individual/Family

- Community NPIs are not about adding up all the clients and calling that community change.

- It is a planned effort with strategies and outcomes thought out.

- Example:
  - If you are developing a new initiative the count of a new asset would be the community level NPI.
  - The number of your customers who attend the service and achieve outcomes will be counted in the family level NPIs.

COMMUNITY NATIONAL PERFORMANCE INDICATORS

Module 3, Section B: Community National Performance Indicators (NPIs) - Data Entry Form
Goal 2: Communities where people with low incomes live are healthy and offer economic opportunity.
Employment Indicators

Name of CSBG Eligible Entity Reporting: _____

	Counts of Change for Employment Indicators	I.) Identified Community (auto-populated)	II.) Target (#)	III.) Actual Results (#)	IV.) Performance target accuracy (% auto calculated)
Counts of Change	1. Number of jobs **created** to increase opportunities for people with low incomes in the identified community.				
	2. Number of job opportunities **maintained** in the identified community.		Similar to CSBG IS, NPI 1.1 A - D		
	3. Number of "living wage" jobs **created** in the identified community*.				
	4. Number of "living wage" jobs **maintained** in the identified community*.				
	5. Number of jobs **created** in the identified community with a benefit package.				

*When reporting on indicators related to living wage, agencies can provide their own definition or select from national or locally-defined models. Please indicate the living wage definition used in the General Comment box.

	Other Counts of Change for Employment Indicators - Please specify below.	I.) Identified Community (auto-populated)	II.) Target (#)	III.) Actual Results (#)	IV.) Performance target accuracy (% auto calculated)
Other Counts of Change					

	Rates of Change for Employment Indicators	I.) Identified Community (auto-populated)	II.) Baseline existing starting point used for comparisons (#)	III.) Target (#)	IV.) Expected % change from baseline (Target % auto calculated)	V.) Actual Results (#)	VI.) Actual % change from baseline (% auto calculated)	VII.) Performance target accuracy (% auto calculated)
Rates of Change	1. Percent decrease of the **unemployment rate**.							
	2. Percent decrease of the **youth unemployment rate**.				NEW			
	3. Percent decrease of the **underemployment rate**.							

Module 3, Section B: Community National Performance Indicators (NPIs) - Data Entry Form
Goal 2: Communities where people with low incomes live are healthy and offer economic opportunity.
Education and Cognitive Development Indicators

Name of CSBG Eligible Entity Reporting: _____

	Counts of Change for Education and Cognitive Development Indicators	I.) Identified Community (auto-populated)	II.) Target (#)	III.) Actual Results (#)	IV.) Performance target accuracy (% auto calculated)
Counts of Change	1. Number of accessible and affordable <u>early childhood or pre-school education</u> assets or resources added to the identified community.		Similar to CSBG IS, NPI 6.3 C-D		
	2. Number of accredited or licensed <u>affordable child care facilities</u> added in the identified community.		NEW		
	3. Number of new <u>Early Childhood Screenings</u> offered to children (ages 0-5) of families with low-incomes in the identified community.		Expands on CSBG IS, NPI 6.3.A		
	4. Number of accessible and affordable education assets or resources added for <u>school age</u> children in the identified community. (e.g., academic, enrichment activities, before/after school care, summer programs)		Expands on CSBG IS, NPI 2.1.G		
	5. Number of accessible and affordable <u>post secondary education</u> assets or resources added for newly graduating youth in the identified community. (e.g. college tuition, scholarships, vocational training, etc.)		Expands on CSBG IS, NPI 2.1.I		
	6. Number of accessible and affordable <u>basic or secondary education</u> assets or resources added for adults in the identified community. (e.g. literacy, ESL, ABE/GED, etc.)		Expands on CSBG IS, NPI 2.1.I		

	Other Counts of Change for Education and Cognitive Development Indicators - Please specify below.	I.) Identified Community (auto-populated)	II.) Target (#)	III.) Actual Results (#)	IV.) Performance target accuracy (% auto calculated)
Other Counts of Change					

Module 3, Section B: Community National Performance Indicators (NPIs) - Data Entry Form
Goal 2: Communities where people with low incomes live are healthy and offer economic opportunity.
Education and Cognitive Development Indicators

	Rates of Change for Education and Cognitive Development Indicators	I.) Identified Community (auto-populated)	II.) Baseline existing starting point used for comparisons (#)	III.) Target (#)	IV.) Expected % change from baseline (Target % auto calculated)	V.) Actual Results (#)	VI.) Actual % change from baseline (% auto calculated)	VII.) Performance target accuracy (% auto calculated)
Rates of Change	1. Percent increase of children in the identified community who are kindergarten ready.							
	2. Percent increase of children in the identified community at (or above) the basic reading level.							
	3. Percent increase of children in the identified community at (or above) the basic math level.							
	4. Percent increase in high school (or high school equivalency) graduation rate in the identified community.				NEW			
	5. Percent increase of the rate of youth in the identified community who attend post-secondary education.							
	6. Percent increase of the rate of youth in the identified community who graduate from post-secondary education.							
	7. Percent increase of adults in the identified community who attend post-secondary education.							
	8. Percent increase of adults in the identified community who graduate from post-secondary education.							
	9. Percent increase in the adult literacy rate in the identified community .							

