

**FINANCIAL ASSISTANCE
FUNDING OPPORTUNITY ANNOUNCEMENT**



**U.S. Department of Energy
Energy Efficiency and Renewable Energy
Golden Field Office**

**Weatherization Assistance Program
Program Year 2013 Formula Grants**

Funding Opportunity Number: DE-FOA-0000835

Announcement Type: Initial

CFDA Number: 81.042 Weatherization Assistance for Low-Income Persons

Issue Date: June 21, 2013
Letter of Intent Due Date: Not Applicable
Pre-Application Due Date: Not Applicable
Application Due Date: See below

The complete application package will be due in accordance with each applicant's program year as follows:

<u>Program Year Ending</u>	<u>Application Due Date</u>
March 31, 2013	August 21, 2013
June 30, 2013	August 21, 2013
September 30, 2013	August 21, 2013

Applicants are strongly encouraged to submit applications well before the deadlines.

REGISTRATION/SUBMISSION REQUIREMENTS

Registration Requirements

If you haven't already registered, there are several one-time actions you must complete in order to submit an application in response to this Announcement (e.g., obtain a Dun and Bradstreet Data Universal Numbering System (DUNS) number, register with the System for Award Management (SAM), register in FedConnect (for questions regarding content of this announcement and to receive award notifications), and have a Login for the Performance and Accountability for Grants in Energy (PAGE) System. Applicants who are not registered with SAM should allow several days to complete this requirement. It is suggested that the process be started as soon as possible.

Applicants must obtain a DUNS number. DUNS website: <http://fedgov.dnb.com/webform>.

Applicants must register with SAM or verify that the registration is current. SAM website: <https://www.sam.gov/portal/public/SAM/>.

Applicants must register with FedConnect in order to submit questions regarding the content of this announcement and to receive award notifications. FedConnect website: www.fedconnect.net

Applicants must have a PAGE system Login at <https://www.page.energy.gov/default.aspx> in order to complete and submit the application. If you do not currently have a PAGE Login, please contact the Project Officer for your State who will send you the information to get started. A full listing of Project Officers can be found in this Funding Opportunity Announcement (FOA).

If you have questions regarding PAGE, you may also refer to the Help Menu in PAGE or contact the PAGE hotline at PAGE-Hotline@ee.doe.gov or 1-866-492-4546.

Questions

Questions regarding the content of the announcement and not related to the Grantee's specific application submission must be submitted through the FedConnect portal. Eligible Applicants must register with FedConnect to respond as an interested party to submit questions, and to view responses to questions. It is recommended that you register as soon after release of the FOA as possible to have the benefit of all responses. DOE will try to respond to a question within 3 business days, unless a similar question and answer have already been posted on the website. Questions regarding the specific application submission must be submitted to the Project Officer for your State.

Application Preparation and Submission

See Part IV - Application and Submission Information, regarding preparation of Applications. All applications must be submitted through the PAGE system at <https://www.page.energy.gov/default.aspx>. It is the responsibility of the applicant to verify successful transmission.

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PART I FUNDING OPPORTUNITY DESCRIPTION

The purpose of this FOA is to solicit grant applications for the Weatherization Assistance Program for Low-Income Persons for Program Year 2013; establish grant guidance and management information for the Weatherization Assistance Program as outlined in Attachment 1, Weatherization Program Notice (WPN) 13-1, Program Year 2013 Weatherization Grant Guidance and Attachment 3, Application Instructions; and provide allocations as outlined in Attachment 2, WPN 13-2, Program Year 2013 Grantee Allocations. **Funding for all awards and future budget periods is contingent upon the availability of funds appropriated by Congress for the purpose of this program and the availability of future-year budget authority.**

BACKGROUND

Title IV of the Energy Conservation and Production Act, as amended, authorizes DOE to administer the Low-Income Weatherization Assistance Program (WAP). All grant awards made under this program shall comply with applicable laws and regulations, including but not limited to 10 CFR 600, 10 CFR 440, and other policies and procedures as DOE may, from time-to-time, prescribe for the administration of financial assistance.

The purpose of the WAP is to increase the energy efficiency of dwellings owned or occupied by low-income persons, reduce their total residential expenditures, and improve their health and safety. The priority population for the WAP is persons who are particularly vulnerable such as the elderly, persons with disabilities, families with children, high residential energy users, and households with high-energy burden.