Module 3, Section B: Community National Performance Indicators (NPIs) - Data Entry Form
Goal 2: Communities where people with low incomes live are healthy and offer economic opportunity.
Infrastructure and Asset Building Indicators

Name of CSBG Eligible Entity Reporting: _____

	Counts of Change for Infrastructure and Asset Building Indicators	I.) Identified Community (auto-populated)	II.) Target (#)	III.) Actual Results (#)	IV.) Performance target accuracy (% auto calculated)
Counts of Change	1. Number of **new** accessible assets/resources **created** in the identified community:				
	a. Commercial				
	b. Financial				
	c. Technological/ Communications (e.g. broadband)				
	d. Transportation				
	e. Recreational (e.g. parks, gardens, libraries)				
	f. Other Public Assets/Physical Improvements				
	2. Number of **existing** assets/resources **made accessible** to the identified community:				
	a. Commercial				
	b. Financial				
	c. Technological/ Communications (e.g. broadband)				
	d. Transportation				
	e. Recreational (e.g. parks, gardens, libraries)				
	f. Other Public Assets/Physical Improvements				

Expands on CSBG IS, NPI 2.2 A - D

	Other Counts of Change for Infrastructure and Asset Building Indicators - Please specify below.	I.) Identified Community (auto populated)	II.) Target (#)	III.) Actual Results (#)	IV.) Performance target accuracy (% auto calculated)
Other Counts of Change					

Module 3, Section B: Community National Performance Indicators (NPIs) - Data Entry Form
Goal 2: Communities where people with low incomes live are healthy and offer economic opportunity.
Infrastructure and Asset Building Indicators

	Rates of Change for Infrastructure and Asset Building Indicators	I.) Identified Community (auto-populated)	II.) Baseline existing starting point used for comparisons (#)	III.) Target (#)	IV.) Expected % change from baseline (Target % auto calculated)	V.) Actual Results (#)	VI.) Actual % change from baseline (% auto calculated)	VII.) Performance target accuracy (% auto calculated)
Rates of Change	1. Percent decrease of **abandoned or neglected buildings** in the identified community.							
	2. Percent decrease in **emergency response time** measured in minutes in the identified community. (EMT, Police, Fire, etc.).							
	3. Percent decrease of **predatory lenders and/or lending practices** in the identified community.					NEW		
	4. Percent decrease of **environmental threats** to households (toxic soil, radon, lead, air quality, quality of drinking water, etc.) in the identified community.							
	5. Percent increase of **transportation services** in the identified community.							

Module 3, Section B: Community National Performance Indicators (NPIs) - Data Entry Form
Goal 2: Communities where people with low incomes live are healthy and offer economic opportunity.
Housing Indicators

Name of CSBG Eligible Entity Reporting: _____

	Counts of Change for Housing Indicators	I.) Identified Community (auto-populated)	II.) Target (#)	III.) Actual Results (#)	IV.) Performance target accuracy (% auto calculated)
Counts of Change	1. Number of safe and affordable housing units **developed in** the identified community (e.g. built or set aside units for people with low incomes).		Similar to CSBG IS, NPI 2.1.C		
	2. Number of safe and affordable housing units **maintained** and/or **improved** through WAP or other rehabilitation efforts in the identified community.		Similar to CSBG IS, NPI 2.1.D		
	3. Number of shelter beds **created** in the identified community.		NEW		
	4. Number of shelter beds **maintained** in the identified community.		NEW		

	Other Counts of Change for Housing Indicators- Please specify below.	I.) Identified Community (auto-populated)	II.) Target (#)	III.) Actual Results (#)	IV.) Performance target accuracy (% auto calculated)
Other Counts of Change					

	Rates of Change for Housing Indicators	I.) Identified Community (auto-populated)	II.) Baseline existing starting point used for comparisons (#)	III.) Target (#)	IV.) Expected % change from baseline (Target % auto calculated)	V.) Actual Results (#)	VI.) Actual % change from baseline (% auto calculated)	VII.) Performance target accuracy (% auto calculated)
Rates of Change	1. Percent decrease in the **rate of homelessness** in the identified community.							
	2. Percent decrease in the **foreclosure rate** in the identified community.				NEW			
	3. Percent increase in the **rate of home ownership** of people with low incomes in the identified community.							
	4. Percent increase of **affordable housing** in the identified community.							
	5. Percent increase of **shelter beds** in the identified community.							

Module 3, Section B: Community National Performance Indicators (NPIs) - Data Entry Form
Goal 2: Communities where people with low incomes live are healthy and offer economic opportunity.
Health and Social/Behavioral Indicators

Name of CSBG Eligible Entity Reporting: _____

	Counts of Change for Health and Social/Behavioral Indicators	I.) Identified Community (auto-populated)	II.) Target (#)	III.) Actual Results (#)	IV.) Performance target accuracy (% auto calculated)
Counts of Change	1. Number of accessible and affordable **physical health** assets or resources created in the identified community.				
	2. Number of accessible and affordable **behavioral and mental health** assets or resources created in the identified community.				
	3. Number of **public safety** assets and resources created in the identified community.				
	4. Number of accessible and affordable healthy **food resources** created in the identified community.				
	5. Number of activities designed to **improve police and community relations** within the identified community.				