Please refer to Weatherization Assistance Program Notice (WPN) 13-1, Program Year 2013 Weatherization Grant Guidance, included as Attachment 1; the Application Instructions included as Attachment 3; and 10 CFR 440 for additional background on the Program.

ALLOCATIONS

Please see Attachment 2, WPN 13-2 - Program Year 2013 Grantee Allocations - for funding allocations in the 2013 Program Year – \$137.9 million as provided by Congress in the 2012 Consolidated Appropriations Act, Public Law No. 112-74, and continued in the 2013 Continuing Resolution (CR), Public Law No. 112-175, and supplemented by DOE through re-programming in their final 2013 Budget submission to Congress.

The DOE Secretary has exercised his authority to waive the allocation formula described in 10 CFR 440.10 and is using a modified allocation method. The 2013 allocation will result in a minimum funding to all grantees equal to at least 20 percent of their Program Year (PY) 2011 allocation. The 2013 WAP allocation process further recognizes those grantees that have spent prior year WAP funds by providing a two-step increase to this minimum amount.

FUNDS MANAGEMENT

The Recipient shall administer DOE funds received under the WAP in accordance with Federal rules and regulations and State policies and procedures. The Recipient is to manage Federal Funds in a prudent, effective and efficient manner to accomplish program objectives. Recipients

shall take the necessary steps to ensure that funds are expended within the grant project period.

To assist the Weatherization network in obtaining the most up-to-date information on programmatic/policy issues, technical issues, and evaluation studies, DOE provides information and resources on the DOE website at <http://www.eere.energy.gov/weatherization/>; the WAP Technical Assistance Center (WAPTAC) website at www.waptac.org; the Weatherization Plus and Leveraging Resources website at <http://www.weatherizationplus.org>; and the Oak Ridge National Laboratory website at <http://weatherization.ornl.gov>. Please visit these websites often to keep abreast of the latest information and new techniques in Weatherization.

RECENT CHANGES

In FY 2012, the majority of WAP awards moved from DOE's National Energy Technology Lab (NETL) office to DOE's Golden Field Office. In FY 2013, additional changes will occur, as indicated below. If you have any questions regarding these changes, please contact the DOE Project Officer assigned to your State or the DOE Award Administrator identified on your Assistance Agreement.

New Awards with Shorter Grant Periods:

For the past few years, DOE has issued WAP Formula awards with 5-year Project Periods consisting of five 1-year Budget Periods, contingent on availability of funds, with a Continuation Application required each year. Because of the challenge of spending both WAP Formula Annual funds and WAP Recovery Act funds, some Formula awards were extended past 5 years.

In FY 2013, DOE will issue new awards to all Grantees consisting of a 3-year project period comprised of 3 budget periods, contingent on availability of funds. A Continuation Application will be required each year. Grantees are expected to spend allocations in the budget period the funding is received. Funds remaining at the end of the project period may be subject to recovery by DOE and potential loss of use by the Grantee. **IMPORTANT:** The new 2013 awards will be subject to the requirement of Reporting Subawards and Executive Compensation at <https://www.fsr.gov>. Please see Part VI.B.1. for additional information.

NOTE: For the current active awards, Grantees that require an extension of the period of availability of funds must obtain prior approval from DOE, as indicated in 10 CFR 600.230(d)(2). If approved, the Grantee will be required to submit an SF-424 (as a revision to Program Year 2012) in PAGE which identifies the new budget end date in Block 17. b. The Grantee must also provide an explanation for the extension request, for DOE's consideration, as an attachment to the SF-424 in PAGE.

DOE will not provide multiple extensions or additional funding to the current active awards. DOE also does not intend to transfer any remaining funds on these awards to the new awards. Funding remaining at the end of the award will be subject to recovery by DOE and the potential loss of use by the Grantee.