Greatly expands on CSBG IS, NPI 2.2 A - E

	Other Counts of Change for Health and Social/Behavioral Indicators- Please specify below.	I.) Identified Community (auto-populated)	II.) Target (#)	III.) Actual Results (#)	IV.) Performance target accuracy (% auto calculated)
Other Counts of Change					

	Rates of Change for Physical Health, Wellbeing, and Development Indicators	I.) Identified Community (auto-populated)	II.) Baseline existing starting point used for comparisons (#)	III.) Target (#)	IV.) Expected % change from baseline (Target % auto calculated)	V.) Actual Results (#)	VI.) Actual % change from baseline (% auto calculated)	VII.) Performance target accuracy (% auto calculated)
Rates of Change	1. Percent decrease in **infant mortality rate** in the identified community.							
	2. Percent decrease in **childhood obesity rate** in the identified community.							
	3. Percent decrease in **adult obesity rate** in the identified community.							
	4. Percent increase in **child immunization rate** in the identified community.							
	5. Percent decrease in **uninsured families** in the identified community.							

NEW

Module 3, Section B: Community National Performance Indicators (NPIs) - Data Entry Form
Goal 2: Communities where people with low incomes live are healthy and offer economic opportunity.
Health and Social/Behavioral Indicators

	Rates of Change for Behavioral and Mental health, Emotional Wellbeing, and Development Indicators	I.) Identified Community (auto-populated)	II.) Baseline existing starting point used for comparisons (#)	III.) Target (#)	IV.) Expected % change from baseline (Target % auto calculated)	V.) Actual Results (#)	VI.) Actual % change from baseline (% auto calculated)	VII.) Performance target accuracy (% auto calculated)
Rates of Change	1. Percent decrease in the teen pregnancy rate in the identified community.							
	2. Percent decrease in unplanned pregnancies in the identified community.							
	3. Percent decrease in substance abuse rate in the identified community.(e.g. cigarettes, prescription drugs, narcotics, alcohol).							
	4. Percent decrease in domestic violence rate in the identified community.				NEW			
	5. Percent decrease in the child abuse rate in the identified community.							
	6. Percent decrease in the child neglect rate in the identified community.							
	7. Percent decrease in the elder abuse rate in the identified community.							
	8. Percent decrease in the elder neglect rate in the identified community.							

	Rates of Change for Public Safety Indicators	I.) Identified Community (auto populated)	II.) Baseline existing starting point used for comparisons (#)	III.) Target (#)	IV.) Expected % change from baseline (Target % auto calculated)	V.) Actual Results (#)	VI.) Actual % change from baseline (% auto calculated)	VII.) Performance target accuracy (% auto calculated)
Rates of Change	1. Percent decrease in recidivism rate in the identified community.							
	2. Percent decrease in non-violent crime rate in the identified community.							
	3. Percent decrease in violent crime rate in the identified community.				NEW			
	4. Percent decrease in teens involved with the juvenile court system in the identified community.							

Module 3, Section B: Community National Performance Indicators (NPIs) - Data Entry Form
Goal 2: Communities where people with low incomes live are healthy and offer economic opportunity.
Civic Engagement and Community Involvement Indicators

Name of CSBG Eligible Entity Reporting: _____

	Rates of Change for Civic Engagement and Community Involvement Indicators	I.) Identified Community (auto-populated)	II.) Baseline existing starting point used for comparisons (#)	III.) Target (#)	IV.) Expected % change from baseline (Target % auto calculated)	V.) Actual Results (#)	VI.) Actual % change from baseline (% auto calculated)	VII.) Performance target accuracy (% auto calculated)
Rates of Change	1. Percent increase of **donated time** to support the CSBG Eligible Entity's delivery of services and/or implementation of strategies to address conditions of poverty in the identified community.			Expands on CSBG IS, NPI 2.3 and 3.1				
	2. Percent increase of **donated resources** to support the CSBG Eligible Entity's delivery of services and/or implementation of strategies to address conditions of poverty in the identified community.			Expands on CSBG IS, Section F, 22 a-d				
	3. Percent increase of **people participating** in public hearings, policy forums, community planning, or other advisory boards related to the CSBG Eligible Entity's delivery of service and/or implementation of strategies to address conditions of poverty in the identified community.			Expands on CSBG IS, NPI 3.2.A				

Module 3, Section B: Community National Performance Indicators (NPIs) - Data Entry Form
Goal 3: People with low-incomes are engaged and active in building opportunities in communities.
Civic Engagement and Community Involvement Indicators