Posting of FOA on FedConnect and Application Submission via PAGE:

In recent years, the WAP Formula FOA was posted to both Grants.gov and FedConnect, with a requirement for Applications to be submitted via Grants.gov. This year, the WAP Formula FOA will not be posted on Grants.gov, nor will Applicants be required to submit Applications via Grants.gov. Instead, the **FOA will be posted on FedConnect, and made available only to**

eligible Applicants. In addition, all **applications will be submitted to a single source: PAGE.** Please note that the FOA will also be posted on the WAPTAC website at <http://waptac.org/> for viewing by interested stakeholders. **Applications shall not be submitted nor will they be accepted via Grants.gov or FedConnect.**

Applicants have complete control over their FedConnect account and which employees have access. Employees who have been designated as FedConnect administrators can add new users and activate users who have submitted registration requests (State users). Administrators can also perform such tasks as changing a user's role designation and access levels, and resetting passwords. It is highly recommended that your State FedConnect Administrator add users pertinent to the WAP, such as the State Principal Investigator and Business Contact identified in the Assistance Agreement. This will allow these users to have access to the FOA and future modifications to the FOA when posted on FedConnect. **Grantees shall rely on FedConnect as the official website for FOA information.**

Additional information regarding FedConnect may be found at <https://www.fedconnect.net>. The FedConnect Quick Start Guide and Tutorial found is found at https://www.fedconnect.net/FedConnect/PublicPages/FedConnect_Ready_Set_Go.pdf FedConnect Support is available at support@fedconnect.net or 1-800-899-6665. The FedConnect Support Center is staffed Monday – Friday, 8 a.m. to 8 p.m., EDT.

Enhancements to the PAGE System:

The Budget Justification section in PAGE provides further clarification regarding information required to be submitted by the Applicant under the various cost categories. It is important to provide all information requested.

New Approach for Negotiation of Awards:

A new approach will be used in 2013 to streamline negotiations and provide timely awards. Upon receipt and initial review of the Application, DOE will schedule a conference call with each Grantee, which will be preceded by an agenda outlining areas that need further clarification. This will provide the Grantee with an opportunity to research the areas and arrange to have the appropriate personnel available for the call. This team approach is an effort to address critical areas early in the application process to minimize barriers in the review cycle. At the conclusion of the call, specific timelines will be established for any items that need further input. After the remaining items are resolved, the award package will be prepared and sent through an internal review process. It will then be submitted to the Contracting Officer for final review and approval.

System for Award Management (SAM)

In 2012, the Central Contractor Registration System (CCR) was replaced by the System for Award Management (SAM). Additional information about registration procedures may be found at the SAM site: <https://www.sam.gov>. States shall ensure the SAM registration is current.

PART II AWARD INFORMATION

A. TYPE OF AWARD INSTRUMENT

DOE anticipates awarding grants under this FOA.

B. ESTIMATED FUNDING

Availability of Funds: Funding for all awards and future budget periods is contingent upon the availability of funds appropriated by Congress for the purpose of this program and the availability of future-year budget authority.

Funding Level: This FOA contains funding at \$137.9 million as provided by Congress in the 2012 Consolidated Appropriations Act, Public Law No. 112-74, and continued in the 2013 Continuing Resolution (CR), Public Law No. 112-175, and supplemented by DOE through re-programming in their final 2013 Budget submission to Congress.

The DOE Secretary has exercised his authority to waive the allocation formula described in 10 CFR 440.10 and is using a modified allocation method. The 2013 allocation will result in a minimum funding to all grantees equal to at least 20 percent of their Program Year (PY) 2011 allocation. The 2013 WAP allocation process further recognizes those grantees that have spent prior year WAP funds by providing a two-step increase to this minimum amount.

C. MAXIMUM AND MINIMUM AWARD SIZE

See Attachment 2, WPN 13-2, Program Year 2013 Grantee Allocations.

D. EXPECTED NUMBER OF AWARDS

See Attachment 2, WPN 13-2, Program Year 2013 Grantee Allocations.

E. PERIOD OF PERFORMANCE

Three-year project period comprised of three budget periods, contingent on availability of funds.

F. TYPE OF APPLICATION

DOE will accept only new applications under this announcement consistent with 10 CFR Part 440.

PART III ELIGIBILITY INFORMATION

A. ELIGIBLE APPLICANTS

In accordance with 10 CFR 600.6(b), and DOE Program Rule 10 CFR 440, Weatherization Assistance Program for Low-Income Persons, eligibility for award is restricted to all fifty states,

the District of Columbia, and the U.S. Territories, except in the case of Indian Tribes as stated in 10 CFR 440.11 and local applicants as stated in 10 CFR 440.13.

B. COST MATCHING

Cost matching is not required.

PART IV APPLICATION AND SUBMISSION INFORMATION

A. LETTER OF INTENT AND PRE-APPLICATION

1. Letter of Intent.

Letters of Intent are not required.

2. Pre-application

Pre-applications are not required.

B. CONTENT AND FORM OF APPLICATION

The State Plan Application must be submitted via the PAGE online system at <https://www.page.energy.gov/default.aspx>, per the instructions below and as identified in Attachment 3, Application Instructions. As a reminder, application documents, forms, and data submitted to DOE may be made available to the public, at DOE's discretion, following all applicable laws and regulations that protect confidential or proprietary information.

Applicants are required to comply with all applicable rules, regulations, and guidance regarding development and implementation of the annual State Plan Application, including applicable requirements to hold a public hearing on the proposed State Plan Application. Grantees should proceed with their respective plans using funding levels set forth in WPN 13-2.

C. APPLICATION PACKAGE

See Attachment 3 - Application Instructions for detailed information regarding each of the sections below. Please note that the DOE Project Officers may only answer questions related to the Grantee's specific application submission. All other questions must be submitted to FedConnect, as indicated in Part VII. Questions/Agency Contacts.

Follow the instructions in PAGE for "Revisions", so that you may copy last year's application and work from there. The PAGE Administrator, DataTree, and/or your Project Officer will coordinate changing the award number with you, and after the award number is changed, from that point forward you will access the application under the new award number. Please note that PAGE has been updated this year to incorporate changes to the Budget, Budget Justification, Annual File, and Master File. Please review each field to ensure that all required information is provided.

The DOE Project Officers will work with the Grantees to ensure applications for new awards or extensions to current active awards are properly entered in PAGE.

If you have questions regarding the application package in PAGE, please refer to the Help Menu in PAGE or contact the PAGE hotline at PAGE-Hotline@ee.doe.gov or 1-866-492-4546. Applicants are required to submit timely, complete and responsive applications as indicated below and in the DOE Program Guidance and attached Application Instructions.

1. Mandatory Forms

a. SF 424 - Application for Federal Assistance

Complete all required fields in accordance with the instructions on the form. Only new DOE federal funding and non-federal leveraged funding sources (if applicable), for the budget period to be awarded, should be identified in Block 18. Estimated Funding. **Do not include carryover funds in Block 18 or elsewhere within the SF-424 Application at any time. Carryover funds may not be transferred from other awards.** The list of certifications and assurances referenced in Field 21 can be found on the DOE Financial Assistance Forms Page at <http://energy.gov/management/downloads/certifications-and-assurances-use-sf-424>.

b. SF-424 A, Budget Information - Non-Construction Programs

The Applicant shall provide a SF-424A that addresses all of the elements set forth in Attachment 1, WPN 13-1, Program Year 2013 Weatherization Grant Guidance and Attachment 3, Application Instructions. The SF-424A shall identify the total amount of new DOE Federal funding and other non-Federal leveraged funding sources (if applicable), along with carryover funds (if applicable), for the budget period to be awarded.

Non-Federal leveraged funds included in the SF-424A Budget must comply with all DOE rules, regulations, reporting requirements, and guidance, and can come from several sources: (1) Warner and EXXON oil overcharge funds; (2) Stripper Well and other overcharge funds (including Texaco) which are subject to Stripper Well settlement rules; (3) LIHEAP; (4) Utility funds; (5) Program Income; and (6) Other. **See Section III.1 of Attachment 4, Application Instructions, for additional information regarding use of Non-Federal leveraged funds.**

For subrecipients that will manage the program on behalf of the Grantee, a separate SF-424A budget and budget justification form must be submitted as an attachment to the application.

The proposed budget costs are estimated values and invoicing shall be calculated from actual costs incurred for each of the cost categories. Contingencies are expressly unallowable, as indicated in 2 CFR Part 225, Appendix B (all estimated costs should be allocated to a cost category).

Items identified as direct costs to the project must not be duplicative of costs included in the indirect pool that are the basis of any indirect rate applied for this project.

You may request funds under any of the Object Class Categories as long as the item and amount are necessary to perform the proposed work, meet all the criteria for allowability under the applicable Federal cost principles (2 CFR part 225), and are not prohibited by the funding restrictions in Part IV. F.

c. Budget Justification

The Applicant shall provide a Budget Justification for the budget period to be awarded, identifying the total amount of new DOE federal funding and non-federal leveraged funding sources (if applicable).