Name of CSBG Eligible Entity Reporting: _____

	Rates of Change for Civic Engagement and Community Involvement Indicators	I.) Identified Community (auto-populated)	II.) Baseline existing starting point used for comparisons (#)	III.) Target (#)	IV.) Expected % change from baseline (Target % auto calculated)	V.) Actual Results (#)	VI.) Actual % change from baseline (% auto calculated)	VII.) Performance target accuracy (% auto calculated)
Rates of Change	1. Percent increase of people with low incomes **who support** the CSBG Eligible Entity's delivery of service and/or implementation of strategies to address conditions of poverty in the identified community.			Expands on CSBG IS, NPI 3.1.A				
	2. Percent increase of people with low incomes **who acquire and maintain leadership roles** with the CSBG Eligible Entity or other organizations within the identified community.			Expands on CSBG IS, NPI 3.2.A				

Other NPIs

Other Counts of Change	Other Counts of Change for Employment Indicators - Please specify below.	I.) Identified Community (auto-populated)	II.) Target (#)	III.) Actual Results (#)	IV.) Performance target accuracy (% auto calculated)

Other Rates of Change	Other Rates of Change for Employment Indicators - Please specify below.	I.) Identified Community (auto-populated)	II.) Baseline existing starting point used for comparisons (#)	III.) Target (#)	IV.) Expected % change from baseline (Target % auto calculated)	V.) Actual Results (#)	VI.) Actual % change from baseline (% auto calculated)	VII.) Performance target accuracy (% auto calculated)

COMMUNITY LEVEL STRATEGIES

Module 3, Section C: Community Strategies List
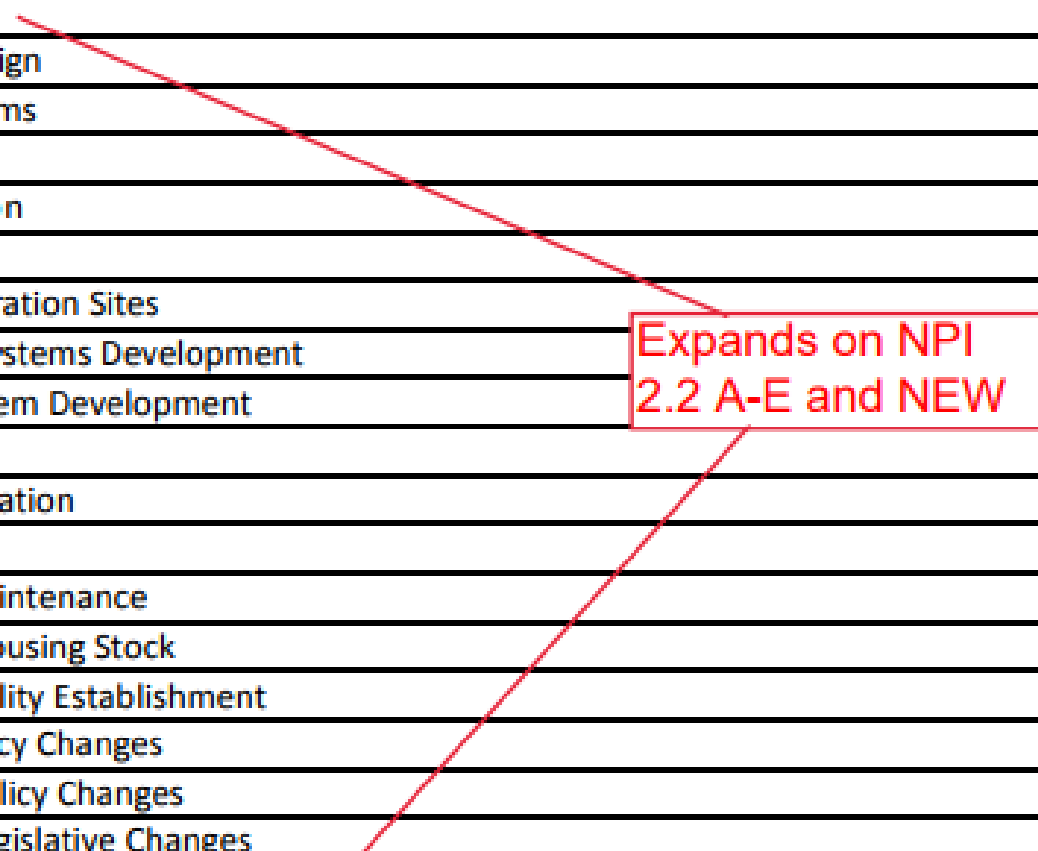
Employment Strategies
Minimum/Living Wage Campaign
Job Creation/Employment Generation
Job Fairs
Earned Income Tax Credit (EITC) Promotion
Commercial Space Development
Employer Education
Employment Policy Changes
Employment Legislative Changes
Other Employment Strategy: (please specify)

Expands on NPIs 2.1.A and B, 2.2.A and NEW

Module 3, Section C: Community Strategies List

Education and Cognitive Development Strategies	
Preschool for All Campaign	
Charter School Development	
After School Enrichment Activities Promotion	
Pre K-College/Community College Support	
Children's Trust Fund Creation	
Scholarship Creation	
Child Tax Credit (CTC) Promotion	
Adoption Child Care Quality Rating	
Adult Education Establishment	
Education and Cognitive Development Policy Changes	
Education and Cognitive Development Legislative Changes	
Other Education and Cognitive Development Strategy: (please specify)	