The costs proposed in each Object Class Category/Cost Classification category must be justified. Please see Attachment 3, Application Instructions and the PAGE Budget Explanation entry screens for specific directions for each cost category included in the budget. Include all attachments requested, including A-133 Audits, Indirect Rate Agreements, State Cost Allocation Plans, etc.

d. State Plan

The State Plan includes both the Annual File and Master File. The applicant shall address any new program requirements and update the Master File annually, including the Health and Safety Plan. The applicant shall submit an Annual File that addresses all of the elements set forth in 10 CFR 440.14 and Attachment 3, Application Instructions. Also, the Annual File and Master File may require you to submit attachments that are in addition to the fields that are identified in the PAGE Application. Please refer to the table below to ensure that all parts of the Application have been addressed.

2. Optional Forms

a. SF-LLL Disclosure of Lobbying Activities

If applicable, complete and attach the SF- LLL to the Application. The SF-LLL may be found at <http://www.whitehouse.gov/sites/default/files/omb/grants/sflllin.pdf>. Applicability: If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the grant/cooperative agreement, you must complete and submit Standard Form - LLL, "Disclosure Form to Report Lobbying."

**Summary of Required Forms/Files
Your application must include the following documents:**

Please note that tables or spreadsheets shall be submitted as attachments to the SF-424 Application and shall not be pasted within the Annual File, Master File, or Budget Comments in PAGE. For further instructions on how to attach files to the PAGE application, please see Attachment 3, Application Instructions.

Name of Document	Format	File Name
Mandatory Forms		
SF-424 Application for Federal Assistance	PAGE	N/A
SF 424A File - Budget Information for Non-Construction Programs	PAGE	N/A

Budget Explanation	PAGE	N/A
Annual File	PAGE	N/A
Master File	PAGE	N/A
Required Attachments to the Application Package in PAGE (SF-424)		
Health and Safety Plan	PDF (if not already included in the Master File)	Health and Safety Plan
Public Hearing Transcript	PDF	Public Hearing Transcript
PAC Meeting Minutes	PDF	PAC Meeting Minutes
WAP Organizational Chart(s)	PDF	WAP Org Charts
Indirect Rate Agreement (if applicable)	PDF	Indirect Rate Agreement
Explanation of Indirect Costs (if applicable)	PDF	Indirect Cost Explanation (if applicable)
Cost Allocation Plan (if applicable)	PDF	Cost Allocation Plan (if applicable)
Most recent A-133 Audit	PDF (or provide a link in the narrative comment section of the budget)	A-133 Audit
SF-LLL Disclosure of Lobbying Activities (if applicable).	PDF	SF-LLL
SF-424A budget for Subrecipient managing the program on behalf of the State (if applicable)	PDF or other format	Subrecipient Budget
Budget Justification for Subrecipient managing the program on behalf of the State (if applicable)	PDF or other format	Subrecipient Budget Justification

DOE reserves the right to request additional or clarifying information for any reason deemed necessary.

D. SUBMISSION DATES AND TIMES

1. Pre-application Due Date

Pre-applications are not required.

2. Application Due Date

The application is due no later than 12:00 pm Local Time of each Grantee on the due dates identified below:

Program Year Ending
 March 31, 2013
 June 30, 2013
 September 30, 2013

Application Due Date
 August 21, 2013
 August 21, 2013
 August 21, 2013

Applicants are strongly encouraged to submit applications well before the deadlines.

If you have questions regarding submitting the application in PAGE, please refer to the Help Menu in PAGE or contact the PAGE hotline at PAGE-Hotline@ee.doe.gov or 1-866-492-4546.

To ensure timely processing of awards, Grantees must submit complete Applications by the above deadlines. If sufficient progress to negotiate the final 2013 State Plan Application is not being made, DOE reserves the right to put a hold on the funds the Recipient can draw down under the Automated Standard Application for Payments (ASAP) System. DOE does not guarantee or assume any obligation to reimburse costs incurred in the performance of the agreement if the award is not approved.

E. INTERGOVERNMENTAL REVIEW

Program Subject to Executive Order 12372

This program is subject to Executive Order 12372 (Intergovernmental Review of Federal Programs) and the regulations at 10 CFR Part 1005.

One of the objectives of the Executive Order is to foster an intergovernmental partnership and a strengthened federalism. The Executive Order relies on processes developed by State and local governments for coordination and review of proposed Federal financial assistance.

Applicants should contact the appropriate State Single Point of Contact (SPOC) to find out about, and to comply with, the State's process under Executive Order 12372. The names and addresses of the SPOCs are listed on the Web site of the Office of Management and Budget at http://www.whitehouse.gov/omb/grants_spoc/.

F. FUNDING RESTRICTIONS

Cost Principles. Costs must be allowable, allocable and reasonable in accordance with the applicable Federal cost principles referenced in 10 CFR 600. The cost principles for commercial organizations are in FAR Part 31.

Availability of Funds

Funding for all awards and future budget periods are contingent upon the availability of funds appropriated by Congress for the purpose of this program and the availability of future-year budget authority. See Part II.B. Estimated Funding, for additional information.

G. OTHER SUBMISSION AND REGISTRATION REQUIREMENTS

1. Where to Submit

All applications must be submitted through the PAGE system at <https://www.page.energy.gov/default.aspx> per the instructions, above. It is the responsibility of the applicant to verify successful transmission. The Grantee shall notify the Project Officer upon submission of the application package in PAGE. Please see Part IV.C. regarding assistance with the PAGE System.

2. Registration Process

If you haven't already registered, there are several one-time actions you must complete in order to submit an application in response to this Announcement (e.g., obtain a Dun and Bradstreet Data Universal Numbering System (DUNS) number, register with the System for Award Management (SAM), register in FedConnect (for questions regarding content of this announcement and to receive award notifications), and have a Login for the PAGE system. Applicants who are not registered with SAM should allow several days to complete this requirement. It is suggested that the process be started as soon as possible.

Applicants must obtain a DUNS number. DUNS website: <http://fedgov.dnb.com/webform>.

Applicants must register with SAM or verify that the registration is current. SAM website: <https://www.sam.gov/portal/public/SAM/>.

Applicants must register with FedConnect in order to submit questions regarding the content of this announcement and to receive award notifications. FedConnect website: www.fedconnect.net

Applicants must have a PAGE system login at <https://www.page.energy.gov/default.aspx> in order to complete and submit the application. If you do not currently have a PAGE login, please contact the Project Officer for your State who will send you the information to get started. A full listing of Project Officers can be found within this FOA.

If you have questions regarding PAGE, you may also refer to the Help Menu in PAGE or contact the PAGE hotline at PAGE-Hotline@ee.doe.gov or 1-866-492-4546.

PART V APPLICATION REVIEW INFORMATION

A. REVIEW AND AWARD PROCESS

Applications under this funding opportunity will be reviewed for consistency with the WAP program objectives. Awards will be made in accordance with the FY 2013 WAP Allocations, as discussed in Part II.B. Estimated Funding.

PART VI AWARD ADMINISTRATION INFORMATION

A. AWARD NOTICES

1. Notice of Award

An Assistance Agreement issued by the Contracting Officer is the authorizing award document. Award notifications are sent to the Recipient via FedConnect (www.fedconnect.net). The Assistance Agreement normally includes, either as an attachment or by reference: (1) Special Terms and Conditions; (2) Applicable program regulations, if any; (3) Application as approved by DOE; (4) DOE assistance regulations at 10 CFR 600 and 10 CFR 440; (5) National Policy Assurances To Be Incorporated As Award Terms; (6) Annual File; (7) Master File; (8) Budget Summary; and (9) Federal Assistance Reporting Checklist, which identifies the Reporting

Requirements.

B. ADMINISTRATIVE AND NATIONAL POLICY REQUIREMENTS

1. Administrative Requirements

The administrative requirements for DOE grants and cooperative agreements are contained in 10 CFR 600 and 10 CFR 440 (See: www.ecfr.gov).

DUNS and CCR Requirements

Additional administrative requirements for DOE grants and cooperative agreements are contained in 2 CFR, Part 25 (See: <http://ecfr.gpoaccess.gov>). Prime awardees must keep their data in SAM current. Subawardees at all tiers must obtain DUNS numbers and provide the DUNS to the prime awardee before the subaward can be issued.

As of May 2012 the Central Contractor Registry (CCR) along with several other Federal procurement systems were incorporated into a single website called the System for Award Management (SAM). The SAM site is located at <https://www.sam.gov/sam/>.