Expands on NPIs 2.1.F, G, I, 2.2.A and NEW

Module 3, Section C: Community Strategies List

Infrastructure and Asset Building Strategies
Cultural Asset Creation
Police/Community Relations Campaign
Neighborhood Safety Watch Programs
Anti-Predatory Lending Campaign
Asset Building and Savings Promotion
Develop/Build/Rehab Spaces
Maintain or Host Income Tax Preparation Sites
Community-Wide Data Collection Systems Development
Local 211 or Resource/Referral System Development
Water/Sewer System Development
Community Financial Institution Creation
Infrastructure Planning Coalition
Park or Recreation Creation and Maintenance
Rehabilitation/Weatherization of Housing Stock
Community Center/Community Facility Establishment
Asset Limit Barriers for Benefits Policy Changes
Infrastructure and Asset Building Policy Changes
Infrastructure and Asset Building Legislative Changes
Other Infrastructure and Asset Building Strategy: (please specify)

Expands on NPI 2.2 A-E and NEW

Module 3, Section C: Community Strategies List

Housing Strategies
End Chronic Homelessness Campaign
New Affordable Single Unit Housing Creation
New Affordable Multi- Unit Housing Creation (Single Resident Occupancy (SRO), temporary housing, transitional housing)
Tenants' Rights Campaign
New Shelters Creation (including day shelters and domestic violence shelters)
Housing or Land Trust Creation
Building Codes Campaign
Housing Policy Changes
Housing Legislative Changes
Other Housing Strategy: (please specify)

Expands on NPI 2.1 D-E, 2.2.A and NEW

Module 3, Section C: Community Strategies List

Health and Social/Behavioral Development Strategies
Health Specific Campaign
Farmers Market or Community Garden Development
Grocery Store Development
Gun Safety/Control Campaign
Healthy Food Campaign
Nutrition Education Collaborative
Food Bank Development
Domestic Violence Court Development
Drug Court Development
Alternative Energy Source Development
Develop or Maintain a Health Clinic
Health and Social/Behavioral Development Policy Changes
Health and Social/Behavioral Development Legislative Changes
Other Health and Social/Behavioral Development Strategy: (please specify)

Expands on NPI 2.1.E, 2.2 A-E and NEW

Module 3, Section C: Community Strategies List

Community Support Strategies
Off-Hours (Non-Traditional Hours) Child Care Development
Transportation System Development
Transportation Services Coordination and Support
Community Support Policy Changes
Community Support Legislative Changes
Other Community Support Strategy: (please specify)

Expands on NPI 2.1.H, 2.2.A and NEW

Module 3, Section C: Community Strategies List

Emergency Management Strategies
State or Local Emergency Management Board Enhancement
Community wide Emergency Disaster Relief Service Creation
Disaster Preparation Planning
Emergency Management Policy Changes
Emergency Management Legislative Changes
Other Emergency Management Strategy: (please specify)

Expands on NPI 2.2.C and NEW

EXAMPLES

Module 3: Community

Example:

Need: An agency identifies that housing stock in their community is not meeting energy efficiency standards.

Outcome: Housing stock improved (a count and rate of change)

Initiative: A CAA operates a weatherization program.

Where would you report this program in Module 3?

Module 3: Community

1. **The Community Initiative Status Form**
2. **CNPI 4b**

(Number of safe and affordable housing units maintained and/or improved through WAP or other rehabilitation efforts in the identified community.

AND/OR

CNPI 4h

(Percent increase of affordable housing in the identified community.)

3. Write in on STR 4j

(Housing initiative to improve housing stock in the community.)

Module 3: Community

Example:

Need: An agency identifies that the community has a lower than average percent low income children who are ready to enter kindergarten.

Outcome: Children are ready to enter kindergarten (a count and rate of change)

Initiative: A CAA works with a coalition to implement a Preschool for All Campaign.

Where would you report this program in Module 3?

Module 3: Community

1. **The Community Initiative Status Form**
2. **CNPI 2a**

(Number of accessible and affordable early childhood or pre-school education assets or resources added to the identified community.

AND/OR

CNPI 2g

(Percent increase of children in the identified community who are kindergarten ready.)

3. STR 2a

(Preschool for All Campaign.)

Module 3: Community

Example:

An agency defines an outcome that is not listed in Module 3.

Where would you report the initiative information (indicator, strategies) in Module 3?

Module 3: Community

1. The Community Initiative Status Form

2. Choose any "Other" NPI under the appropriate domain

3. Select a Strategy or write in Other under the appropriate domain.

CSBG Annual Report: Module 3 National Performance Indicator (NPI) Formulas

CSBG Annual Report: Module 3 NPI Formulas

❖ During the development and review of the CSBG Annual Report, there were many questions about the data points and formulas for the community level NPIs in Module 3.

❖ NASCSP sought the guidance of a **statistician** to confirm the formulas, as well as how the data should be entered. Specifically,
  ❖ To ensure the calculations embedded in the Module 3 forms were providing accurate presentations of the data; and
  ❖ Confirm whether data points should be entered as a whole number, decimal, or percentage.

Example: Counts of Change

❖ **Number of jobs created to increase opportunities for people with low incomes in the identified community:**

   ❖ The CAA targeted 25 jobs that they would create to increase opportunities for people with low incomes in the City of Davis. The actual number of jobs they created was 20. The performance target accuracy (the percent of the target achieved) is 80%.

❖ **Formula:**

   ❖ **Performance Target Accuracy=Actual results/Target results**

	Counts of Change for Employment Indicators	I.) Identified Community (auto-populated)	II.) Target (#)	III.) Actual Results (#)	IV.) Performance target accuracy (% auto calculated)
Counts of Change	1. Number of jobs **created** to increase opportunities for people with low incomes in the identified community.	City	25	20	80%
	2. Number of job opportunities **maintained** in the identified community.				#DIV/0!
	3. Number of "living wage " jobs **created** in the identified community*.				#DIV/0!
	4. Number of "living wage" jobs **maintained** in the identified community*.				#DIV/0!
	5. Number of jobs **created** in the identified community with a benefit package.				#DIV/0!

Example: Rates of Change

❖ **Percent decrease of the unemployment rate:** The unemployment rate is 5%. The CAA targeted to reduce the unemployment rate to 3%. The expected change from baseline is a decrease of 40%. At the end of the year, the actual unemployment rate was 4%. The actual change from baseline is a decrease of 20%. The performance accuracy (the percent of the target reduction achieved) is 50%.

❖ **Formulas:**

❖ **Expected Change from Baseline=(target-baseline)/(baseline)**

❖ **Actual Change from Baseline=(actual results-baseline)/(baseline)**

Rates of Change	Rates of Change for Employment Indicators	I.) Identified Community	II.) Baseline existing starting point used for comparisons (#)	III.) Target (#)	IV.) Expected % change from baseline (Target % auto calculated)	V.) Actual Results (#)	VI.) Actual % change from baseline (% auto calculated)	VII.) Performance target accuracy (% auto calculated)
	1. Percent decrease of the <u>unemployment rate</u>.	City	5.00%	3.00%	-40%	4.00%	-20%	50%
	2. Percent decrease of the youth <u>unemployment rate</u>.				#DIV/0!		#DIV/0!	#DIV/0!
	3. Percent decrease of the <u>underemployment rate</u>.				#DIV/0!		#DIV/0!	#DIV/0!

CSBG Annual Report: Module 3 NPI Formulas

❖ Rates of Change columns 2,3 and 5 currently contain a number sign (#) to indicate a number in the column. To reduce confusion, we expect it to be changed to a percent sign (%).

II.) Baseline existing starting point used for comparisons (#)	III.) Target (#)	IV.) Expected % change from baseline (Target % auto calculated)	V.) Actual Results (#)	VI.) Actual % change from baseline (% auto calculated)	VII.) Performance target accuracy (% auto calculated)

RESOURCES AND TOOLS

CSBG Annual Report Numbering

CNPI 1	Counts of Change for Employment Indicators (CNPI 1)
CNPI 1a	Number of jobs created to increase opportunities for people with low incomes in the identified
CNPI 1b	Number of job opportunities maintained in the identified community.
CNPI 1c	Number of "living wage " jobs created in the identified community*.
CNPI 1d	Number of "living wage" jobs maintained in the identified community*.
CNPI 1e	Number of jobs created in the identified community with a benefit package.
CNPI 1	Other Counts of Change for Employment Indicators - Please specify below (CNPI 1)
CNPI 1z	Other
CNPI 1z.1	Other
CNPI 1z.2	Other
CNPI 1	Rates of Change for Employment Indicators (CNPI 1)
CNPI 1f	Percent decrease of the unemployment rate.
CNPI 1g	Percent decrease of the youth unemployment rate.
CNPI 1h	Percent decrease of the underemployment rate.
CNPI 1	Other Rates of Change for Employment Indicators (CNPI 1)
CNPI 1z.3	Other
CNPI 1z.4	Other
CNPI 1z.5	Other

Module 4, Section A: Individual and Family National Performance Indicators (NPIs) - Data Entry Form

Goal 1: Individuals and Families with low incomes are stable and achieve economic security.

Employment Indicators

Name of CSBG Eligible Entity Reporting:

Employment	I.) Number of Participants Served in program(s) (#)	II.) Target (#)	III.) Actual Results (#)	IV.) Percentage Achieving Outcome [III./I.=IV.] (% auto calculated)	V.) Performance Target Accuracy [III./II.=V.] (% auto calculated)
1. The number of unemployed youth who obtained employment to gain skills or income.					
2. The number of unemployed adults who obtained employment (up to a living wage).					
3. The number of unemployed adults who obtained and maintained employment for at least 90 days (up to a living wage).					
4. The number of unemployed adults who obtained and maintained employment for at least 180 days (up to a living wage).					
5. The number of unemployed adults who obtained employment (with a living wage or higher).					
6. The number of unemployed adults who obtained and maintained employment for at least 90 days (with a living wage or higher).					
7. The number of unemployed adults who obtained and maintained employment for at least 180 days (with a living wage or higher).					