Reporting Subawards and Executive Compensation

Additional administrative requirements necessary for DOE grants and cooperative agreements to comply with the Federal Funding and Transparency Act of 2006 (FFATA) are contained in 2 CFR, Part 170. (See: <http://ecfr.gpoaccess.gov>). **Prime awardees must register with the new FSRs database and report the required data on their first tier subawardees. Prime awardees must report the executive compensation for their own executives as part of their registration profile in SAM.**

Please see Attachment 4 which is the Reporting Subawards and Executive Compensation Provision that will be included in all new 2013 awards. **Note that current awards that may be extended are not subject to this requirement because they were originally awarded prior to October 1, 2010.** Additional information is available on the Federal Funding and Accountability Transparency Act Subaward Reporting System website at <https://www.fsr.gov>.

2. National Policy Requirements

The National Policy Assurances To Be Incorporated As Award Terms are located at <http://energy.gov/management/downloads/national-policy-assurances-be-incorporated-award-terms>.

Intellectual Property Provisions. The standard DOE financial assistance intellectual property provisions applicable to the various types of recipients are located at <http://energy.gov/gc/standard-intellectual-property-ip-provisions-financial-assistance-awards>.

3. Applicant Representations and Certifications

Applicant Lighting Efficiency Certification (April 2012)

In submitting an application in response to this FOA the Applicant certifies that if chosen for a **grant** award and the award is in excess of \$1,000,000 it will, by the end of the Federal Government’s fiscal year, upgrade the efficiency of its facilities by replacing any incandescent lighting of the type for which section 325 of the Energy Policy and Conservation Act (42 USC 6295) establishes a standard that does not meet or exceed the energy efficiency standard for incandescent light bulbs set forth in that section with a lamp that meets or exceeds the standards for lamps established in or pursuant to that section.

Incandescent reflector lamps shall meet or exceed the lamp efficacy standards shown in the table:

Rated lamp wattage	Lamp spectrum	Lamp diameter (inches)	Rated voltage	Minimum average lamp efficacy (lm/W)
40–205	Standard Spectrum	>2.5	≥125V	6.8*P ^{0.27}
			<125V	5.9*P ^{0.27}
		≤2.5	≥125V	5.7*P ^{0.27}
			<125V	5.0*P ^{0.27}
40–205	Modified Spectrum	>2.5	≤125V	5.8*P ^{0.27}
			<125V	5.0*P ^{0.27}
		≤2.5	≥125V	4.9*P ^{0.27}
			<125V	4.2*P ^{0.27}

Note 1: P is equal to the rated lamp wattage, in watts.

Note 2: Standard Spectrum means any incandescent reflector lamp that does not meet the definition of modified spectrum in 10 CFR 430.2.

Subject to the exemption below, the standards specified in this section shall apply to ER incandescent reflector lamps, BR incandescent reflector lamps, BPAR incandescent reflector lamps, and similar bulb shapes.

Subject to the exemption below, the standards specified in this section shall apply to incandescent reflector lamps with a diameter of more than 2.25 inches, but not more than 2.75 inches.

Exemption: The standards specified in this section shall not apply to the following types of incandescent reflector lamps:

- (A) Lamps rated at 50 watts or less that are ER30, BR30, BR40, or ER40 lamps;
- (B) Lamps rated at 65 watts that are BR30, BR40, or ER40 lamps; or
- (C) R20 incandescent reflector lamps rated 45 watts or less.

For purposes of this Certification, the following definitions apply:

- (A) Facilities mean the room(s), area(s), or building(s) that are used to complete a majority of the work under the project.
- (B) In excess of \$1,000,000 means the total value of the grant including all budget periods funded with Federal funds and recipient cost share is greater than \$1,000,000.

(C) Federal Government's fiscal year begins October 1st and ends September 30th.

(D) Except as provided in subparagraph (4) below, the term "incandescent lamp" means a lamp in which light is produced by a filament heated to incandescence by an electric current, including only the following:

(1) Any lamp (commonly referred to as lower wattage non-reflector general service lamps, including any tungsten-halogen lamp) that has a rated wattage between 30 and 199 watts, has an E26 medium screw base, has a rated voltage or voltage range that lies at least partially within 115 and 130 volts, and is not a reflector lamp.

(2) Any lamp (commonly referred to as a reflector lamp) which is not colored or designed for rough or vibration service applications, that contains an inner reflective coating on the outer bulb to direct the light, an R, PAR, ER, BR, BPAR, or similar bulb shapes with E26 medium screw bases, a rated voltage or voltage range that lies at least partially within 115 and 130 volts, a diameter which exceeds 2.25 inches, and has a rated wattage that is 40 watts or higher.