Expands on NPI 1.1.A, B, and D

Employment	I.) Number of Participants Served in program(s) (#)	II.) Target (#)	III.) Actual Results (#)	IV.) Percentage Achieving Outcome [III./I.=IV.] (% auto calculated)	V.) Performance Target Accuracy [III./II.=V.] (% auto calculated)
8. The number of employed participants in a career-advancement related program who entered or transitioned into a position that provided increased income and/or benefits.					
a. Of the above, the number of employed participants who increased income from employment through wage or salary amount increase.					
b. Of the above, the number of employed participants who increased income from employment through hours worked increase.					
c. Of the above, the number of employed participants who increased benefits related to employment.					

Expands on NPI 1.1.C

Other Employment Outcome Indicator	I.) Number of Participants Served in program(s) (#)	II.) Target (#)	III.) Actual Results (#)	IV.) Percentage Achieving Outcome [III./I.=IV.] (% auto calculated)	V.) Performance Target Accuracy [III./II.=V.] (% auto calculated)
9. The number of individuals or households. _____.					

Comments:

Annotated CSBG Annual Report

Crosswalks

DRAFT

SBG Annual Report/IS Survey and Organiza

Annual Report	Current CSBG IS	Orgar
e 1: State Administration n B: Statewide Goals and ccomplishments n I: Results Oriented Management and ccountability (ROMA) System	Section D	1.2 • T direc Com 1.3 col sa 3. q s
e 2: Agency Expenditures, Capacity, sources n A: Local Agency CSBG Expenditures	Section E	
e 2: Agency Expenditures, Capacity, sources n B: Local Agency Capacity Building	NPI Goals Three, Four, and Five	

Module 1 - State Administration	CSBG IS Survey
Section A: CSBG Lead Agency, CSBG Authorized Official, CSBG Point of Contact	Section C. General Information on State CSBG office
	Section D. Accomplishments and Coordination of Funds
	Section B. General Information on Local CSBG Agencies
n/a	Use of CSBG Funds

DRAFT - CSBG IS-CSBG Annual Report Quick Reference Crosswalk

NPI	CSBG IS	Very Similar Language	CSBG Annual Report		No Similar Language
	Description			Similar Language	
	Goal 1: Low-income people become more self-sufficient.				
1.1	**EMPLOYMENT**				
1.1 A	Unemployed and obtained a job				
1.1 B	Employed and maintained a job for at least 90 days		Module 4, Section A, Employment, 1. The number of unemployed youth who obtained employment to gain skills or income. 2. The number of unemployed adults who obtained employment (up to a living wage).		
1.1 C	Employed and obtained an increase in employment income and/or benefits		Module 4, Section A, Employment, 3. The number of unemployed adults who obtained and maintained employment for at least 90 days (up to a living wage).		
			Module 4, Section A, Employment, 8. The number of employed participants in a career advancement related program who entered or transitioned into a position that provided increased income and/or benefits.		

DRAFT - CSBG IS/CSBG AR Crosswalk

CSBG Annual Report

CSBG Annual Report Cleared by Office of Management and Budget (OMB)

The Office of Community Services (OCS) received OMB approval for a new CSBG Annual Report on January 12, 2017. Additional information about the implementation of the CSBG Annual Report is detailed in IM 152 CSBG Annual Report, released by OCS on January 20, 2017. The new CSBG Annual Report will eventually replace the CSBG IS Survey. The new report will be implemented through a phased-in approach over two years.

OCS has also released Action Transmittal 2017-01 on the Submission of Module 1 of the CSBG Annual Report for Fiscal Year (FY) 2016. This Action Transmittal notifies States that Module 1 is expected to be available in OLDC on February 28, 2017 and the deadline for submission of Module 1 in OLDC received a one-time extension from March 31, 2017 to April 7, 2017. The due date for submission of the CSBG IS to NASCSP is also extended to April 7, 2017.

CSBG Annual Report Implementation: Two Phases

Phase 1
- FY16 & FY17
- Module 1 is completed in OLDC
- Local Agency data is completed in the CSBG IS Survey

Phase 2
- FY 18
- Module 1-4 are completed in OLDC
- No data is reported in the CSBG IS Survey
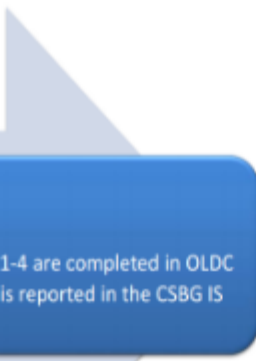
FINAL CSBG Annual Report - This pdf is the OMB cleared CSBG Annual Report and is unchanged from the version included in the second Federal Register Notice (FRN#2), 30-day comment period in November 2016.

Module 2: Expenditure, Capacity, Resources   Excel Forms ,   Module 3: Community Level Excel Forms ,
 Module 4: Individual and Family Level Excel Forms   - Download the excel forms for each module of the CSBG Annual report.

CSBG Reporting Timelines for States and local CSBG Eligible Entitie   s - This chart shows the timeline for reporting on the CSBG Annual Report. Click here to see your State's CSBG Reporting Period.

State Reporting Periods for FY18   - Modules 2-4 are based on the State's CSBG Reporting Period and reporting starts in FY18. Check out this chart to see your State's Reporting Period.

Presentations:

ROMA NG/CSBG Annual Report Update Powerpoint- This powerpoint was presented at the ROMA ICEP in Dallas, TX on April 18, 2017. This presentation provides an overview of the current status of the CSBG Annual Report, process for implementation, and current tools and resources.

Using the Community Status Page Powerpoint- This powerpoint was presented at the ROMA ICEP in Dallas, TX on April 19, 2017. The presentation provides an overview of where we are headed with community level work, a case study from Louisville Community Metro Services, and an example of how to complete the Community Status page.

Crosswalks:

High LevelCSBG Annual Report/CSBG IS Survey Crosswalk- Use this quick reference tool to identify how the CSBG Annual Report and CSBG IS Survey overlap!

Annotated CSBG Annual Report-This detailed document provides line by line notations on which CSBG IS NPIs and Sections are included, expanded upon, or used to inform the CSBG Annual Report.

CSBG IS/CSBG Annual Report Crosswalk- Starting to map out how you will transition from the CSBG IS to the CSBG Annual Report? This detailed crosswalk starts with the NPIs in the CSBG IS Survey and identifies similar indicators in the CSBG Annual Report.

CSBG Annual Report/CSBG IS Survey/Organizational Standards Crosswalk- This tool crosswalks elements of the CSBG Annual Report, CSBG IS Survey and the Organizational Standards.

Organizational Standards/ROMA Principles Crosswalk- This tool lists the Organizations Standards that correspond to each phase of the ROMA cycle.

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ROMA Next Generation

What is ROMA Next Generation?

- ROMA Next Generation (NG) is a system for continuous quality improvement to enable the network to measure, analyze and communicate performance.

- ROMA NG will help Community Action at local, state and national levels shift to a culture of continuous learning rather than a compliance and reporting culture.

- Ultimately, ROMA NG will help the CSBG Network generate robust results for individuals with low-incomes and the communities served.

Now...	Under ROMA Next Generation...
Varying data quality and analysis →	Infrastructure for multi-level analysis
No picture of services and strategies →	Multi-level information of services & strategies
No data on accountability measures →	Results on State and Federal accountability
No data on Organizational Standards →	Results on Organizational Standards
Thin data on community level efforts →	Added Community Indicators
No ability to show community level work over multiple years →	Space to report community level work over time
Not OMB Cleared →	3-year OMB Clearance

Theory of Change

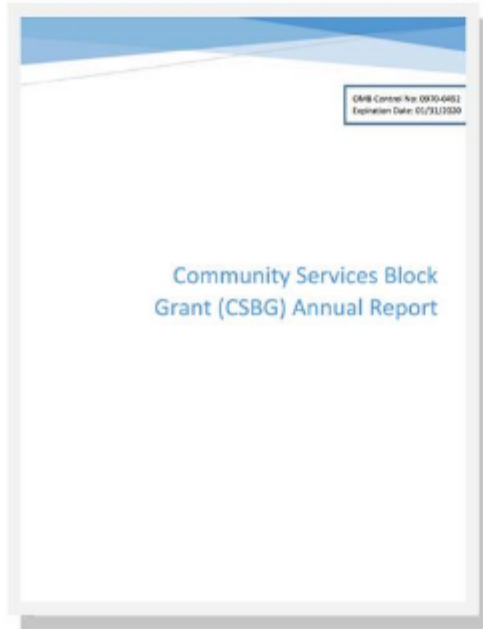# Additional Tools and Resources Coming in July

CSBG Annual Report Crosswalk with LIHEAP, Head Start, WIOA, and Weatherization.

CSBG Annual Report, Webinar Series, focus on Modules

3 & 4.

Instruction Manuals and Lexicon

WELCOME to the CSBG TRAINING and TECHNICAL ASSISTANCE RESOURCE CENTER

E-mail [   ]

Password [   ]

Login

This website is a resource for the Community Action Network to access an array of information and assistance designed to support efforts in serving low income families and addressing the causes of poverty in local communities. Through this site you may download toolkits, listen to webinars, register for training, engage in online dialogue with colleagues, submit requests for training or technical assistance, read the latest evaluation reports about evidence-based program models, and search a consultant bank for experienced professionals serving the Community Action Network.

OMB Control No: 0970-0482
Expiration Date: 01/31/2020

Community Services Block
Grant (CSBG) Annual Report

FINAL CSBG Annual Report

NASCSP

Click HERE to read the CSBG Annual Report

Questions?

Contact us!

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Lauren Cook: **lcook@nascsp.org**

Jackie Orr: **jorr@nascsp.org**

Upcoming CSBG Annual Report Webinars

Understanding Module 3, Community Level

July 21 - CSBG Annual Report , Module 3 - 10:00AM PT - <u>Click here to register</u>

July 25 - CSBG Annual Report, Module 3 - 11:00AM CT - <u>Click here to register</u>