(3) Any general service incandescent lamp (commonly referred to as a high- or higher-wattage lamp) that has a rated wattage above 199 watts (above 205 watts for a high wattage reflector lamp).

(4) The term "incandescent lamp" does not include any lamp excluded by the Secretary, by rule, as a result of a determination that standards for such lamp would not result in significant energy savings because such lamp is designed for special applications or has special characteristics not available in reasonably substitutable lamp types.

(E) The term "base" means the portion of the lamp which connects with the socket as described in ANSI C81.61-1990.

(F) The term "bulb shape" means the shape of lamp, especially the glass bulb with designations for bulb shapes found in ANSI C79.1-1980 (R1984).

(G) The term "lamp efficacy" means the lumen output of a lamp divided by its wattage, expressed in lumens per watt (LPW).

(H) The term "lamp wattage" means the total electrical power consumed by a lamp in watts, after the initial seasoning period referenced in the appropriate IES standard test procedure and including, for fluorescent, arc watts plus cathode.

Costs directly associated with complying with this requirement may be considered allowable and allocable in accordance with the applicable cost principles as set forth in 10 CFR 600. Costs associated with complying with this requirement may also be included in the calculation of recipient cost share.

Applicants shall identify the facility (the rooms or areas where the majority of the proposed project work will occur) and, identify and justify the costs associated with upgrading the light bulbs to meet or exceed the energy efficiency standard for incandescent light bulbs set forth in or pursuant to section 325 of the Energy Policy and Conservation Act (42 U.S.C. 6295) prior to the end of the Federal fiscal year.

C. REPORTING

Reporting requirements are identified on the Federal Assistance Reporting Checklist, DOE F 4600.2, attached to the award agreement.

PART VII QUESTIONS/AGENCY CONTACTS

A. QUESTIONS

All questions regarding the content of this FOA and all questions that are not related to the Grantee's specific application submission must be submitted to FedConnect. Eligible Applicants must register with FedConnect to respond as an interested party to submit questions, and to view responses to questions. It is recommended that you register as soon after release of the FOA as possible to have the benefit of all responses. DOE will try to respond to a question within 3 business days, unless a similar question and answer has already been posted on the website.

Questions and comments concerning this FOA shall be submitted not later than 10 calendar days prior to the application due date. Questions submitted after that date may not allow the Government sufficient time to respond.

B. AGENCY CONTACTS

Name: Michael Peterson, Project Officer
E-Mail: michael.peterson@go.doe.gov

Name: Danela Garcia, Grants Management Specialist
E-Mail: Submit announcement questions via FedConnect

All questions regarding this announcement shall be submitted to FedConnect, as specified above. Questions regarding the specific application submission must be submitted to the Project Officer for your State. For questions regarding DOE project officer assignments, please contact Michael Peterson at the email address above.

PART VIII OTHER INFORMATION

A. MODIFICATIONS

Notices of any modifications to this announcement will be posted on the FedConnect portal. Eligible Applicants may receive an email when a modification or an announcement message is posted by registering with FedConnect as an interested party for this FOA. It is recommended that you register as soon after release of the FOA as possible to ensure you receive timely notice of any modifications or other announcements.

B. GOVERNMENT RIGHT TO REJECT OR NEGOTIATE

DOE reserves the right, without qualification, to reject any or all applications received in

response to this announcement and to select any application, in whole or in part, as a basis for negotiation and/or award.

C. COMMITMENT OF PUBLIC FUNDS

The Contracting Officer is the only individual who can make awards or commit the Government to the expenditure of public funds. A commitment by other than the Contracting Officer, either explicit or implied, is invalid.

D. LOBBYING RESTRICTIONS

By accepting funds under this award, you agree that none of the funds obligated on the award shall be expended, directly or indirectly, to influence congressional action on any legislation or appropriation matters pending before Congress, other than to communicate to Members of Congress as described in 18 U.S.C. 1913. This restriction is in addition to those prescribed elsewhere in statute and regulation.

PART IX APPENDICES/REFERENCE MATERIAL

A. APPENDICES/REFERENCE MATERIAL

Attachment 1 – WPN 13-1, Program Year 2013 Weatherization Grant Guidance

Attachment 2 – WPN 13-2, Program Year 2013 Grantee Allocations

Attachment 3 – Application Instructions (February 2013)

Attachment 4 – Reporting Sub-awards and Executive Compensation Provision (to be incorporated into new 2013 awards).